

Interpretation of solar  $\nu$  results Kricker: matter effects

Mikheyev-Smirnov-Wolfenstein effect

$\nu_e$  ( $\bar{\nu}_e$ ) have interactions in matter that  $\nu_\mu, \nu_\tau$  don't have

Forward scattering amplitude of  $\nu_e$  in matter interferes with unscattered amplitudes

$\Rightarrow$  effective change in mass & mixing angle

Flavour basis

$$i \frac{d}{dt} \Psi_f(t) = H \Psi_f(t)$$

mass basis

$$i \frac{d}{dt} \Psi_m(t) = H \Psi_m(t)$$

$$H_m = \begin{pmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & E_3 \end{pmatrix}$$

$$\Psi_f(t) = e^{-iH_f t}$$

$$\Psi_m(t) = e^{-iH_m t}$$

$$\Psi_f(t) = U^{-1} e^{-iH_m t} U$$

$U$ : PMNS matrix

In matter with electron density  $N_e$  this changes:

$$H_f = U^{-1} \begin{pmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & E_3 \end{pmatrix} U + \sqrt{2} G_F N_e \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Solution (assuming 2 flavours)

$$\Delta m_m^2 \approx \Delta m^2 \sqrt{(a - \cos 2\theta)^2 + \sin^2 2\theta}$$

$$\sin^2 2\theta_m \approx \sin^2 2\theta / ((a - \cos 2\theta)^2 + \sin^2 2\theta)$$

with  $a = \frac{2\sqrt{2} E G_F N_e}{\Delta m^2}$  (inverted hierarchy:  $-\Delta m^2$ )

resonant effect if  $a = \cos 2\theta$

Plays a major role in the sun: produced  $\nu_e$  come out as perfect mixture  $\nu_e, \nu_\mu, \nu_\tau$  at surface.

In this solution:  $\Delta m^2_{\text{solar}} = 7 \cdot 10^{-8} \text{ eV}^2$   $\theta_{\text{solar}} \sim 32^\circ$

Matter effects also play a role in long-baseline oscillation in the earth! (say  $L > 300 \text{ km}$  or so)

Extending to 3 flavours:

$$|V_\alpha\rangle = U_{PMNS} |V_i\rangle$$

$$U_{PMNS} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix} \begin{pmatrix} e^{i\frac{\alpha_1}{2}} & 0 & 0 \\ 0 & e^{i\frac{\alpha_2}{2}} & 0 \\ 0 & 0 & 1 \end{pmatrix} \equiv UV$$

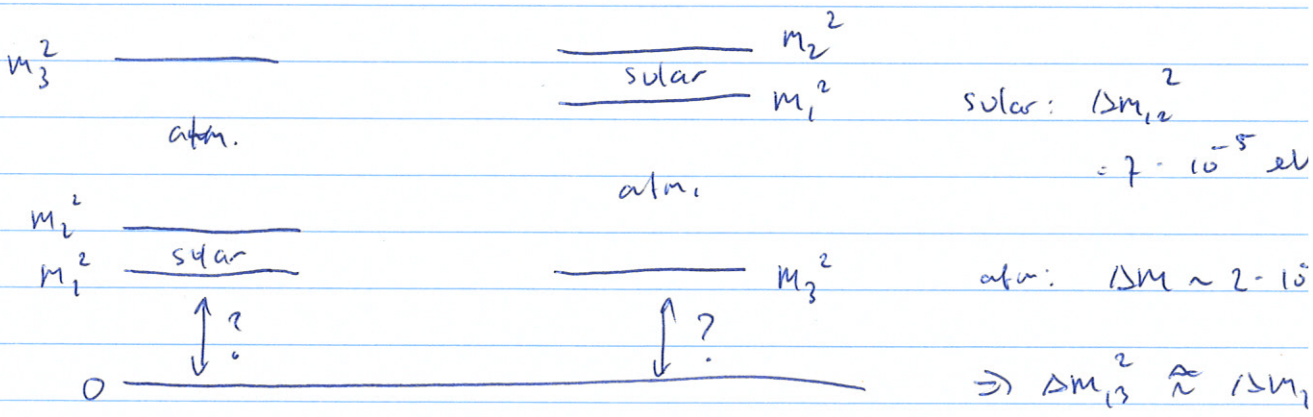
$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix}$$

$\alpha$ : Majorana phases (can be transformed away for Dirac neutrinos)

$\delta$ : CP-violation phase

3 angles  $\theta_{12}, \theta_{13}, \theta_{23}$ , 3 mass differences



normal hierarchy

inverted hierarchy

CPT invariance:  $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) = P(\nu_\beta \rightarrow \nu_\alpha)$

In general, however:  $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \neq P(\nu_\alpha \rightarrow \nu_\beta)$

CP violation

CP-violation occurs if  $\delta$  is truly complex:  $\delta \neq 0$  or  $\pi$

$\theta_{13}$  finally measured around 2010

reactor  $\nu$ , short baseline • Daya Bay, Reno, Chooz


$$\theta_{13} \sim 8^\circ$$

Fitting it all together

$$\theta_{12} \sim 32^\circ$$

$$\theta_{23} \sim \pi/4$$

$$\nu_3$$


$$\nu_2$$


$$\nu_1$$


$$c_{12} = 0.85$$

$$s_{12} = 0.54$$

$$s_{13} = 0.14$$

$$U \simeq \begin{pmatrix} c_{12} & s_{12} & s_{13} e^{-i\delta} \\ -\frac{s_{12}}{\sqrt{2}} & \frac{c_{12}}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{s_{12}}{\sqrt{2}} & -\frac{c_{12}}{\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}$$

$$\frac{s_{12}}{\sqrt{2}} = 0.38$$

$$\frac{c_{12}}{\sqrt{2}} = 0.60$$

Tri-bimaximal mixing:  $\theta_{23} = \frac{\pi}{4}$   $\theta_{13} = 0$   $\theta_{12} = 35^\circ$

$$(s_{12} = 1/\sqrt{3})$$

$$\begin{pmatrix} \sqrt{2/3} & 1/\sqrt{3} & 0 \\ -1/\sqrt{6} & 1/\sqrt{3} & 1/\sqrt{2} \\ 1/\sqrt{6} & -1/\sqrt{3} & 1/\sqrt{2} \end{pmatrix}$$

$$1/\sqrt{3} = 0.57$$

$$1/\sqrt{6} = 0.41$$

$$\sqrt{2/3} = 0.82$$

Remaining unknown: mass hierarchy and  $\delta$

$\delta$ : level of CP-violation  $P(\nu_\mu \rightarrow \nu_e)$  versus  $P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$

asymmetry: 
$$A_{CP} = \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}$$

$$\approx \frac{\Delta m_{21}^2}{4E\nu} L \frac{\sin 2\theta_{12}}{\sin \theta_{13}} \sin \delta_{CP}$$

T2K, NOVA ( $L = 295 \text{ km}$ , ~~35~~ <sup>300</sup> km)

HyperK: upgraded beam and bigger detector  
 $\rightarrow$  hoped to measure  $\delta$

DUNE: beam FNAL  $\rightarrow$  Homestake 1300 km

long distance: matter effects need to be taken into account

$\rightarrow$  DUNE can measure hierarchy as well as  $\delta$

But earlier: use atmospheric  $\nu$  and matter effects:

KM3Net (ORCA) and IceCube (PINGU)

# Challenges to the 3-flavour paradigm?

- LSND experiment @ Los Alamos  
 claimed observing  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$   $E_\nu = 50 \text{ MeV}$   $l = 30 \text{ m}$   
 $\Delta m^2 \sim 1 \text{ eV}^2$ ?  
 MiniBoone experiment @ FNAL could not disprove it.  
 Now MicroBoone @ FNAL will try again.
- Reactor  $\nu$  flux : observed rate  $\bar{\nu}_e$  few percent lower than calculated.  
 ( $\nu_e$  disappearance, also  $\Delta m^2 \sim 1 \text{ eV}^2$ )  
 Now Daya Bay:  $\bar{\nu}_e$  neutrino rate ok,  $U^{235}$  low  
 Modelling issue?
- Radioactive sources  $^{51}\text{Cr}$ ,  $^{37}\text{Ar}$  for calibration of Ge solar  $\nu$   
 $\nu_e + {}^{71}\text{Ge} \rightarrow {}^{71}\text{Ge} + e$   
 $R \sim 0.86 \pm 0.05$ ?  $\nu_e$  disappearance?
- Big Bang nucleosynthesis and CMB anisotropies count the effective number of degrees of freedom  $N_{\text{eff}}$ .  
 Light sterile  $\nu$  mixing with normal  $\nu$  would contribute.  
 For a while  $N_{\text{eff}} = 4$  seemed preferred.  
 Latest data (Planck) more consistent with  $N_{\text{eff}} = 3$   
 Anyway,  $m \sim 1 \text{ eV}$  not easy to accommodate

A fourth, sterile neutrino, would be a solution.  
 However, latest data disfavours it.

## Neutrino mass:

Oscillations only give us  $\Delta m^2$ , not  $m_i$

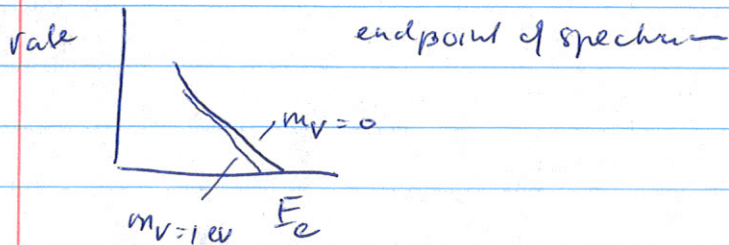
Before oscillations: measurement with  $\nu$  from a dominant flavour

$$m_{\nu_e} < 2 \text{ eV} \quad \text{endpoint tritium } \beta \text{ decay}$$

$$m_{\nu_\mu} < 170 \text{ keV}$$

$$m_{\nu_\tau} < 18.2 \text{ MeV}$$

In  $\beta$  decay, one really measures  $|m_\beta| = \sum_i |U_{ei}| m_i$



From cosmology:

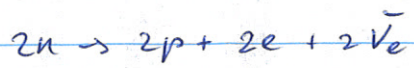
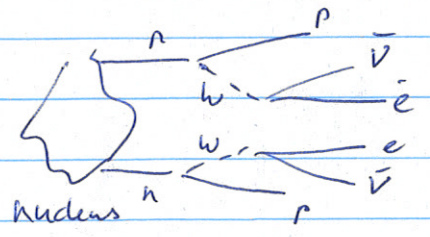
Neutrino contribution to  $\Omega$  (structure formation)  $\sum_i m_{\nu_i} < 11 \text{ eV}$

CMB + BAO + ...  $\sum_i m_i < 0.12 \text{ eV}$  (aggressive)  
 $0.7 \text{ eV}$  (less aggressive)

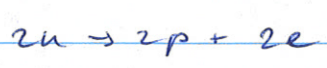
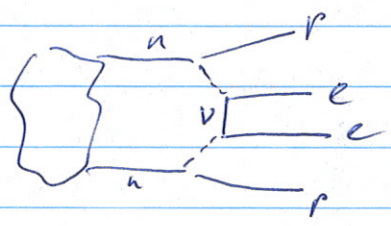
Note: if largest  $\Delta m^2 = 2.3 \cdot 10^{-3} \text{ eV}^2$

then there must be a  $\nu_i$  with  $m_{\nu_i} \geq 0.05 \text{ eV}$

Double  $\beta$  decay:



Neutrinoless double  $\beta$  decay



0 $\nu\beta\beta$  decay: only if  $\nu$  is Majorana  $\nu = \bar{\nu}$

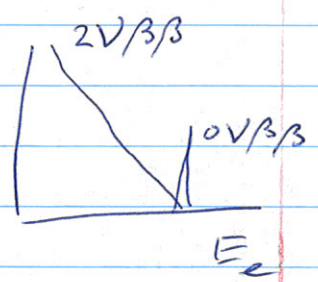
Decay rate  $\sim |M|^2 \langle m_{\beta\beta} \rangle^2$

$M$  nuclear matrix elements

$$\langle m_{\beta\beta} \rangle = \sum_i U_{ei}^2 m_i$$

Experimental measurement:

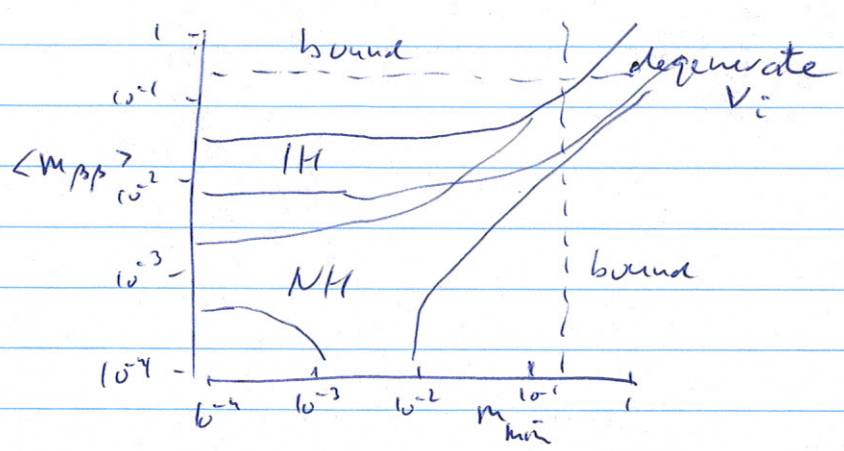
Needs an appropriate isotope



2 $\nu\beta\beta$  has been observed,  $t_{1/2} \sim 10^{18} - 10^{20}$  year

0 $\nu\beta\beta$  only ~~upper~~ lower limits  $t_{1/2} > 10^{24} - 10^{25}$  years

$m_{\beta\beta} < 0.2 - 0.4$  eV (uncertainty!) @ 90% CL



Experiments demand very low backgrounds!