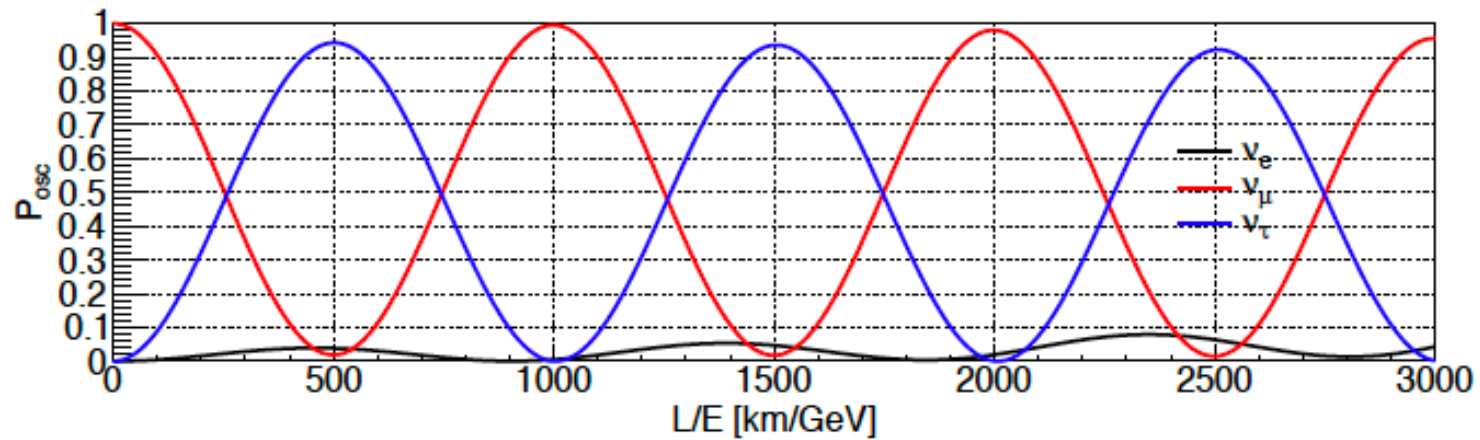
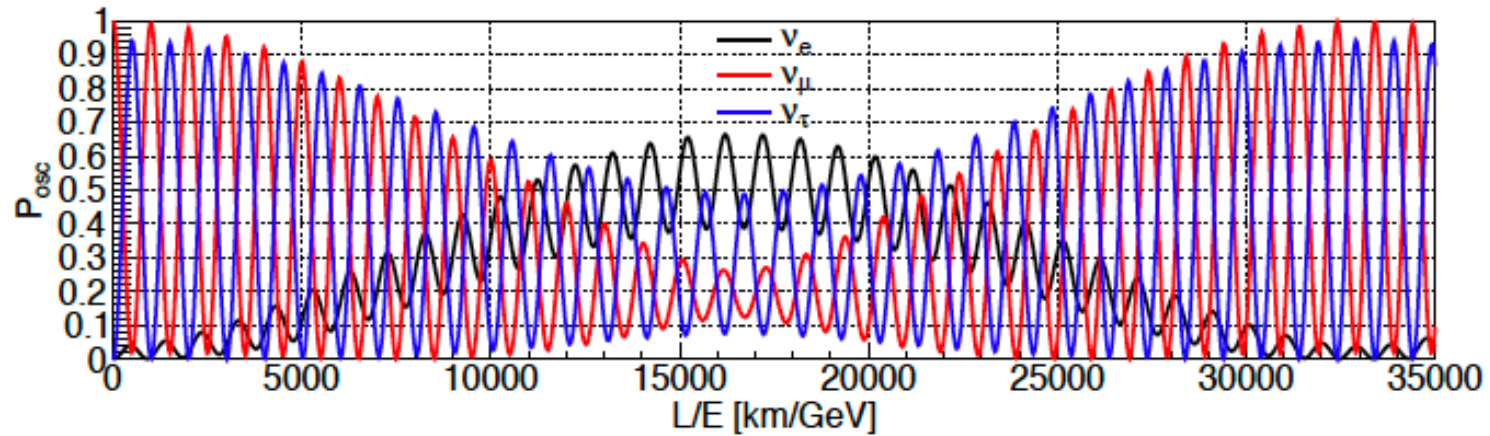


Oscillations in vacuum, starting with muon neutrino

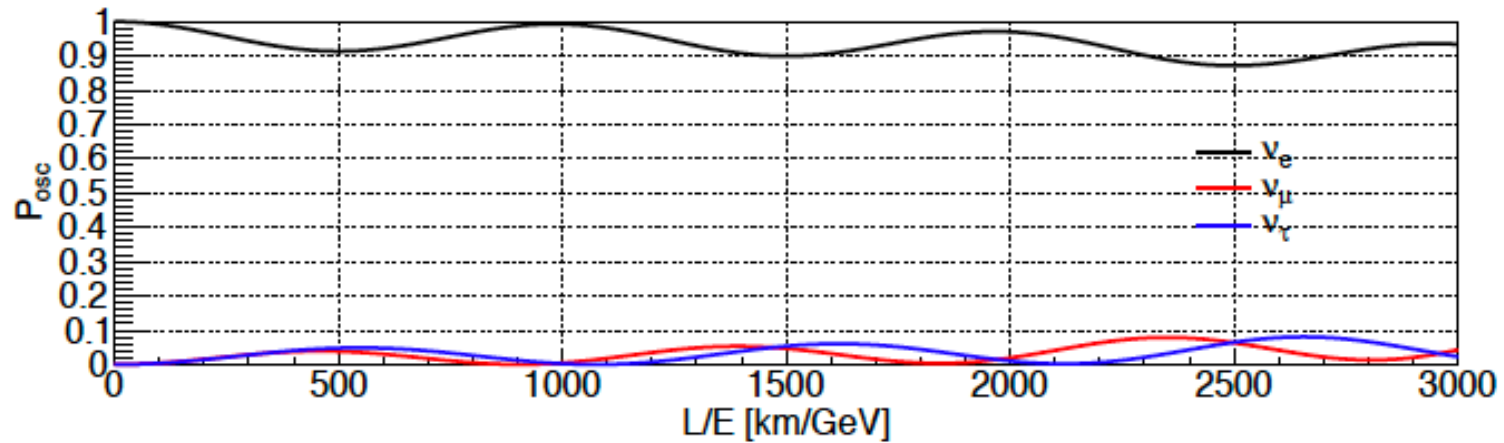


(c) ν_μ , short range

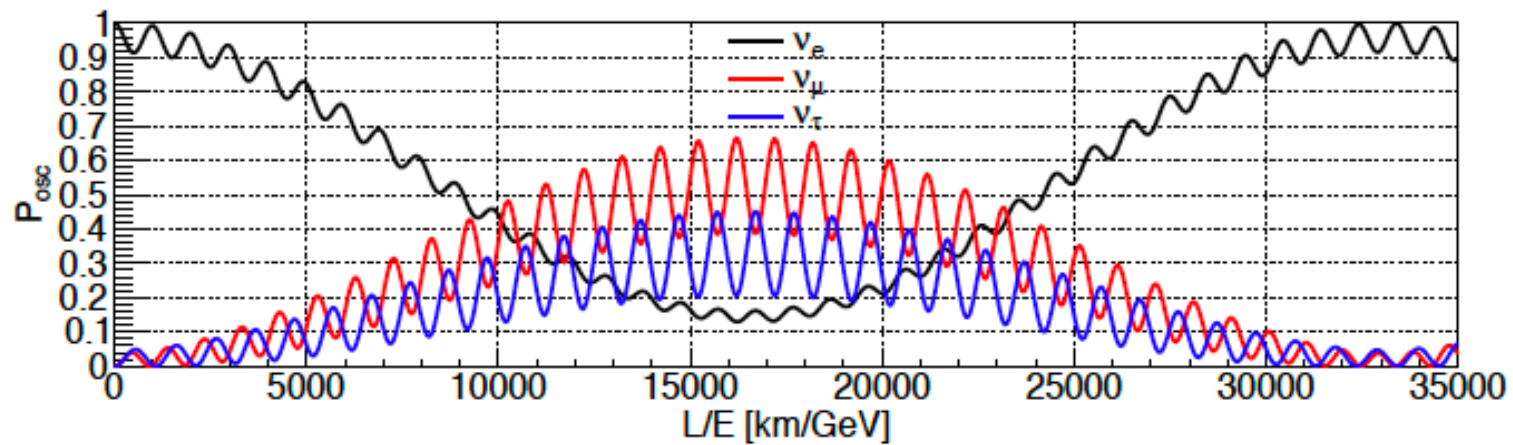


(d) ν_μ , long range

Oscillations in vacuum, starting with electron neutrino



(a) ν_e , short range

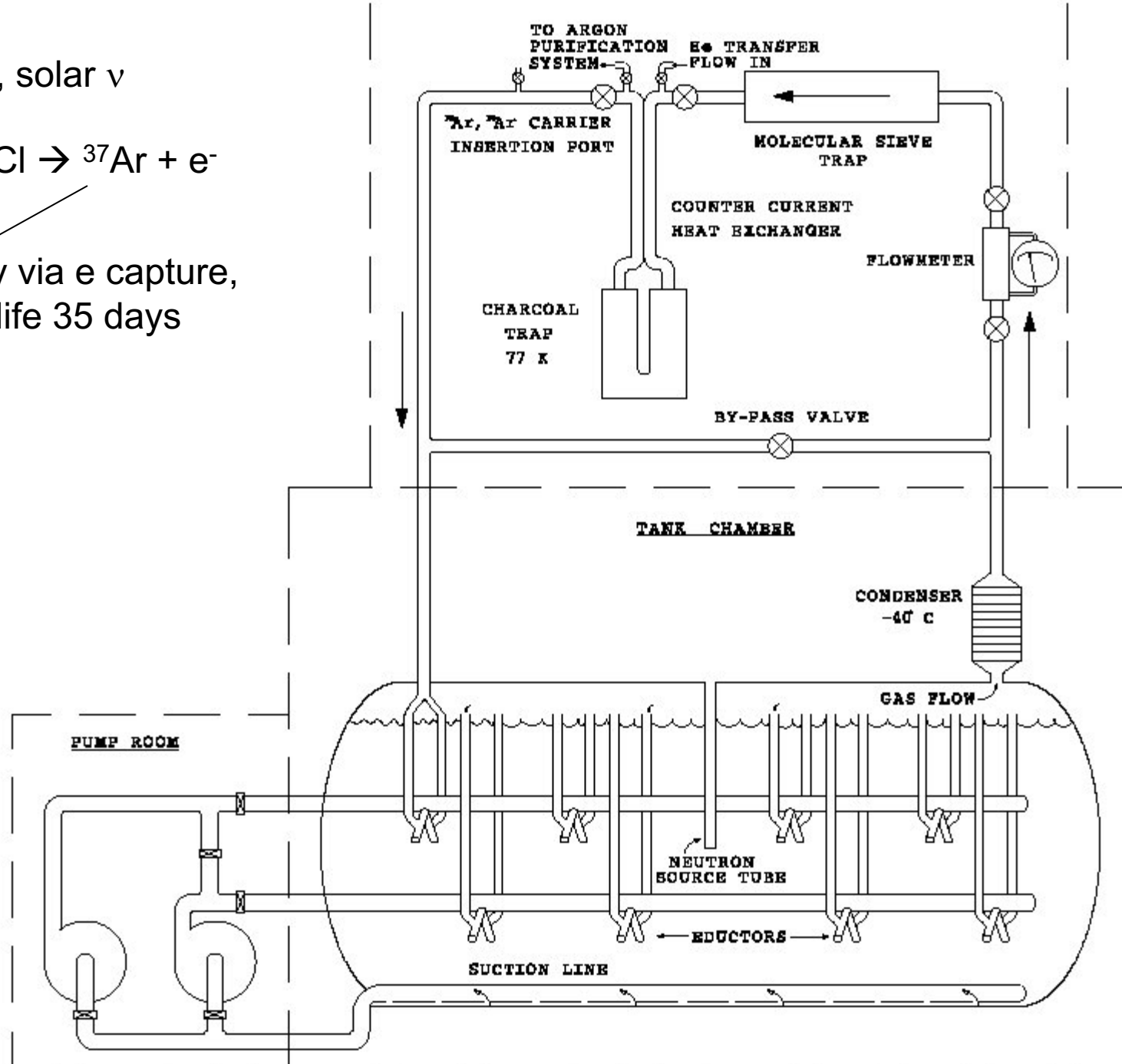


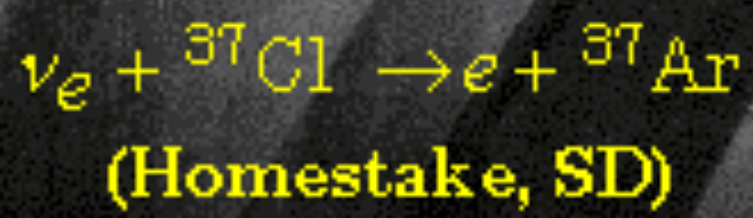
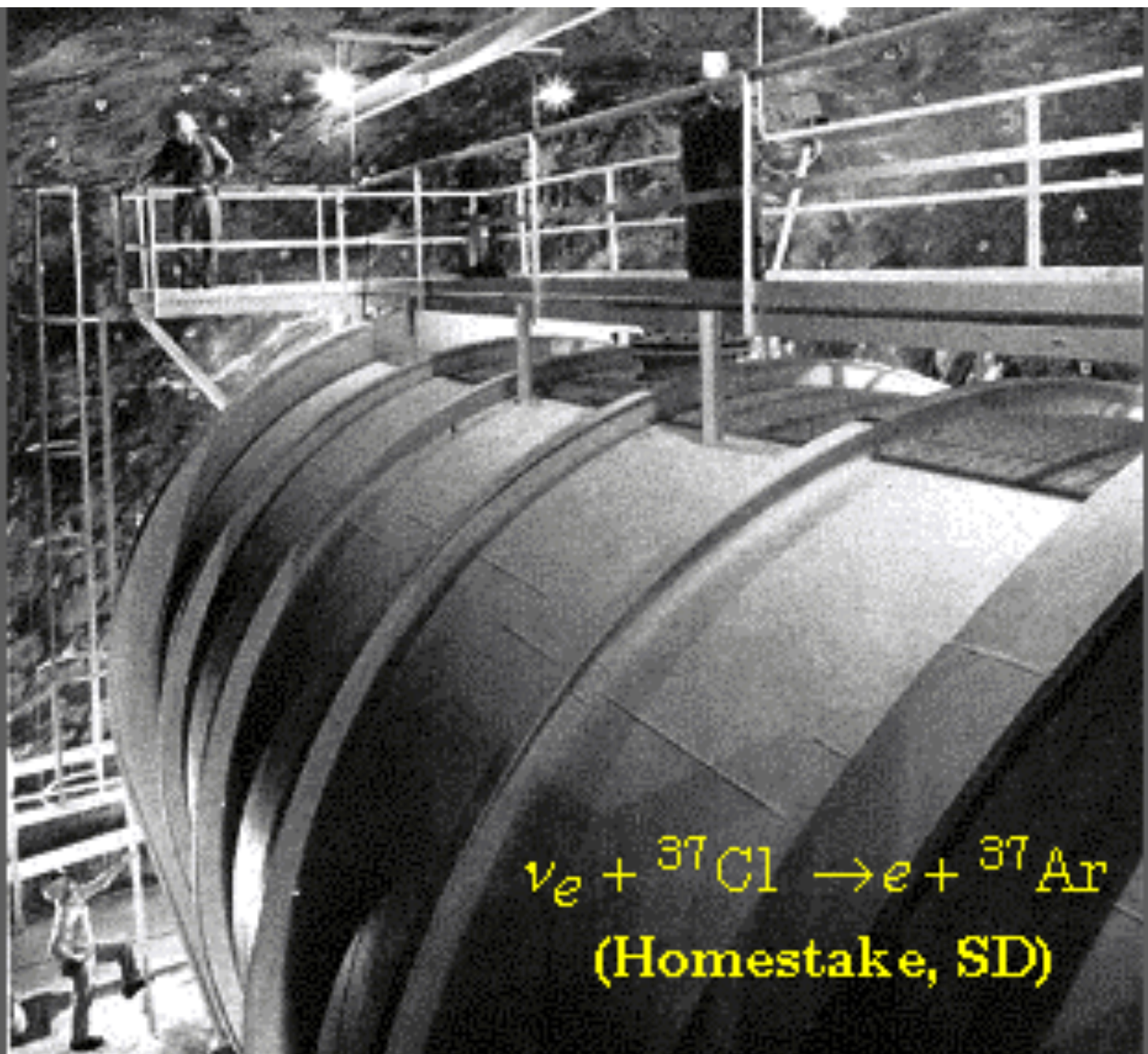
(b) ν_e , long range

Davis, solar ν

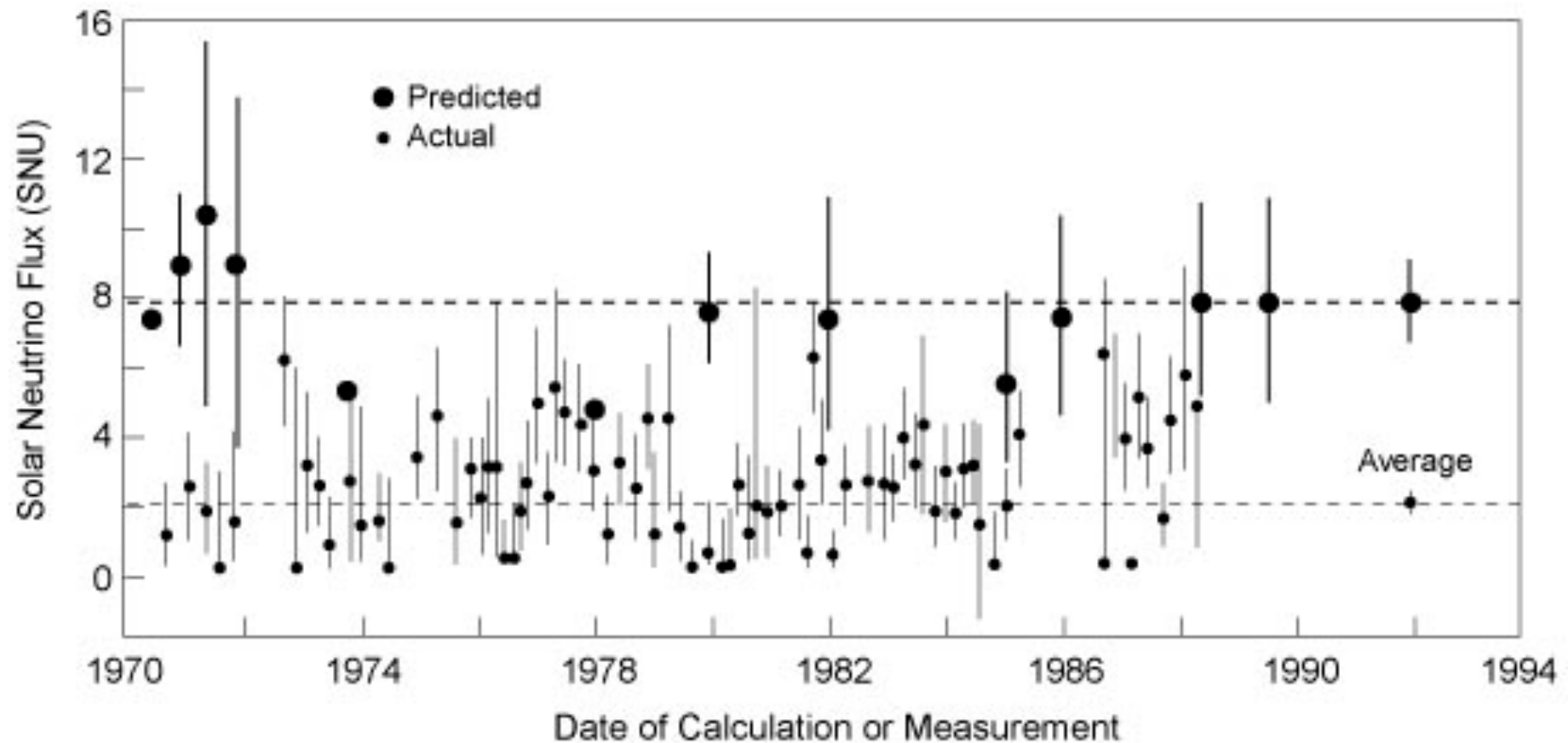


Decay via e capture,
half-life 35 days





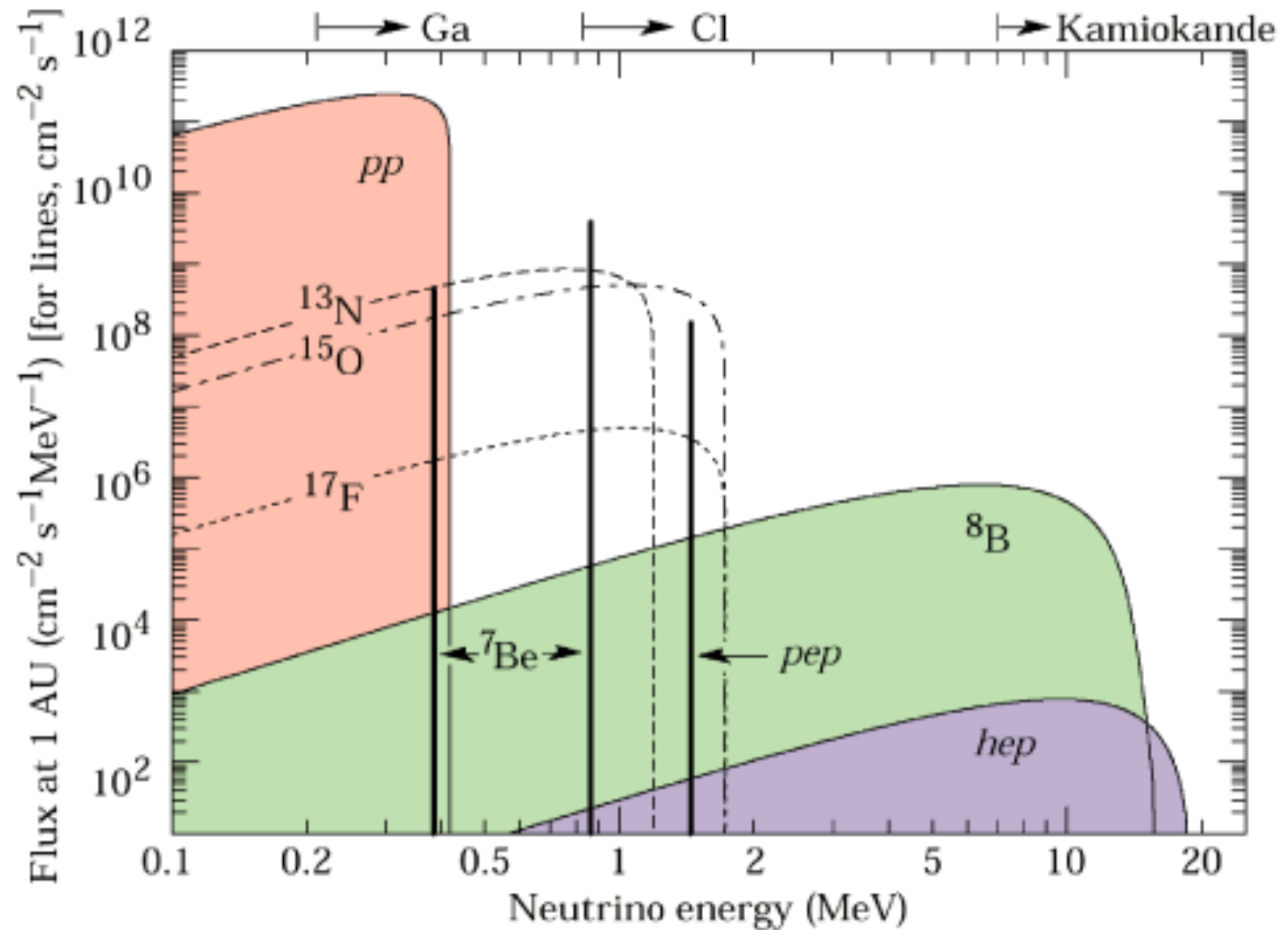
Davis' result:



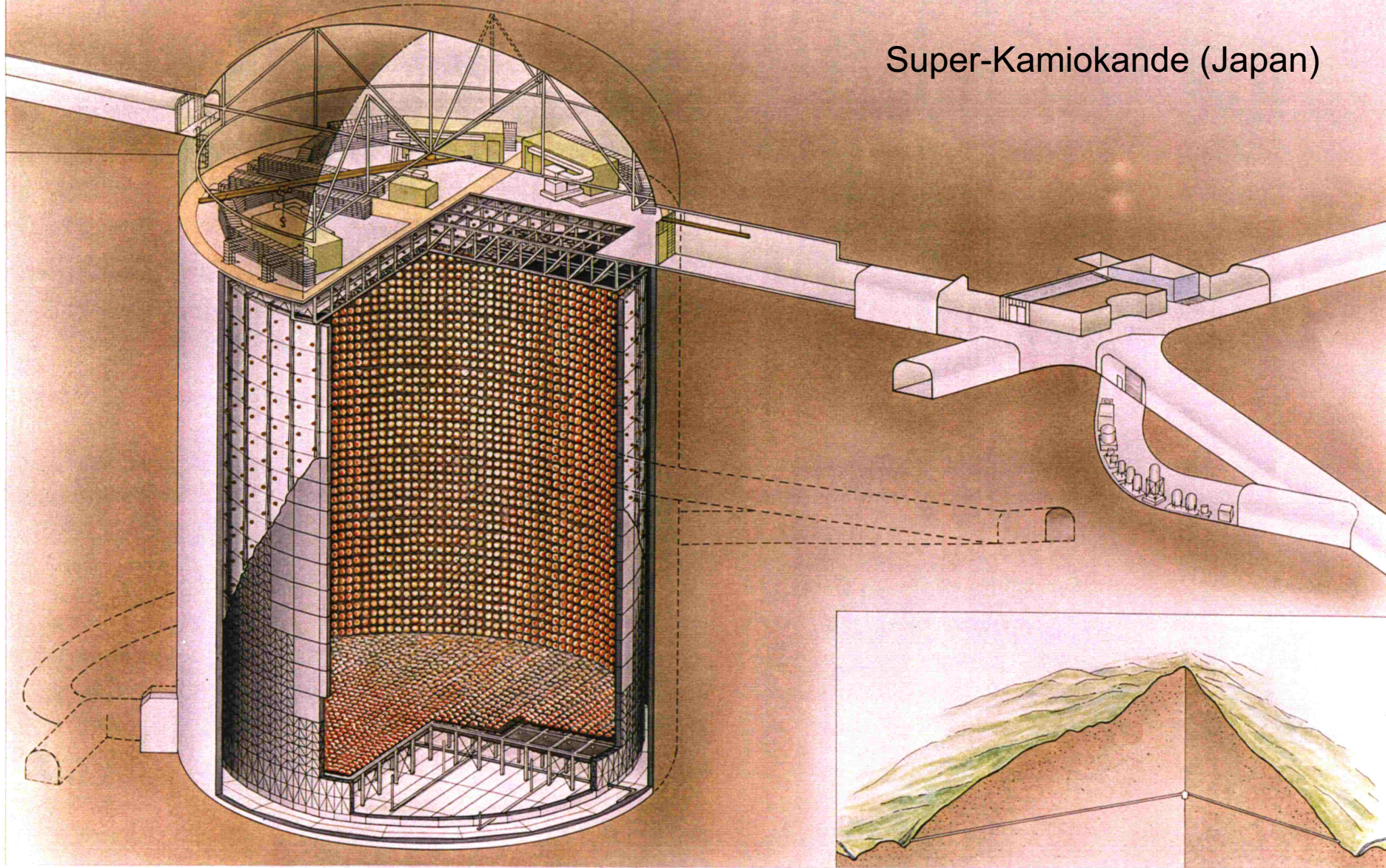
$$1 \text{ SNU} = 1 \text{ interaction} / (10^{36} \text{ Cl atoms} \cdot \text{s})$$

→ The sun produces neutrinos, but not nearly enough!

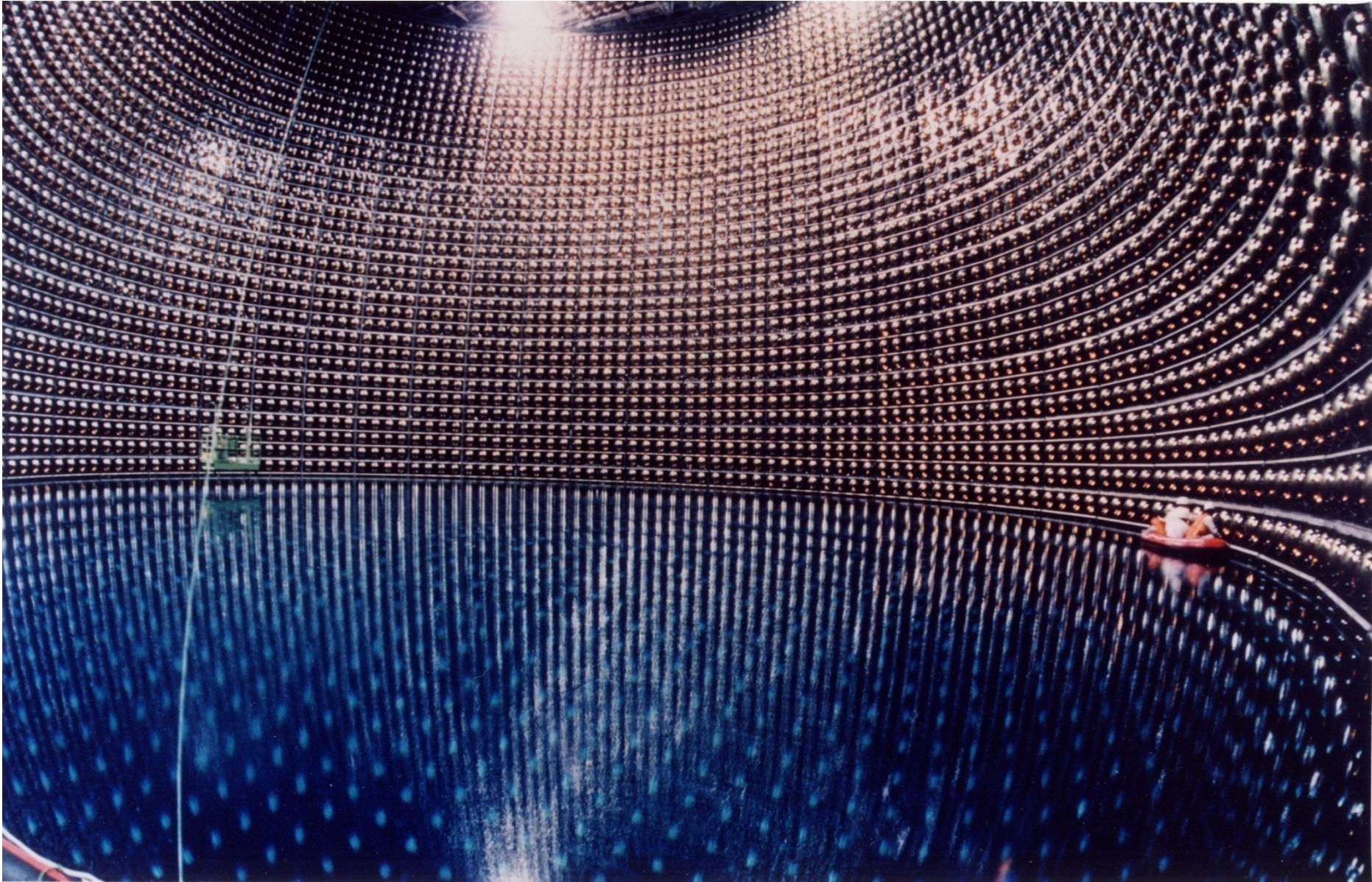
Solar neutrino spectrum



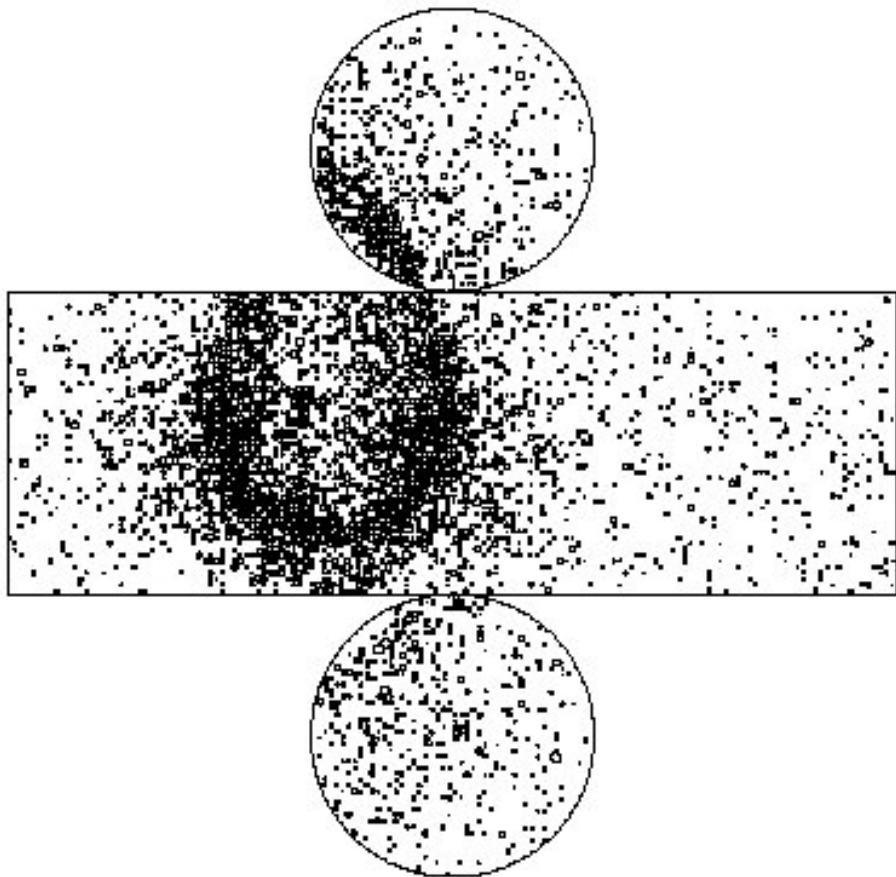
Super-Kamiokande (Japan)



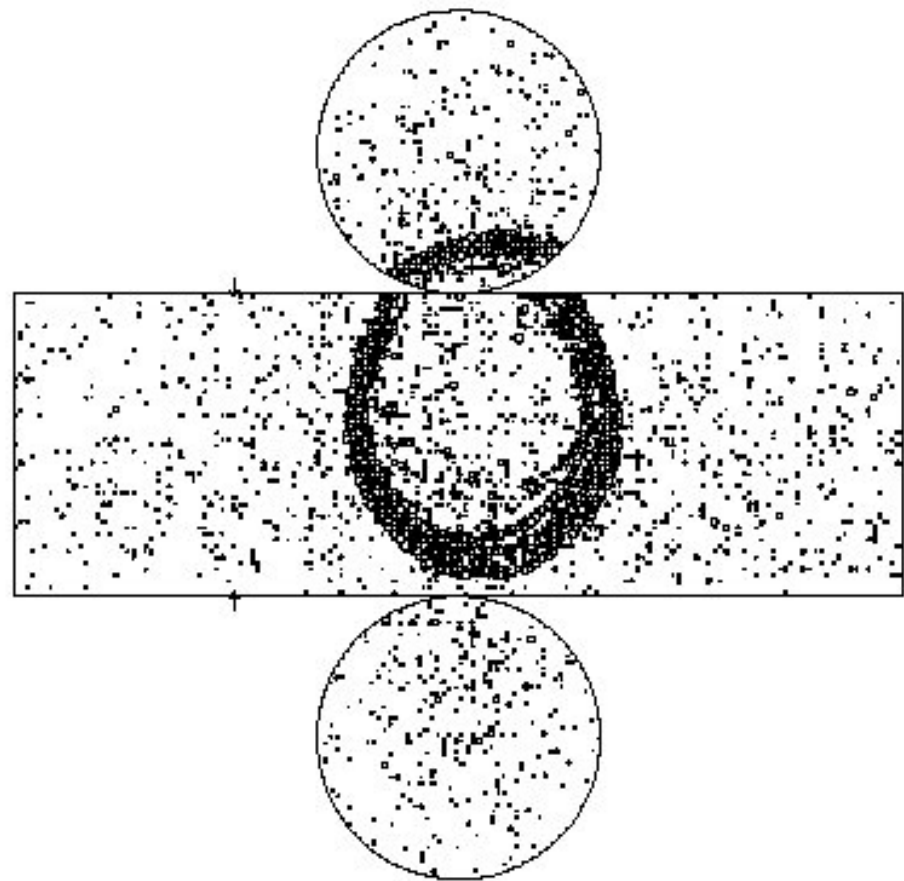
Super-Kamiokande: water Cerenkov detector

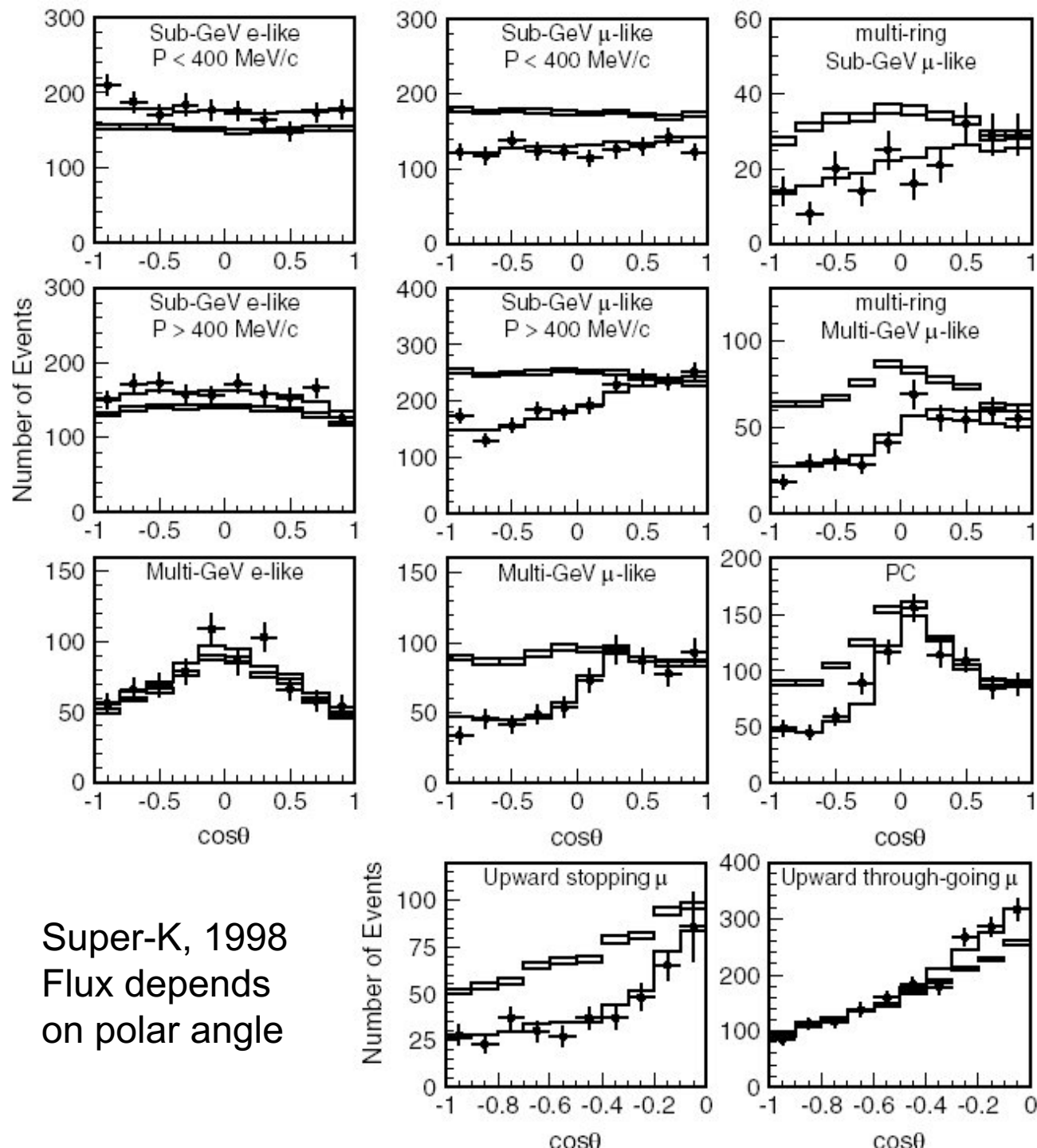


electron

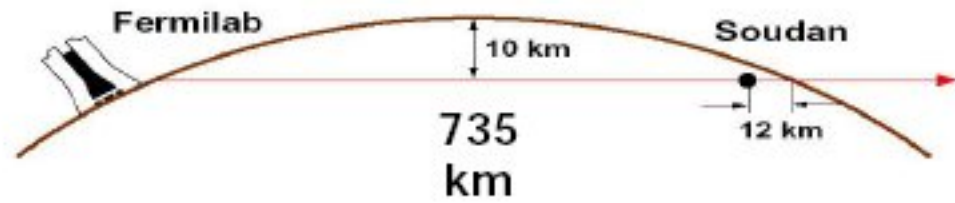


muon





Super-K, 1998
Flux depends
on polar angle



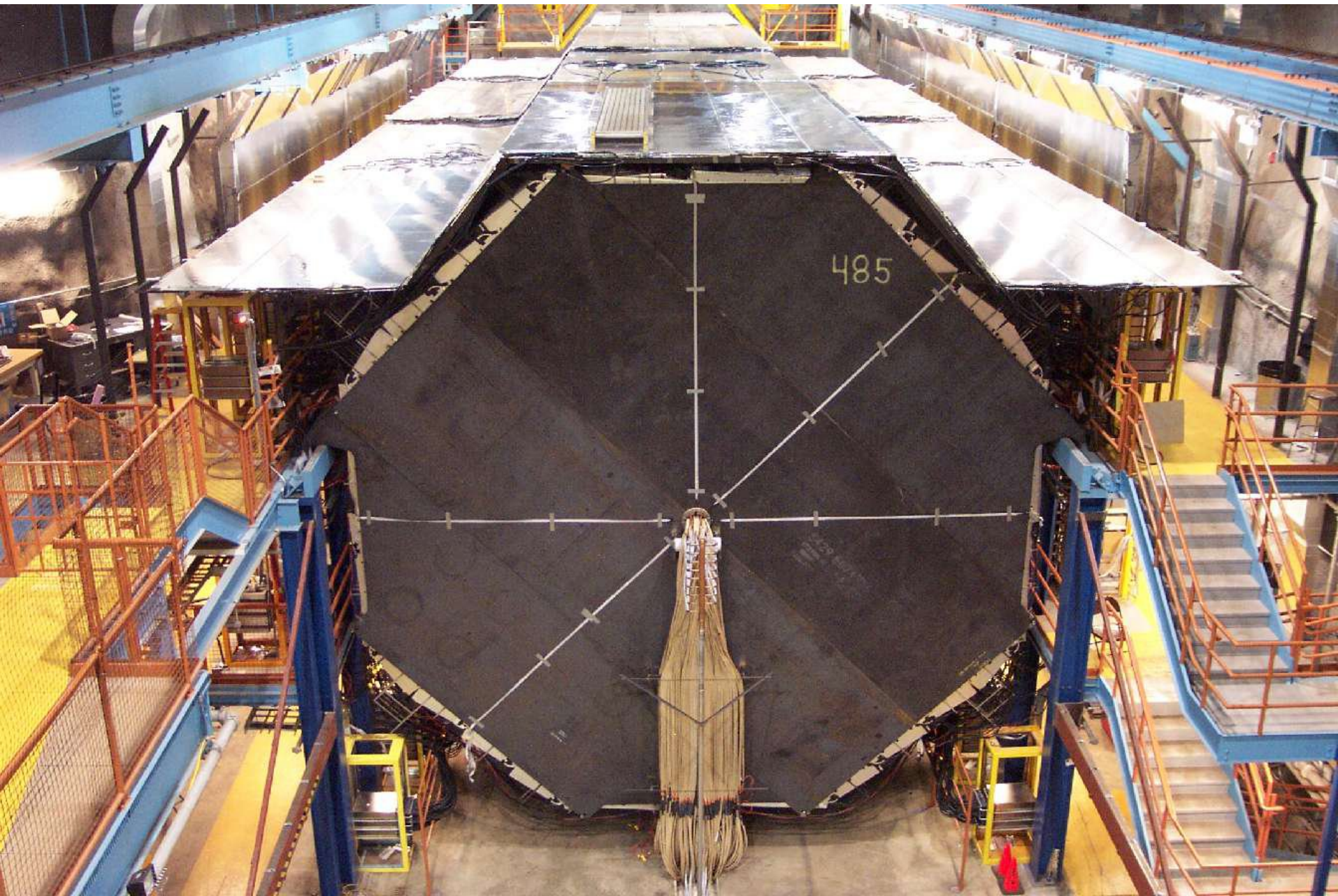
NuMi: neutrinos to
Minnesota

Muon neutrino beam
from Fermilab to
MINOS experiment

Similar set-up from
CERN to Gran Sasso



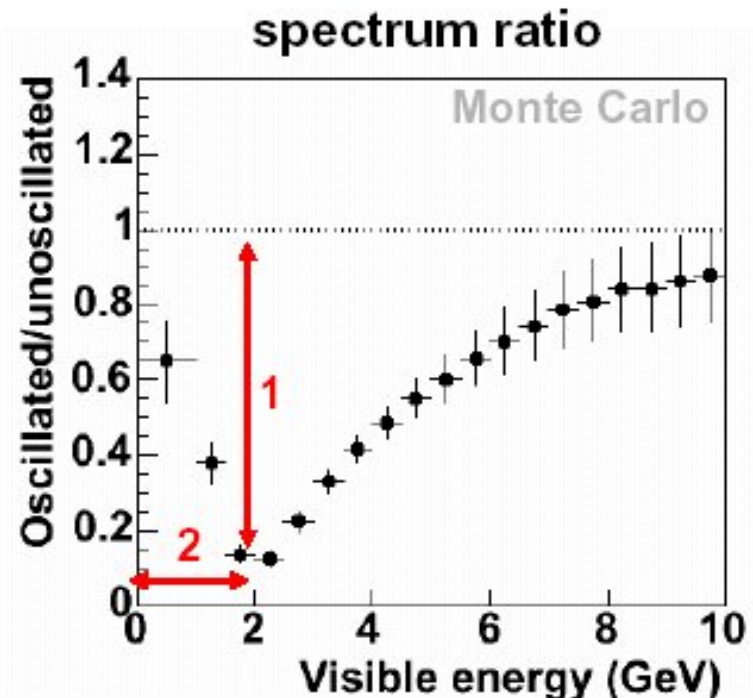
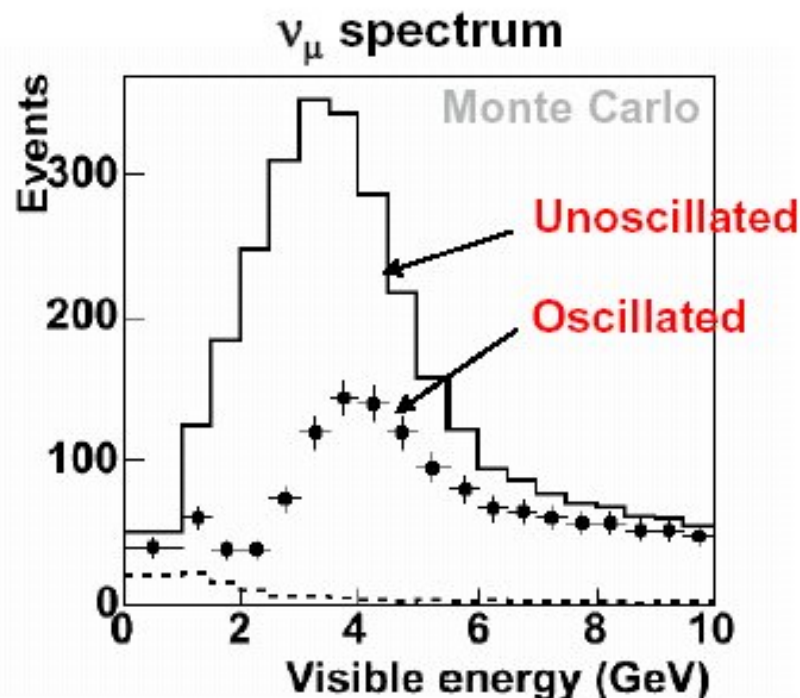
MINOS detector



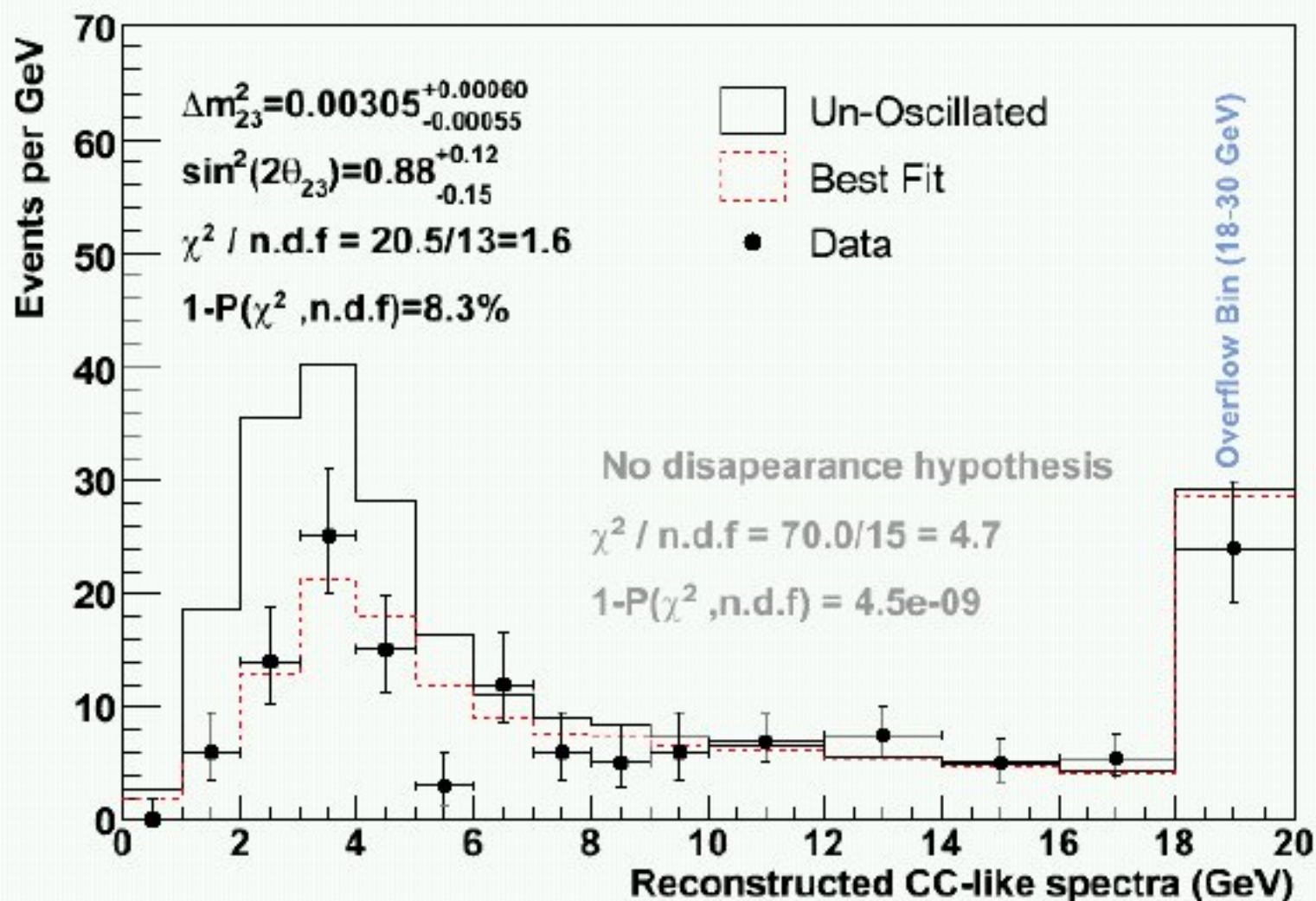
Example of a ν_μ disappearance measurement

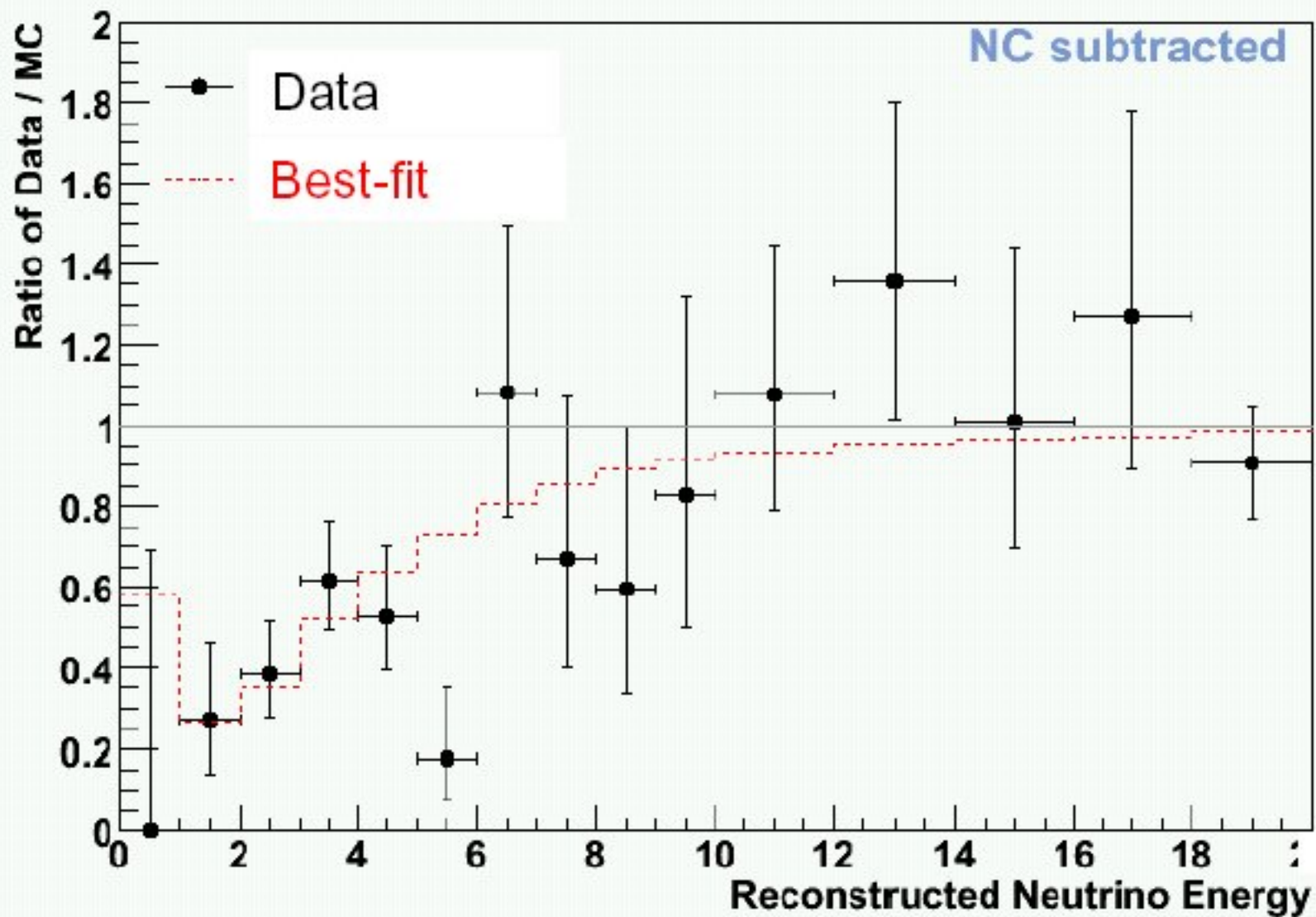
- Look for a deficit of ν_μ events at Soudan...

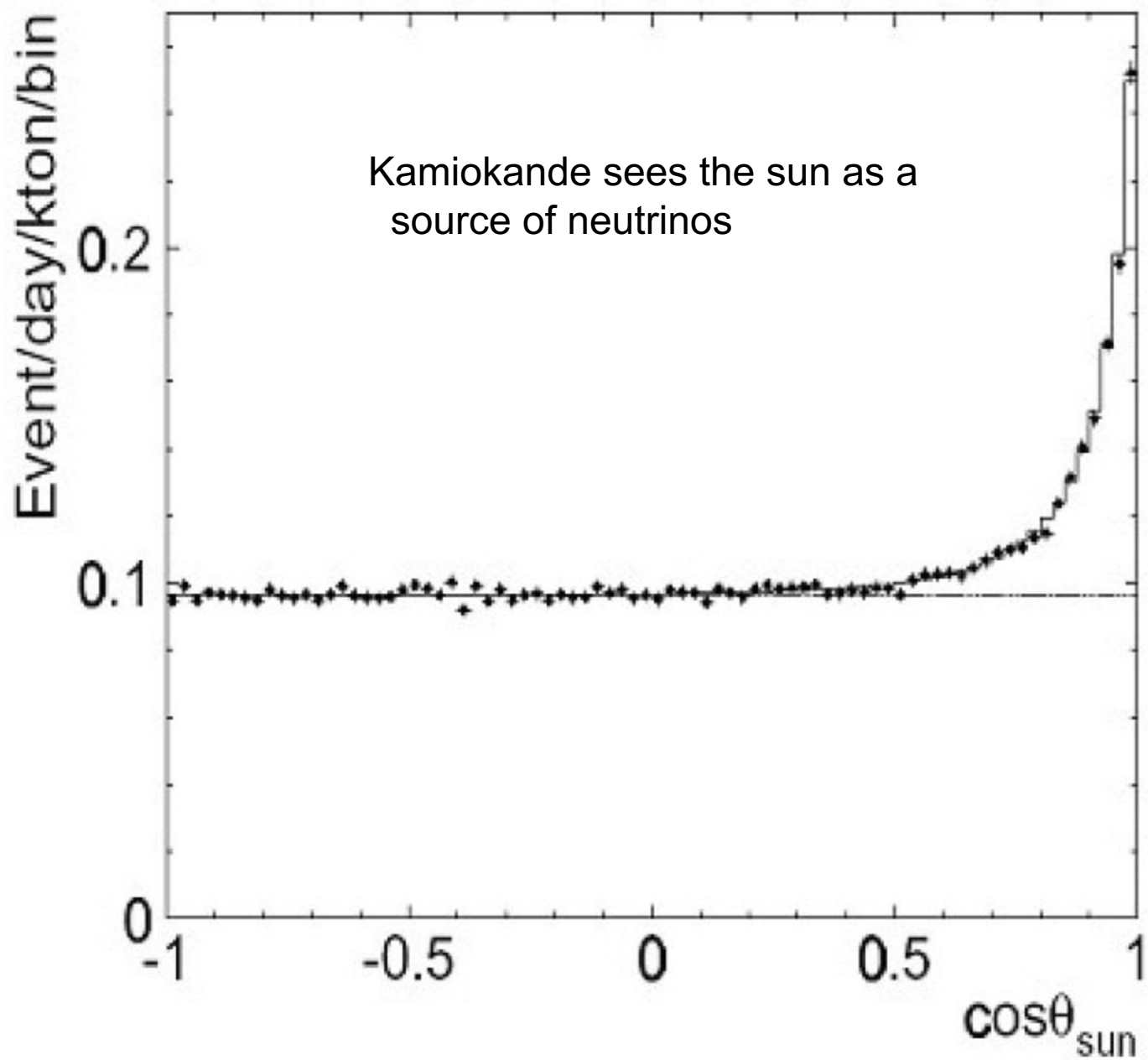
$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \underbrace{\sin^2 2\theta}_1 \sin^2(1.267 \underbrace{\Delta m^2}_2 L / E)$$

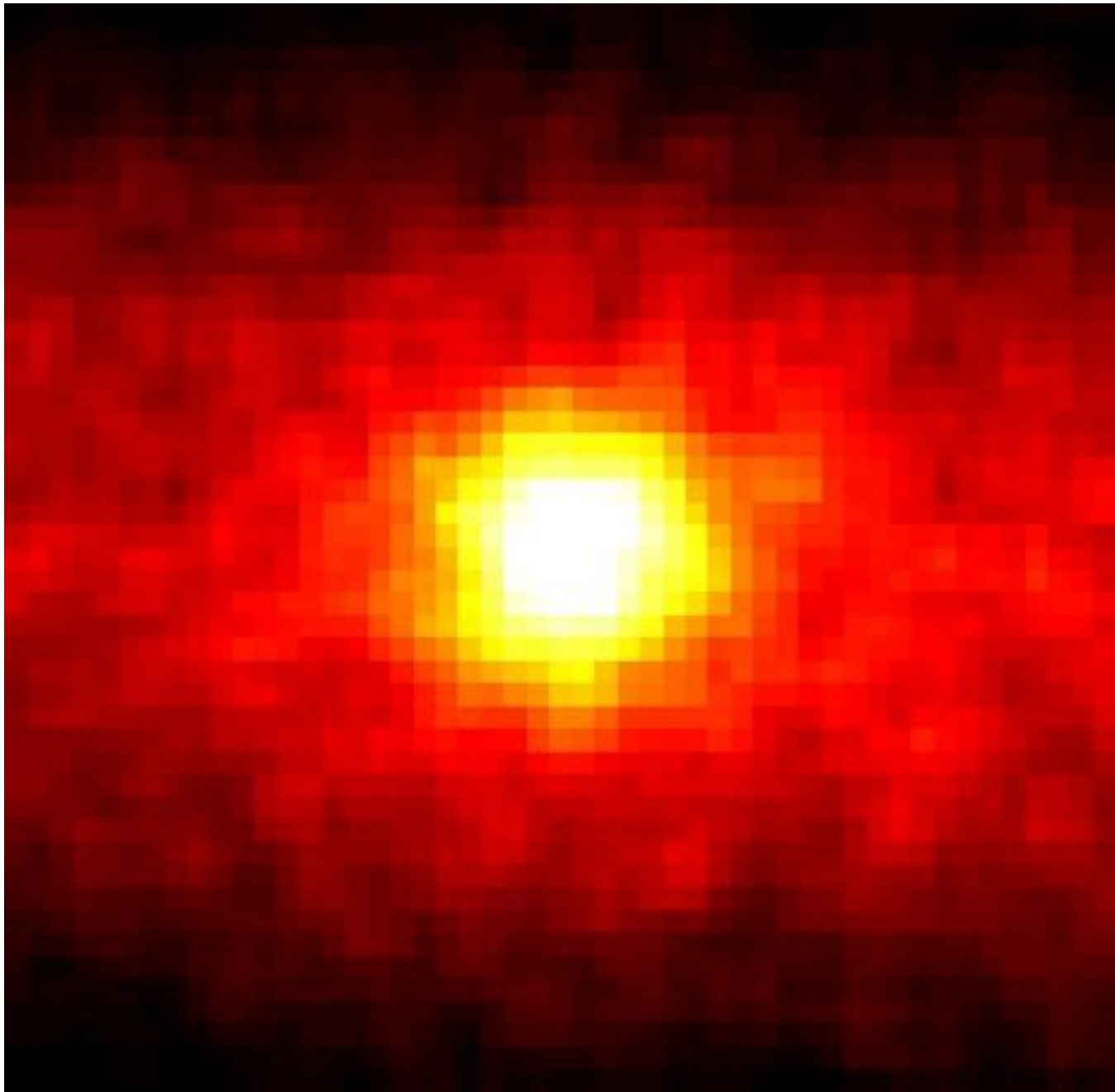


Oscillation Results for 0.93E20 p.o.t



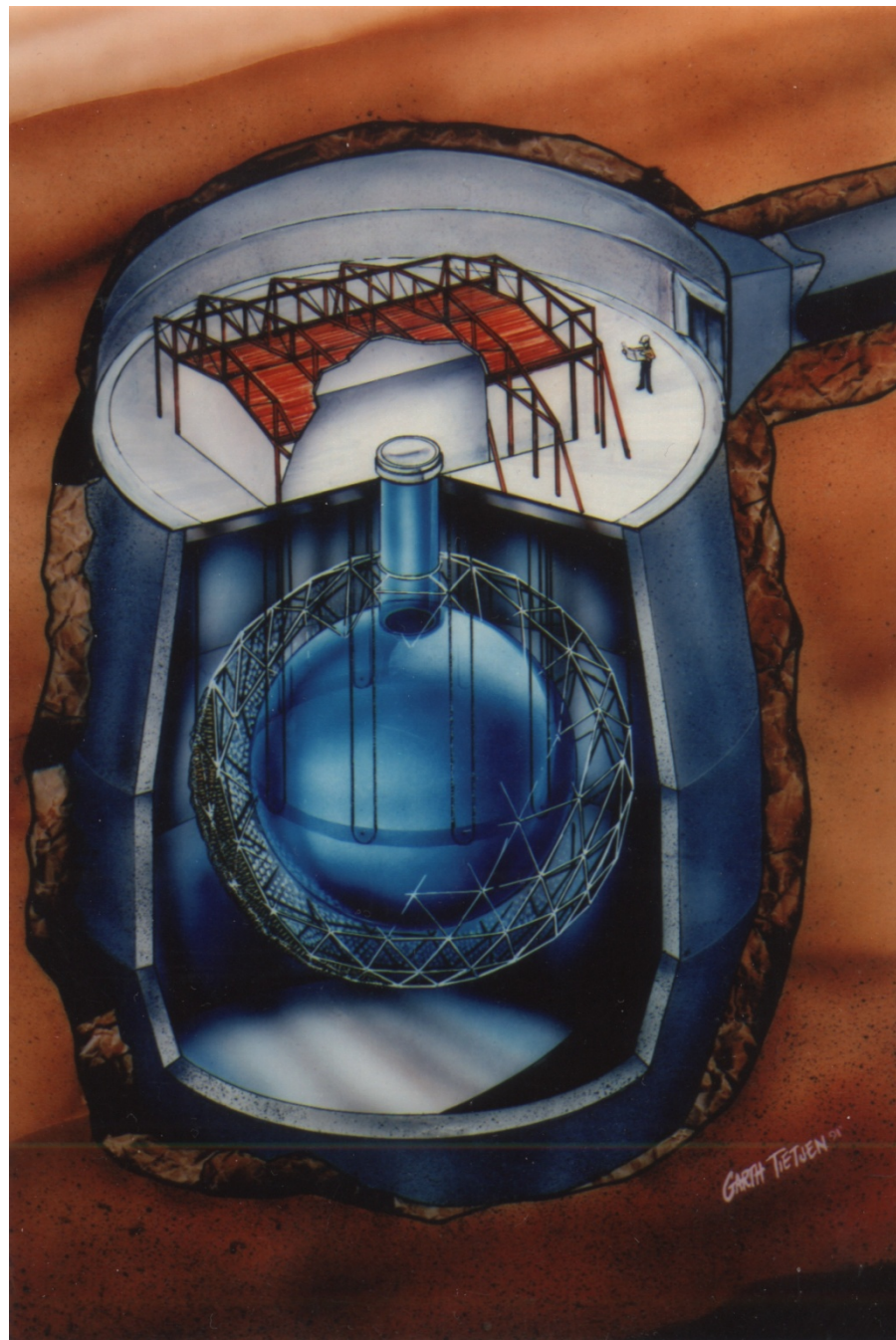






SNO

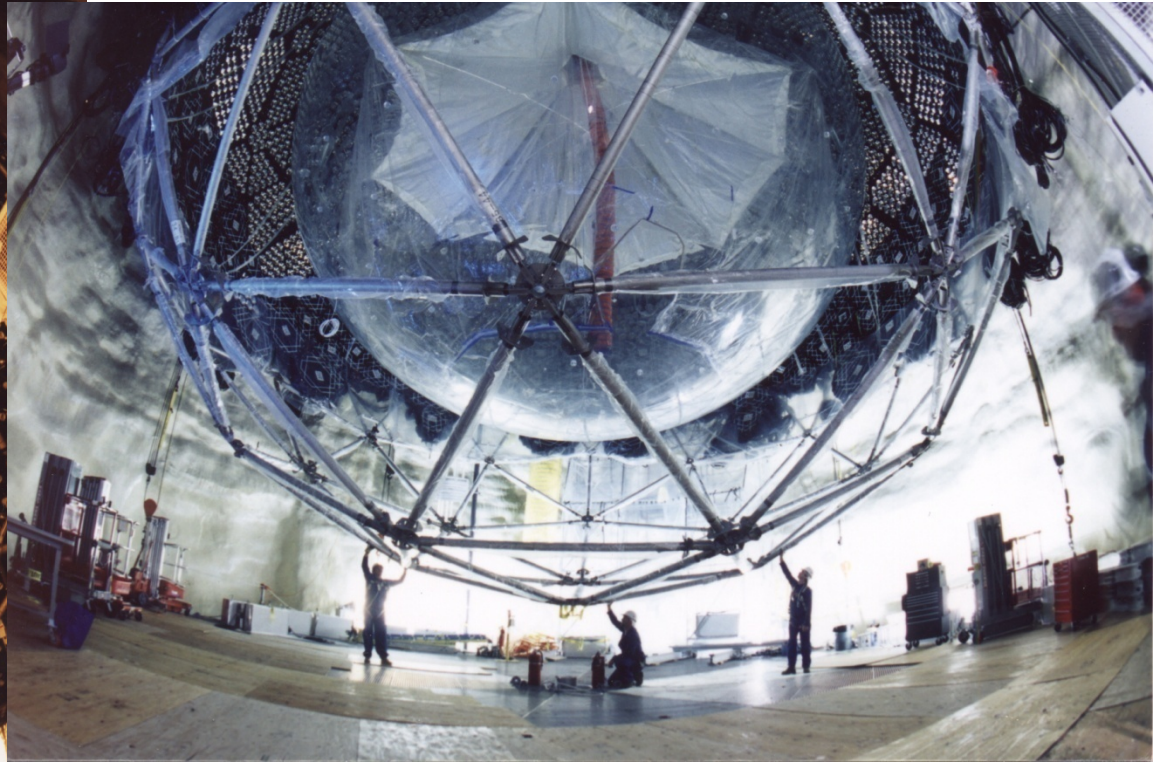
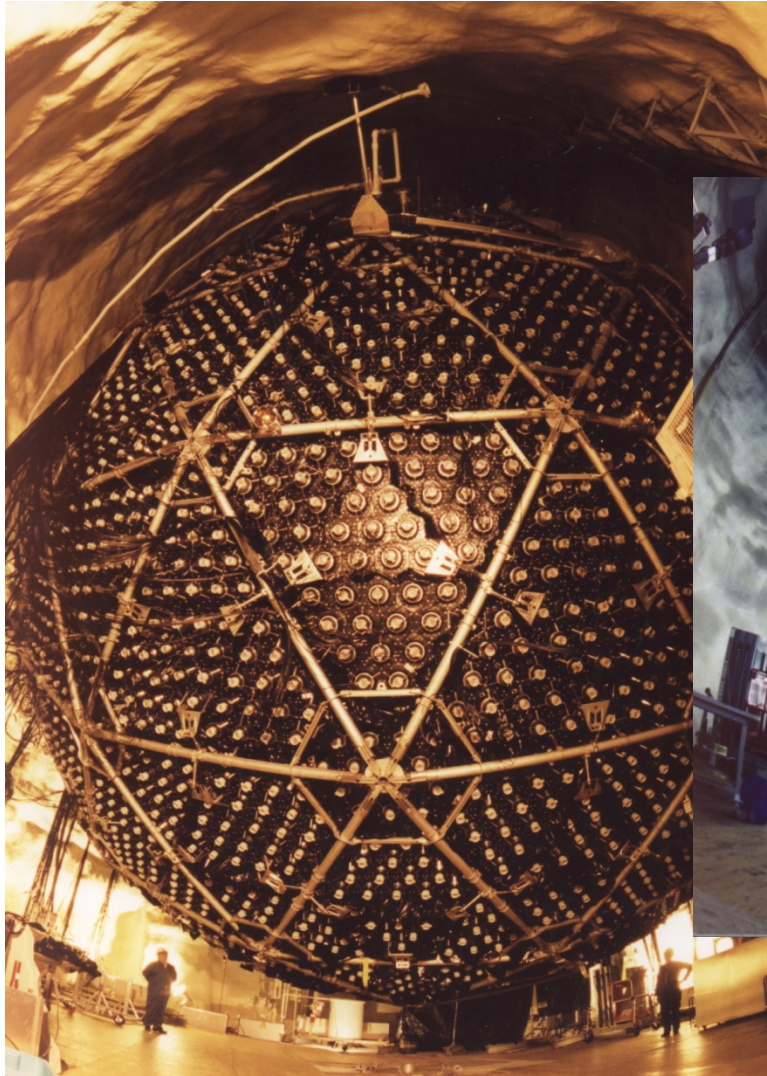
Sudbury
Neutrino
Observatory



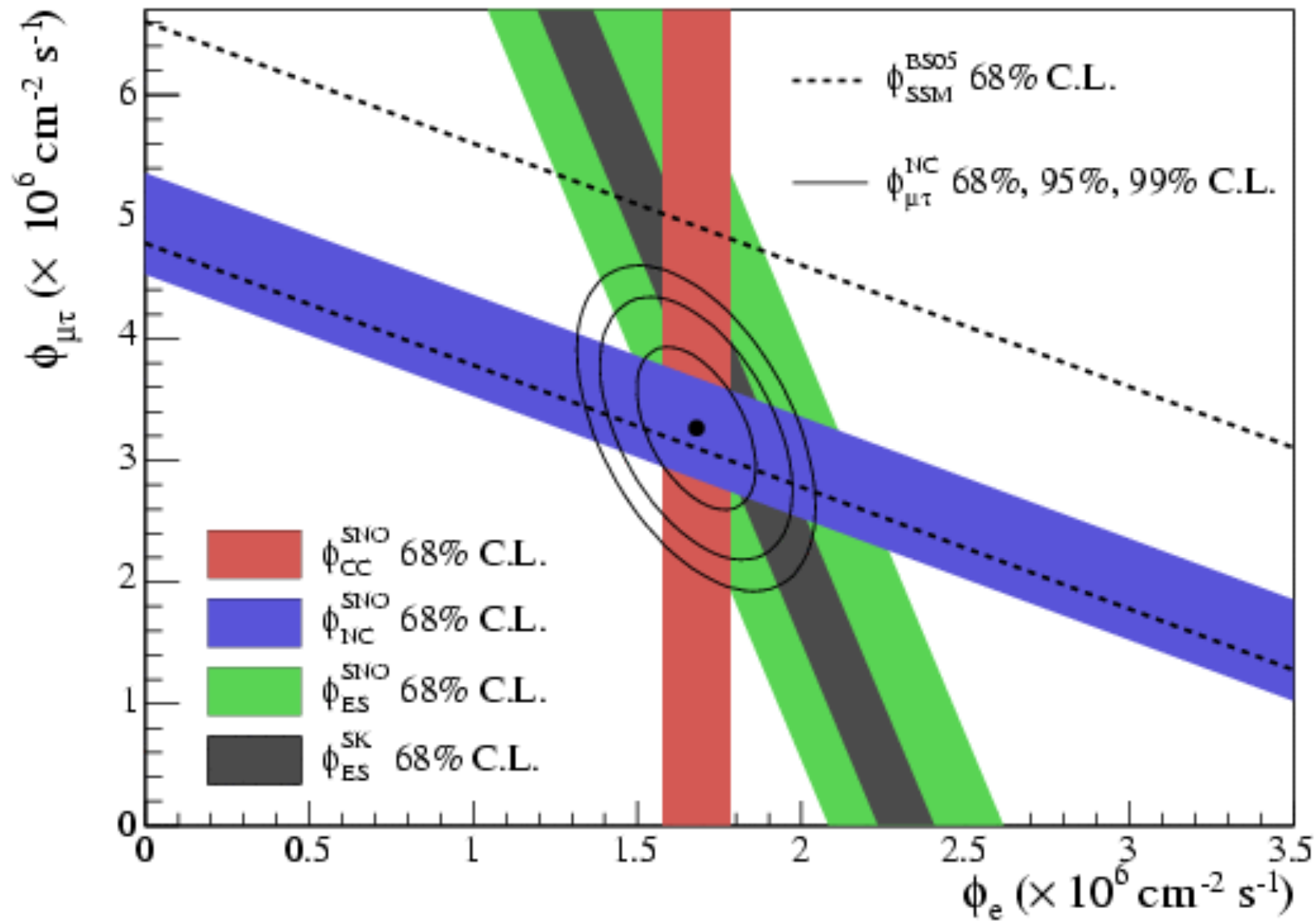
Measures CC as well as NC scattering

CC: only electron neutrinos

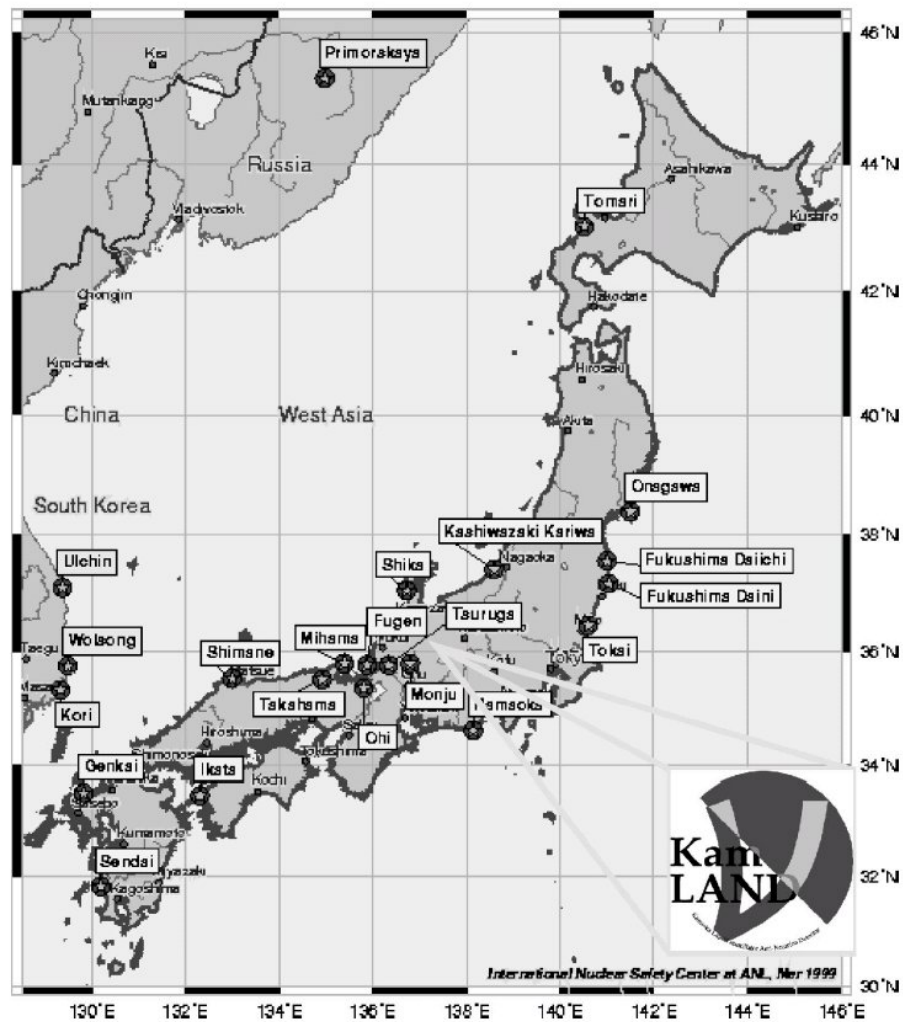
NC: all neutrino flavours



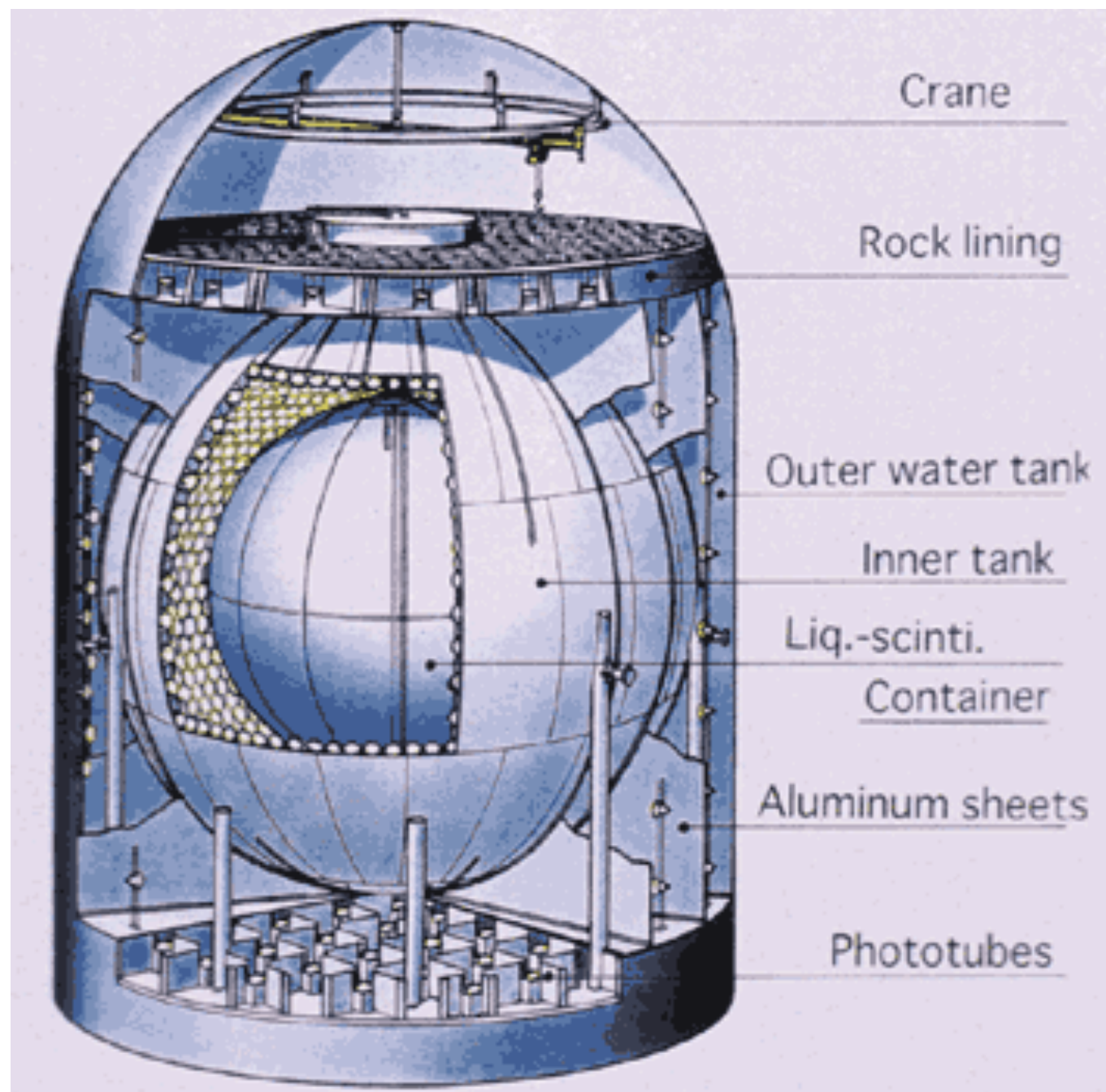
Result: neutrino flux agrees with solar models
but only 1/3 are electron neutrinos

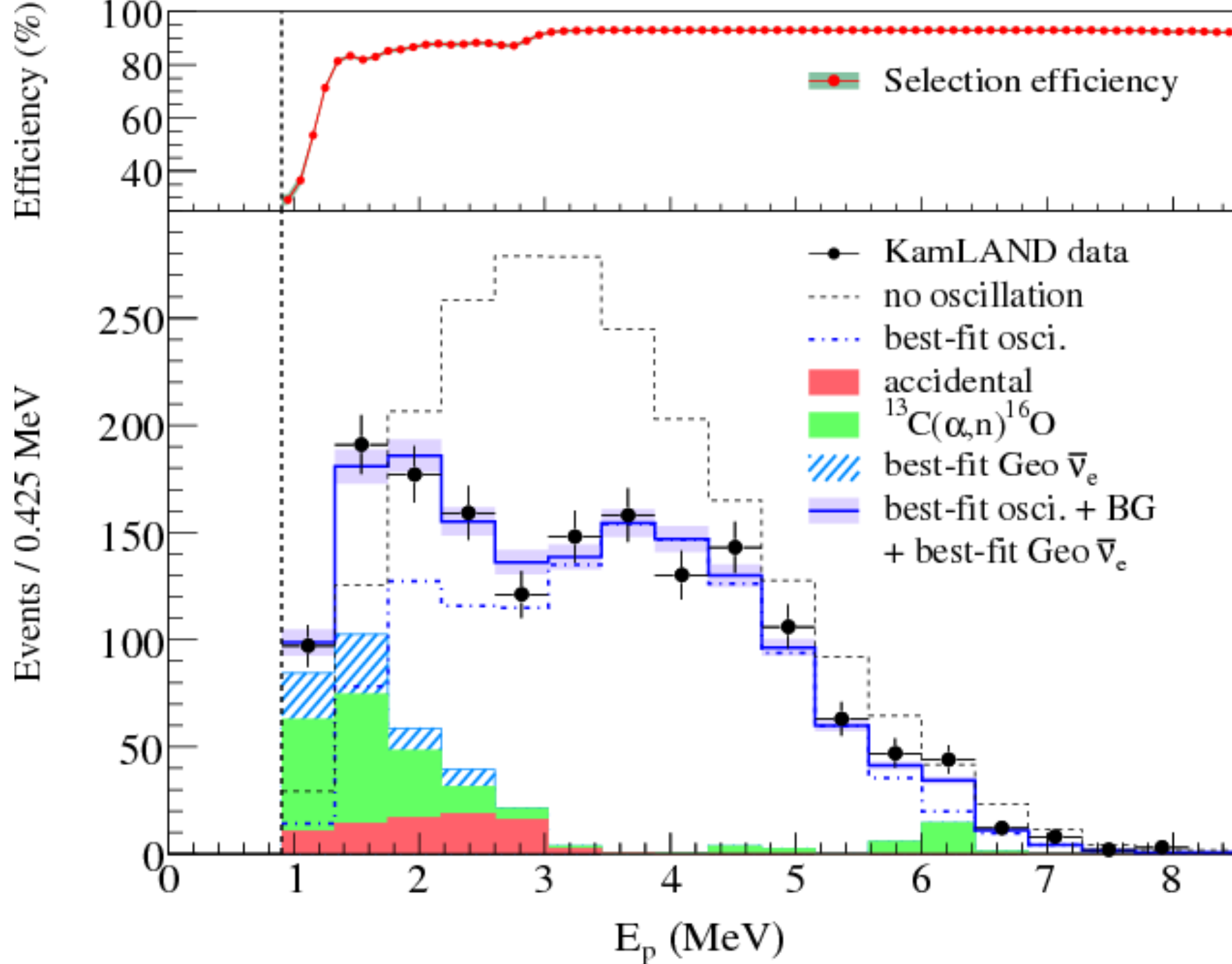


KamLAND

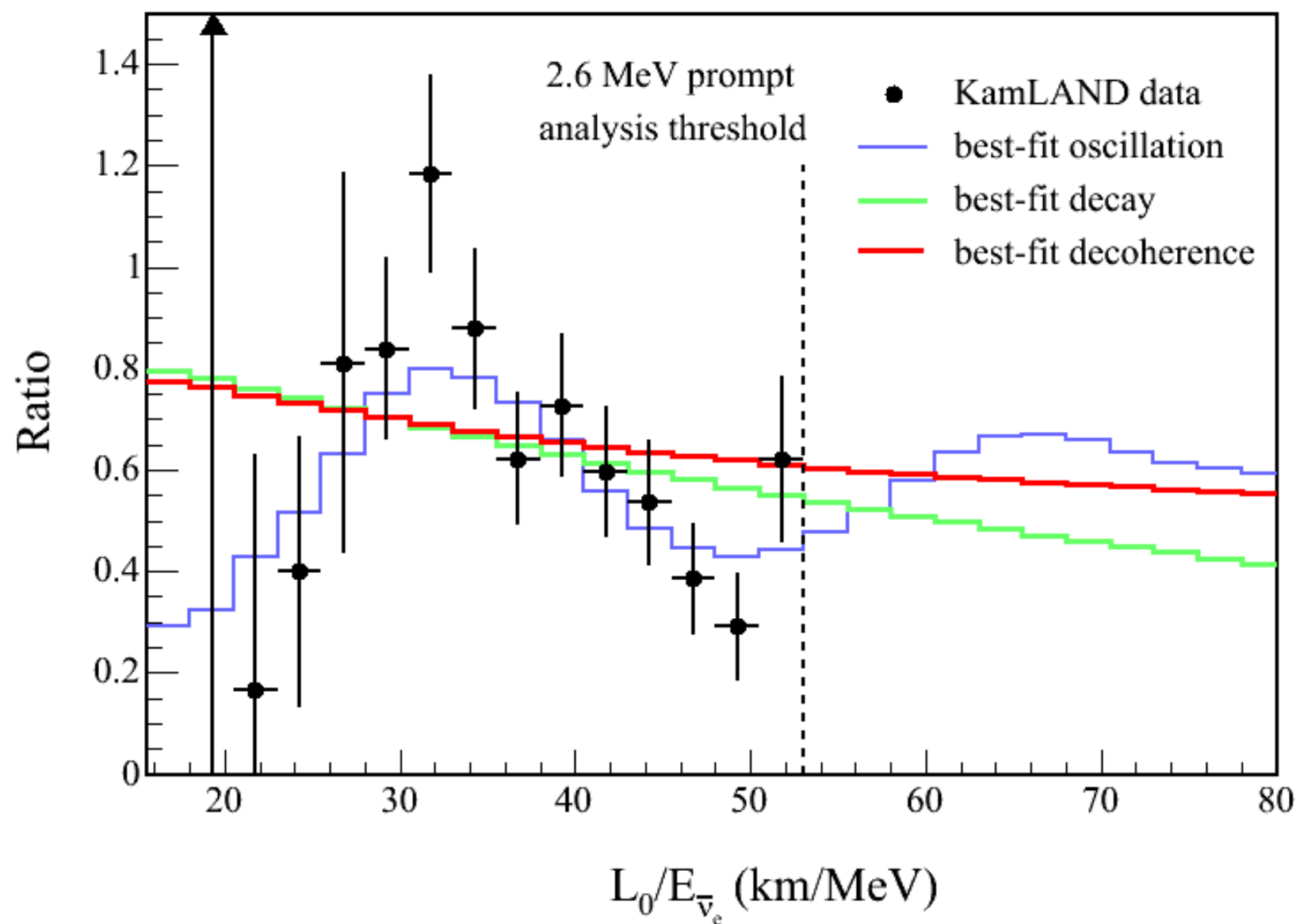


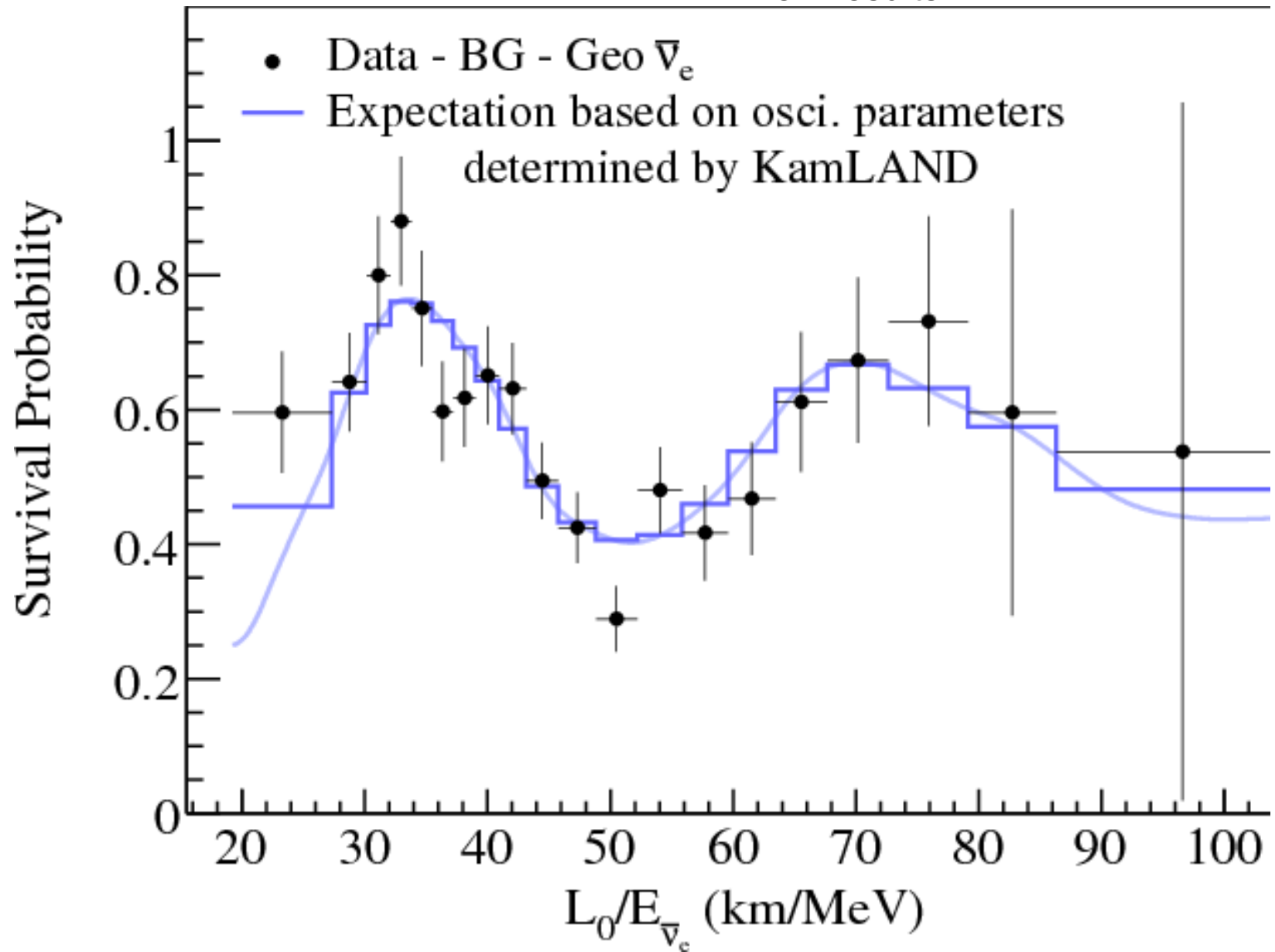
Site	Distance (km)	of cores	P_{th} (GW)	Flux ($\bar{\nu}_e$ cm $^{-2}$ s $^{-1}$)	Signal ($\bar{\nu}_e$ /yr)
Japan					
Kashiwazaki	160.0	7	24.6	4.25×10^5	348.1
Ohi	179.5	4	13.7	1.88×10^5	154.0
Takahama	190.6	4	10.2	1.24×10^5	101.8
Hamaoka	214.0	4	10.6	1.03×10^5	84.1
Tsuruga	138.6	2	4.5	1.03×10^5	84.7
Shiga	80.6	1	1.6	1.08×10^5	88.8
Mihama	145.4	3	4.9	1.03×10^5	84.5
Fukushima-1	344.0	6	14.2	5.3×10^4	43.5
Fukushima-2	344.0	4	13.2	4.9×10^4	40.3
Tokai-II	294.6	1	3.3	1.7×10^4	13.7
Shimane	414.0	2	3.8	9.9×10^3	8.1
Onagawa	430.2	2	4.8	9.8×10^3	8.1
Ikata	561.2	3	6.0	8.4×10^3	6.9
Genkai	755.4	4	6.7	5.3×10^3	4.3
Sendai	824.1	2	3.3	3.5×10^3	2.8
Tomari	783.5	2	5.3	2.4×10^3	2.0
South Korea					
Ulchim	~ 750	4	11.2	8.8×10^3	7.2
Wolsong	~ 690	4	8.1	7.5×10^3	5.2
Yonggwang	~ 940	6	16.8	8.4×10^3	6.9
Kori	~ 700	4	8.9	8.0×10^3	6.6
Total		69	175.7	1.34×10^6	1101.6





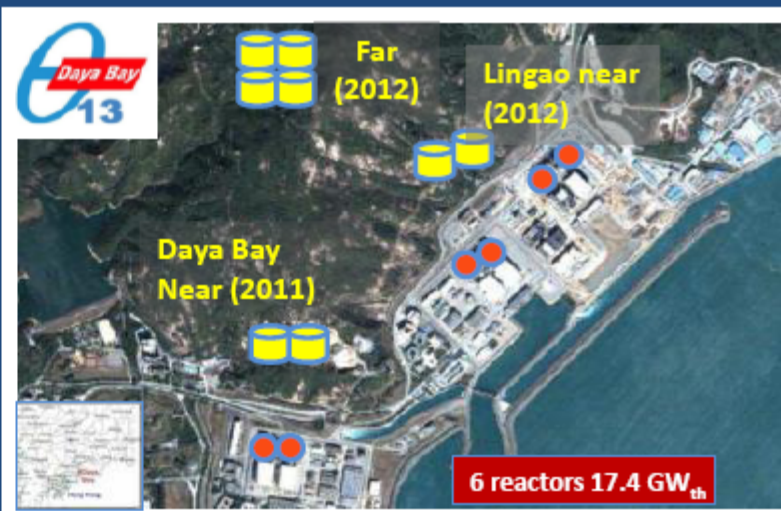
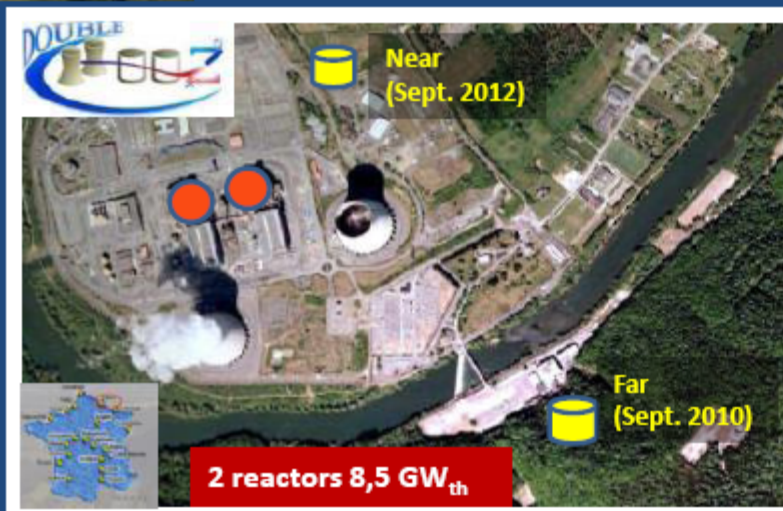
Older results



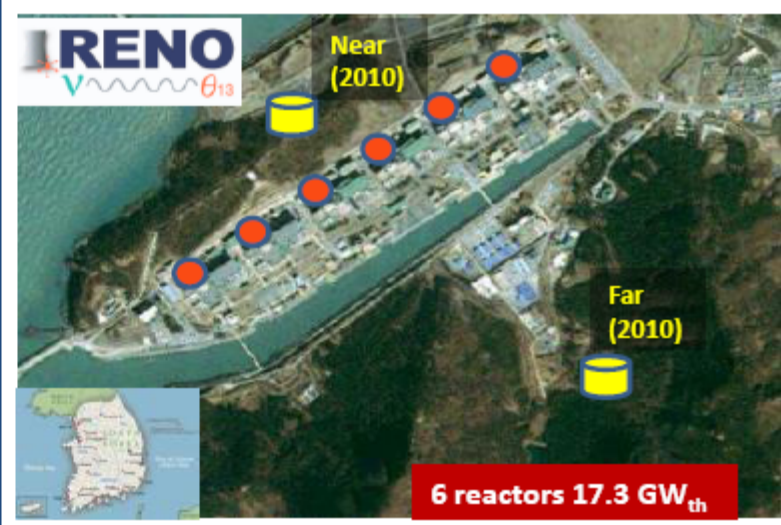


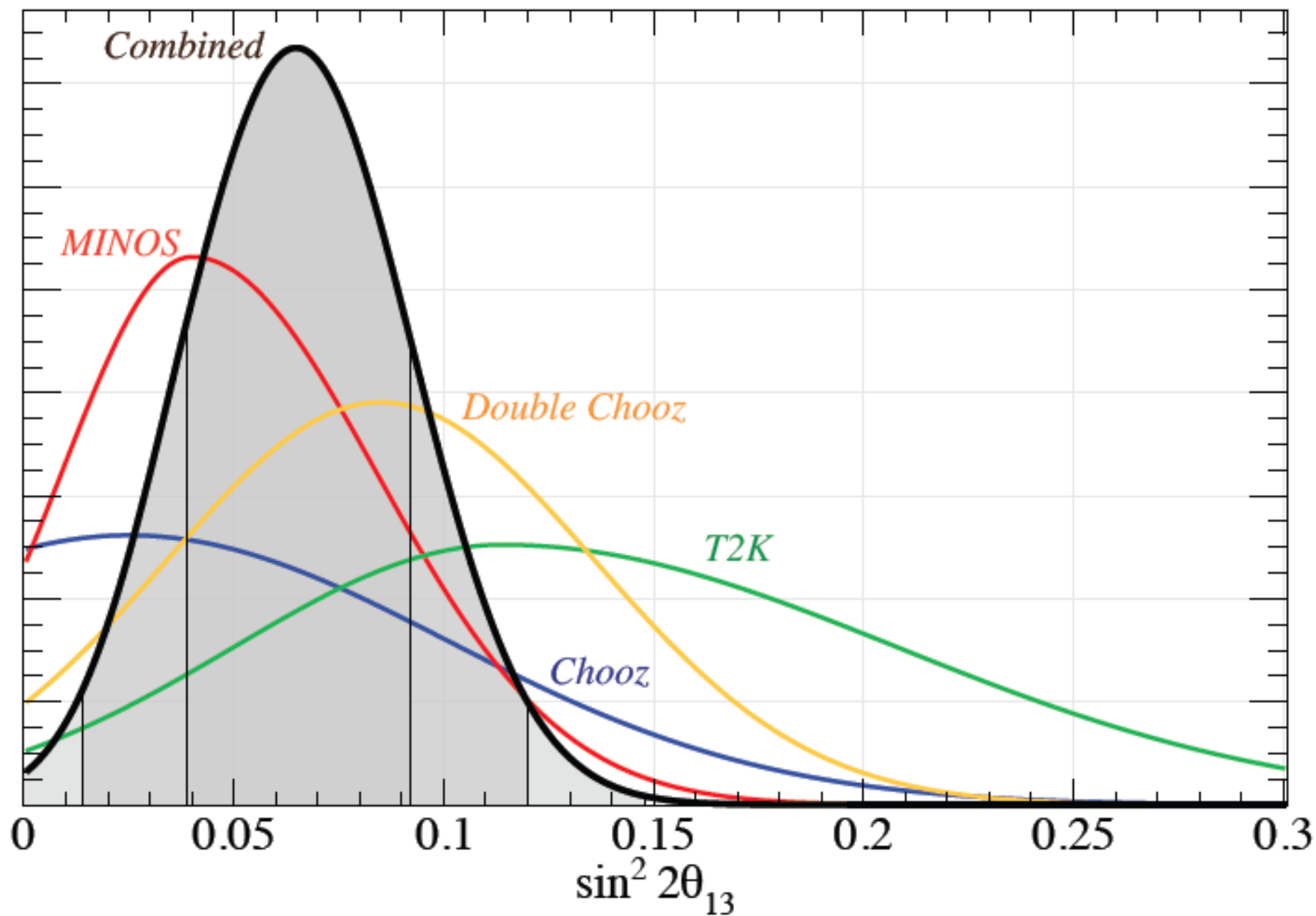


Reactor neutrinos

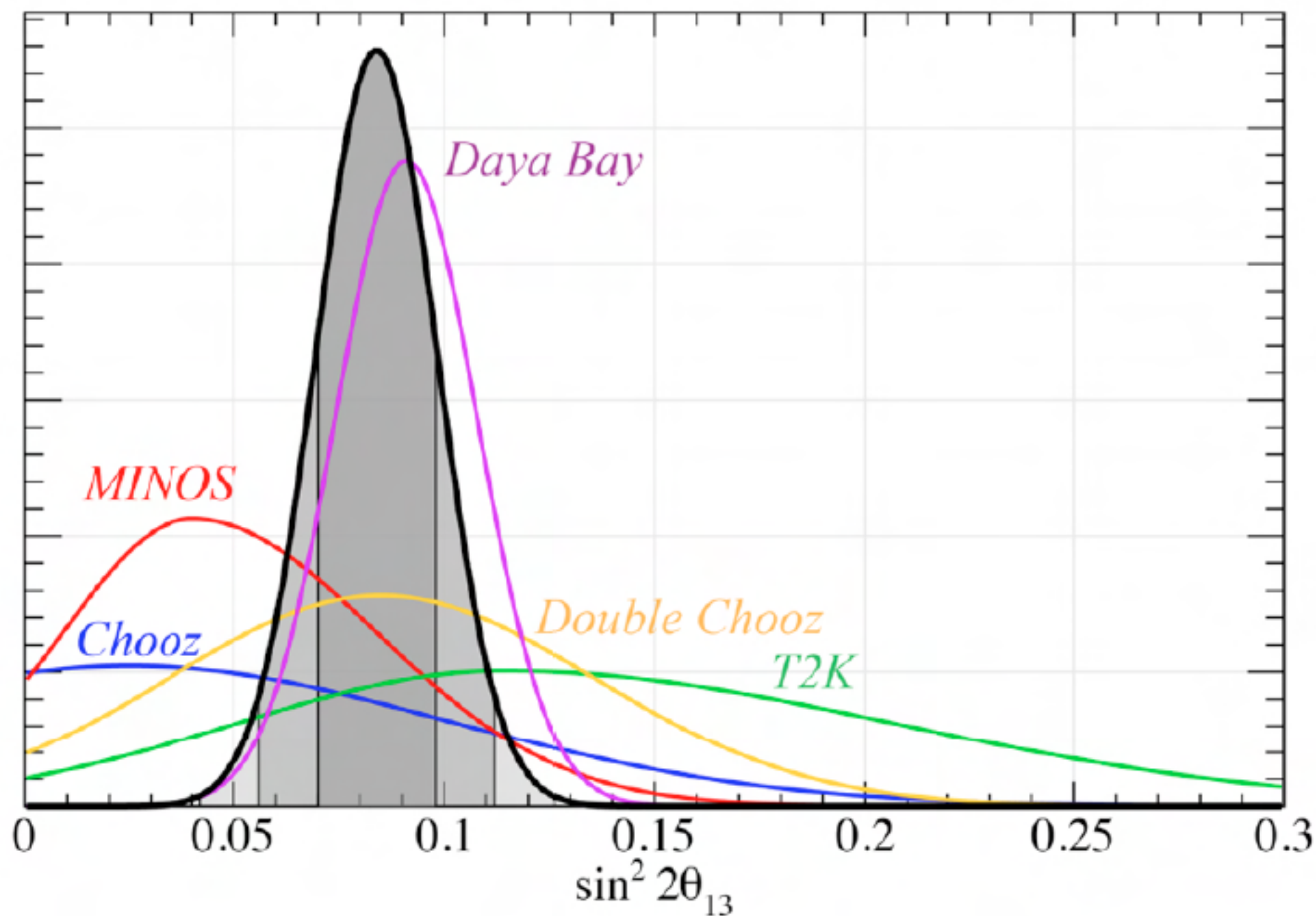


	Location	Thermal Power	Distance Near/far	Depth Near/far
Double Chooz	France	8.5	410/1050	120/300
RENO	South Korea	17.3	290/1380	120/450
DAYA BAY	China	17.4	360/1985 500/1613	260/910



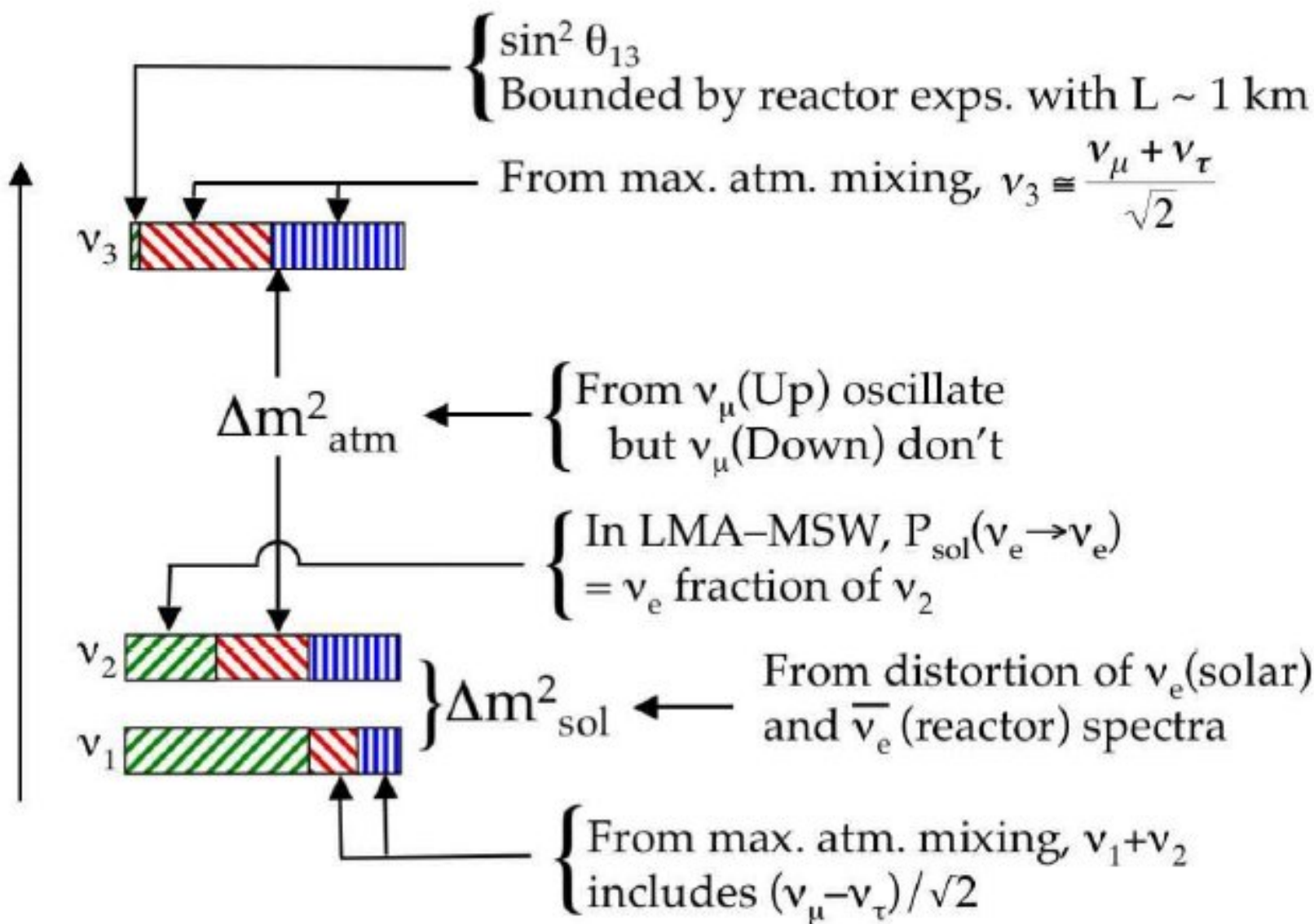


Ideogram of recent θ_{13} results for normal hierarchy, $\delta_{CP}=0$, and maximal θ_{23}



Ideogram of recent θ_{13} results for normal hierarchy, $\delta_{CP}=0$, and maximal θ_{23}

(mass)²

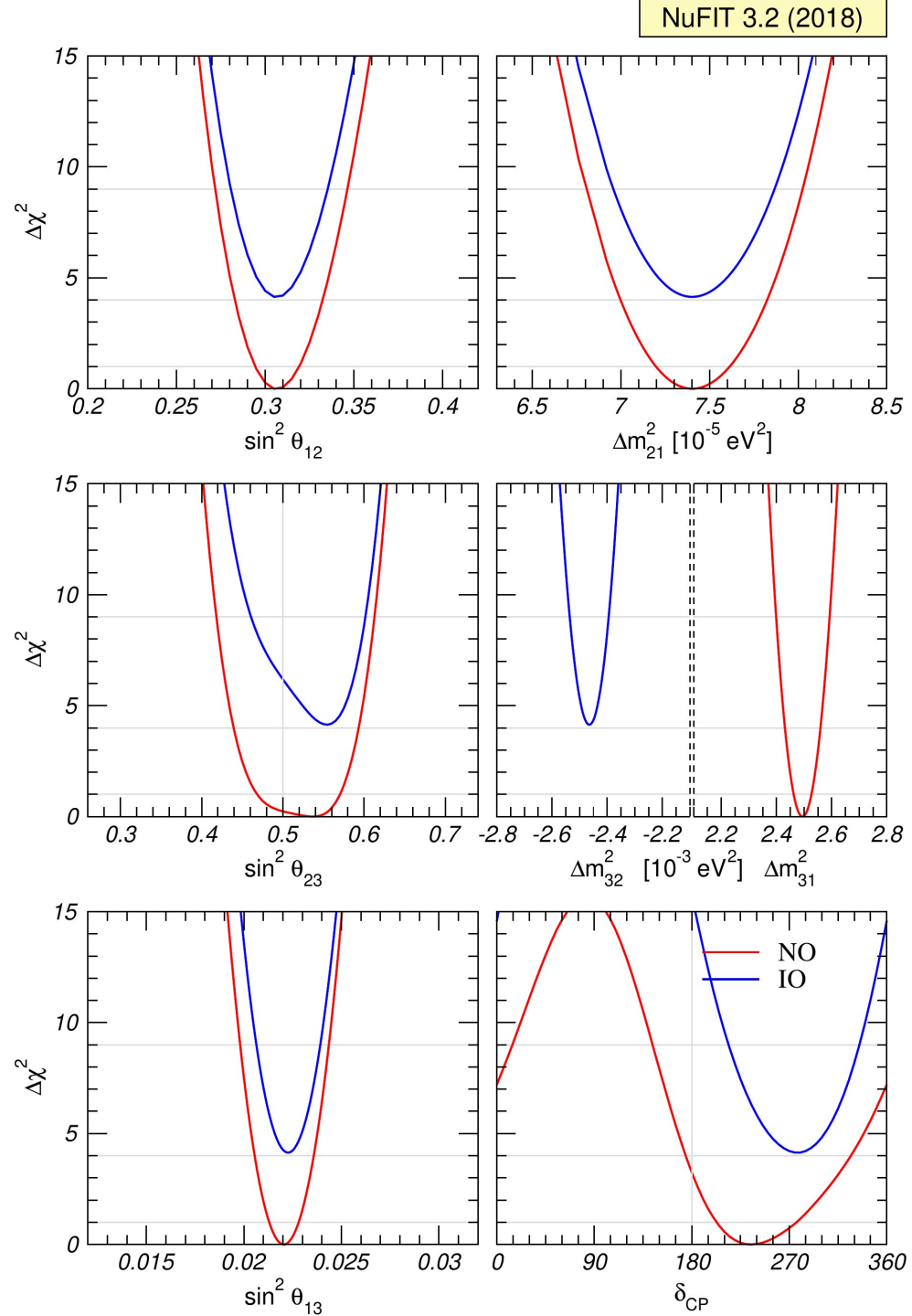
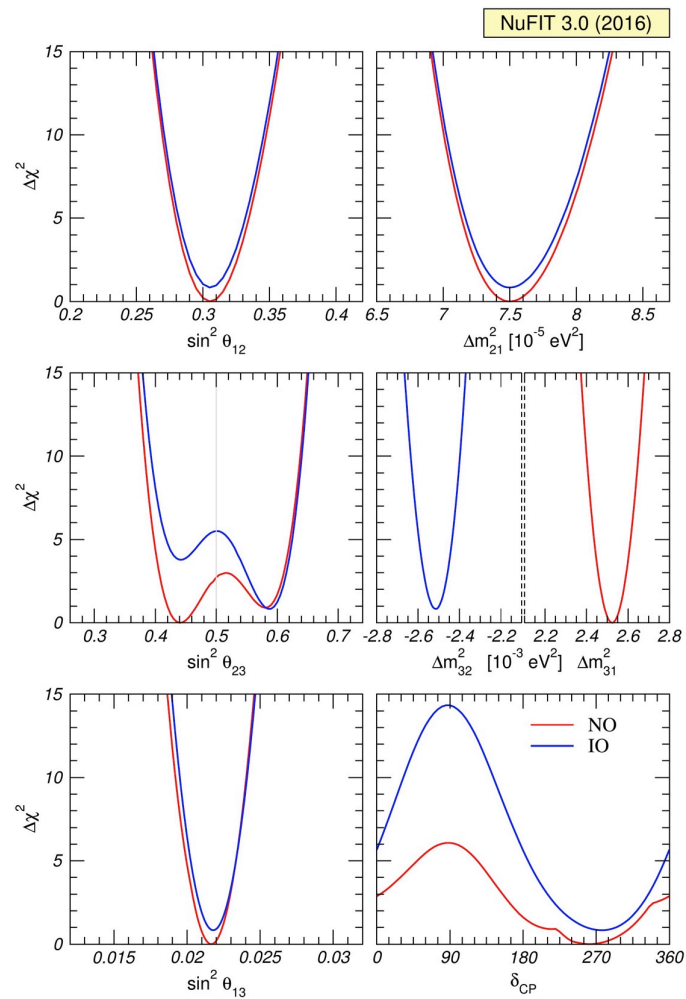


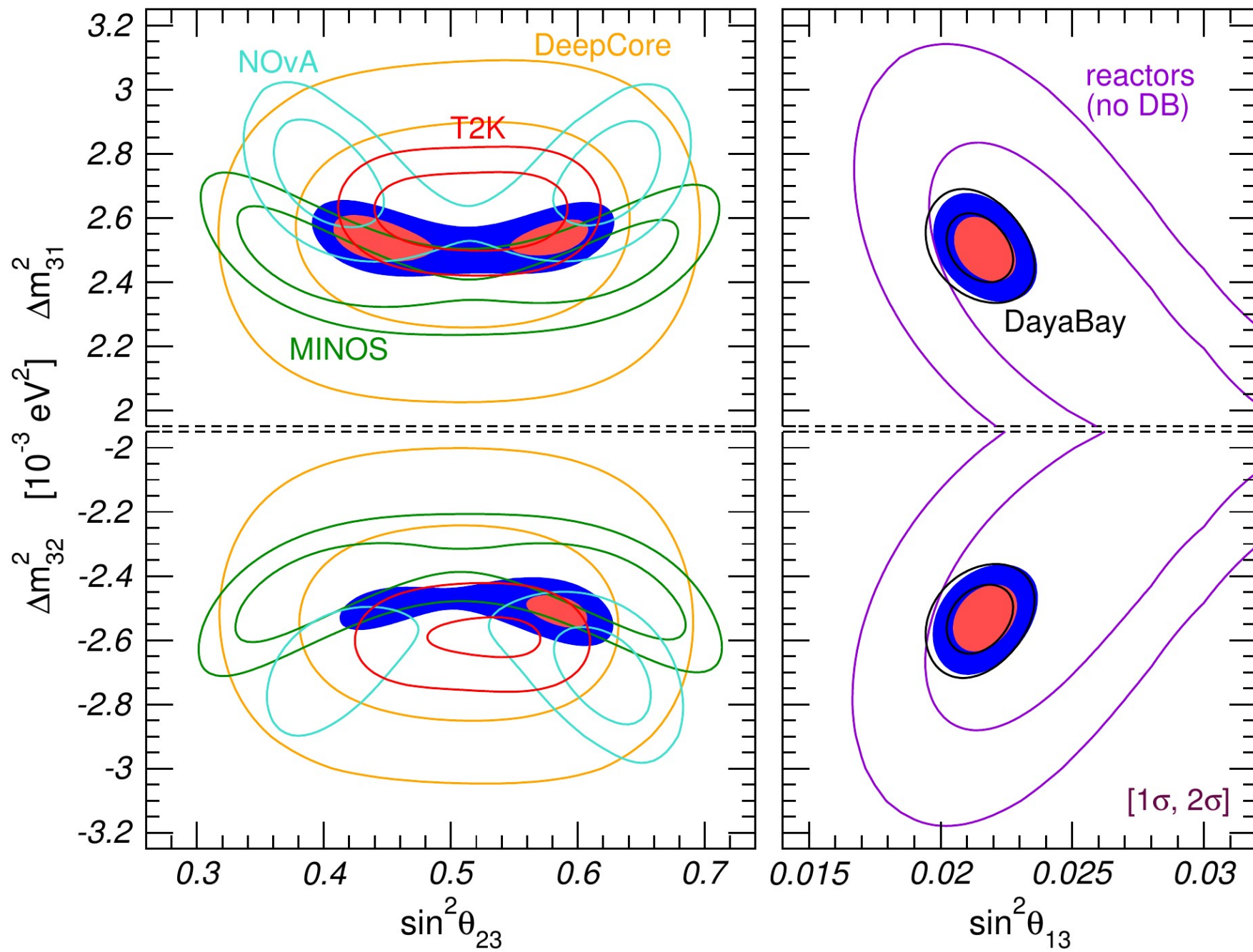
 $\nu_e [|U_{ei}|^2]$

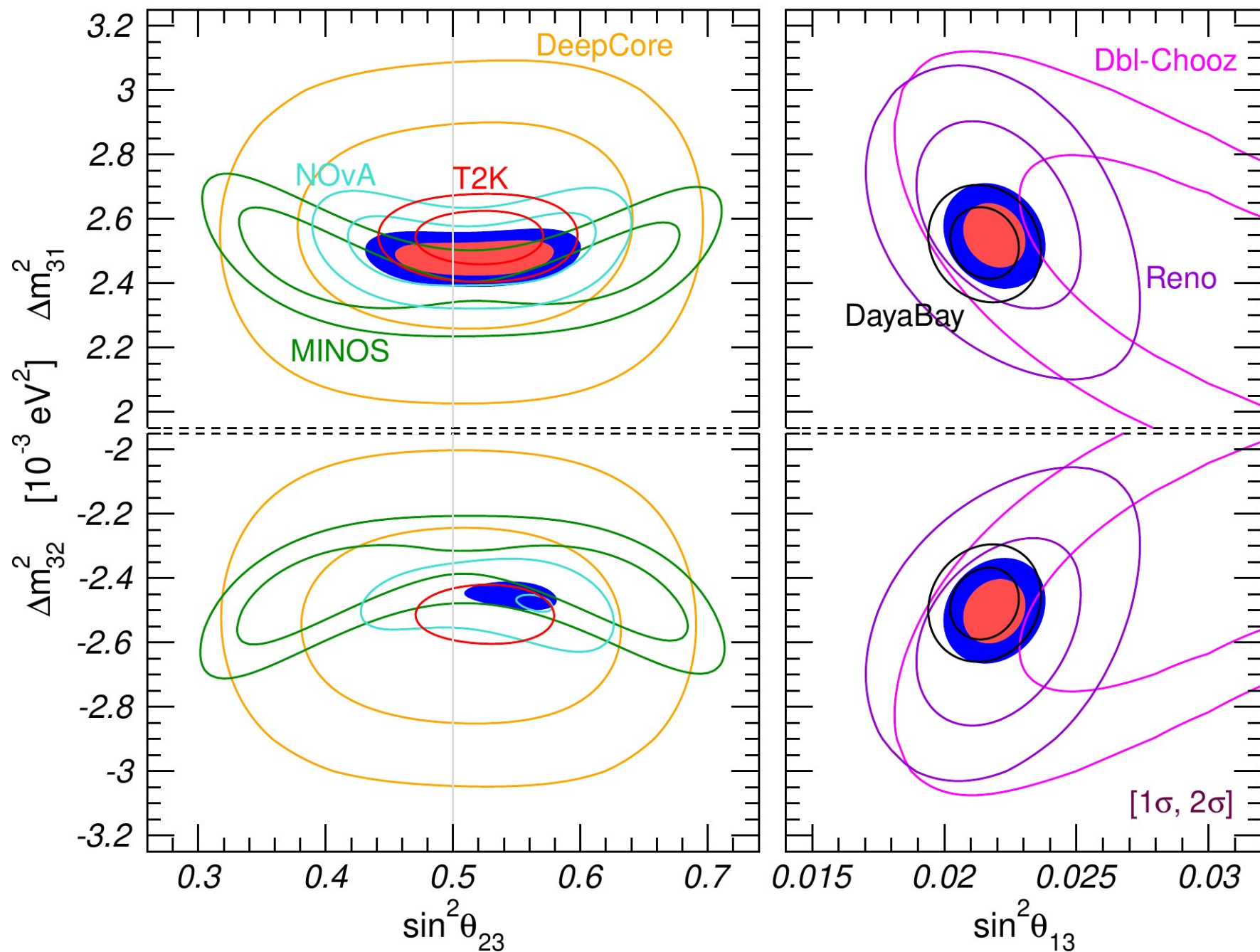
 $\nu_\mu [|U_{\mu i}|^2]$

 $\nu_\tau [|U_{\tau i}|^2]$

Current status of parameter fits







$$U = \begin{array}{c} \text{Atmospheric} \\ \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \end{array} \times \begin{array}{c} \text{Cross-Mixing} \\ \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \end{array} \times \begin{array}{c} \text{Solar} \\ \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \end{array}$$

$$\theta_{12} \sim 32^\circ$$

$$\theta_{23} \sim 45^\circ$$

$$\theta_{13} \sim 8^\circ$$

Big mixing

(Almost?) Maximal mixing!

Small but nonzero mixing!

PMNS:

$$\begin{bmatrix} 0.83 & 0.54 & 0.15 \\ 0.49 & 0.57 & 0.66 \\ 0.28 & 0.62 & 0.73 \end{bmatrix}$$

CKM:

$$\begin{bmatrix} 0.98 & 0.22 & 0.004 \\ 0.22 & 0.98 & 0.04 \\ 0.004 & 0.04 & 1 \end{bmatrix}$$

This is very different from CKM matrix!

Why? Nobody knows!

Open questions: Hierarchy ν_1, ν_2, ν_3 (mass ordering) ?

δ ?

Is the 3-neutrino picture correct?

Explain the form of the PMNS matrix?

What are the neutrino masses exactly?

Why are they so small?

Neutrinos: Dirac or Majorana?

TB Mixing

TB mixing is close to the data; less now,
but still: θ_{12}, θ_{23} agree at $< \sim 2\sigma$

$$U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{-1}{\sqrt{2}} \\ \frac{-1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$$

and θ_{13} is the smallest angle

At 1σ :

Fogli et al '13

$$\sin^2\theta_{12} = 1/3 : 0.291 - 0.325$$

$$\sin^2\theta_{23} = 1/2 : 0.40 - 0.45$$

$$\sin\theta_{13} = 0 : 0.15 - 0.16$$

A coincidence or a hint?

Called:

Tri-Bimaximal mixing

Harrison, Perkins, Scott '02

$$\nu_3 = \frac{1}{\sqrt{2}}(-\nu_\mu + \nu_\tau)$$

$$\nu_2 = \frac{1}{\sqrt{3}}(\nu_e + \nu_\mu + \nu_\tau)$$

θ_{13} largish and θ_{23} non maximal move away from exact TB

⊕ (still remains a good first approximation)

TB Mixing naturally leads to discrete flavour groups
(similarly for GR, BM....)

TB Mixing: $U = \begin{bmatrix} \frac{\sqrt{2}}{\sqrt{3}} & \frac{1}{\sqrt{3}} & 0 \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{\sqrt{6}} & \frac{1}{\sqrt{3}} & \frac{1}{\sqrt{2}} \end{bmatrix}$

This is a particular rotation matrix with specified fixed angles

TB: Group A4, S4, T'..... A vast literature (Ma, Rajasekaran '01.....)

Some recent works: A4 Ferreira et al '13; Morisi et al '13; Gonzalez-Felipe et al '13
Holthausen et al '12; Ben Tov et al '12; King et al '12 ...

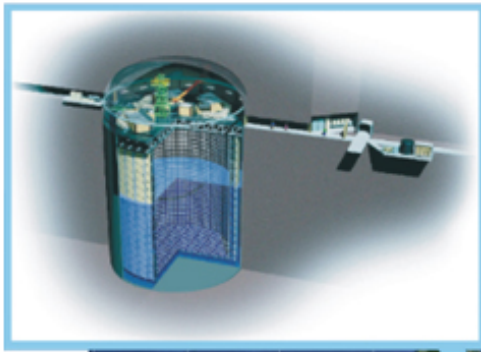
S4 Bazzocchi et al '12; Hagedorn et al '12; Zhao '11.....

T' Chen et al '13; Meroni et al '12; Merlo et al '11.....

↪

Future experiments

T2K



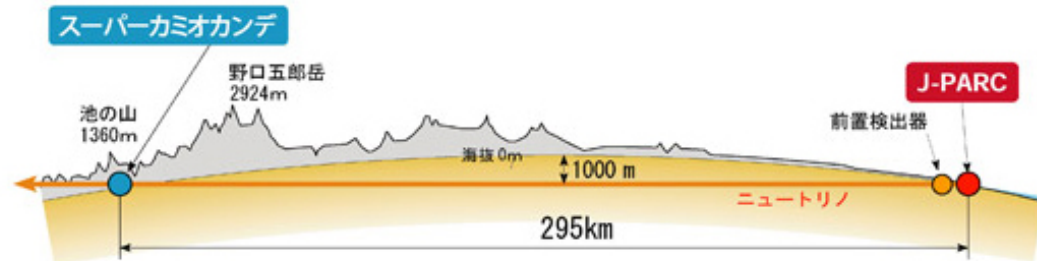
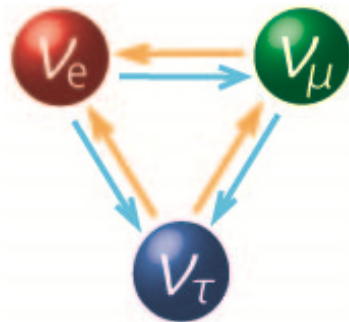
Super-Kamiokande
(ICRR, Univ. Tokyo)

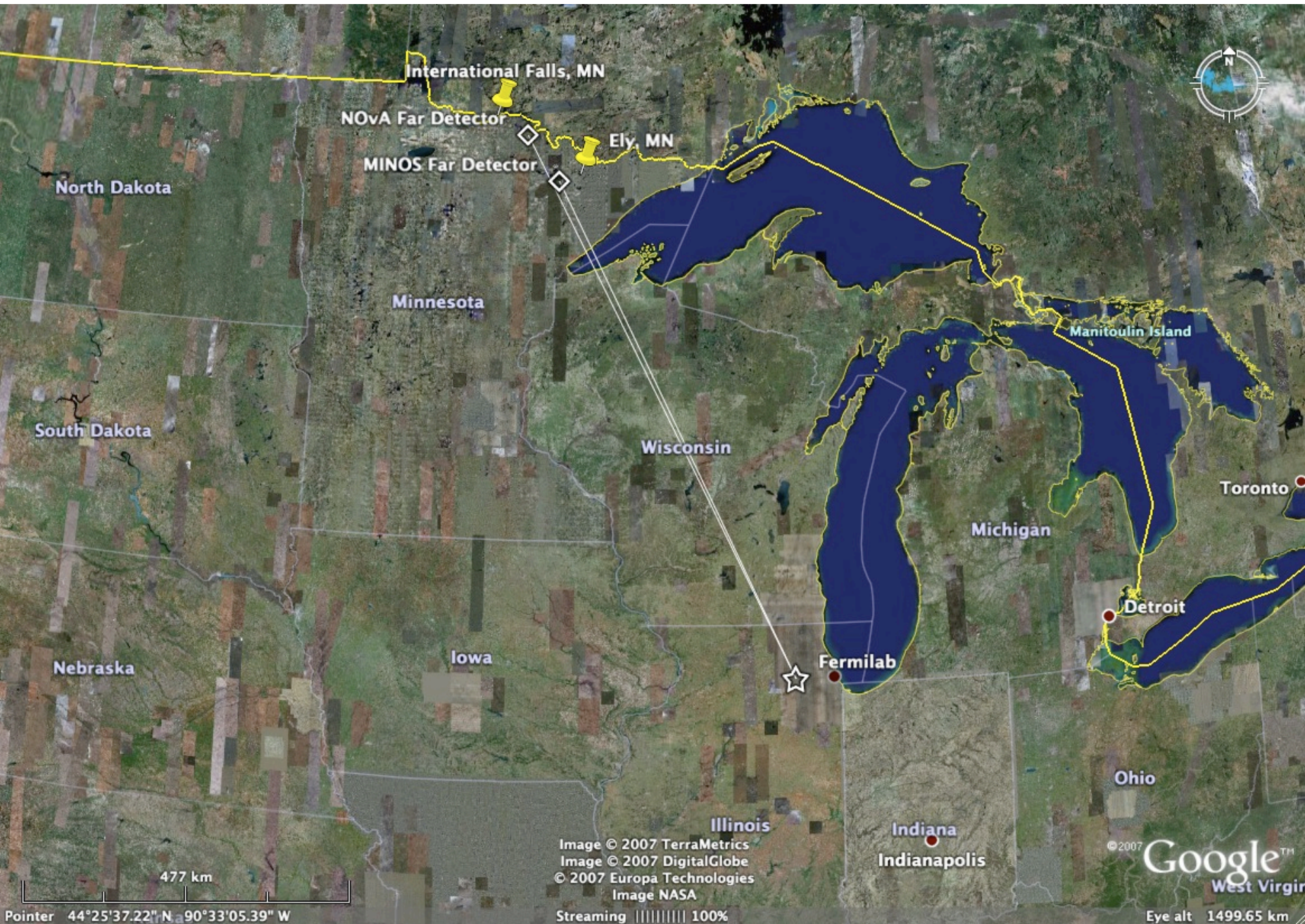
295km

Neutrino Facility
at J-PARC
(KEK-JAEA, Tokai)

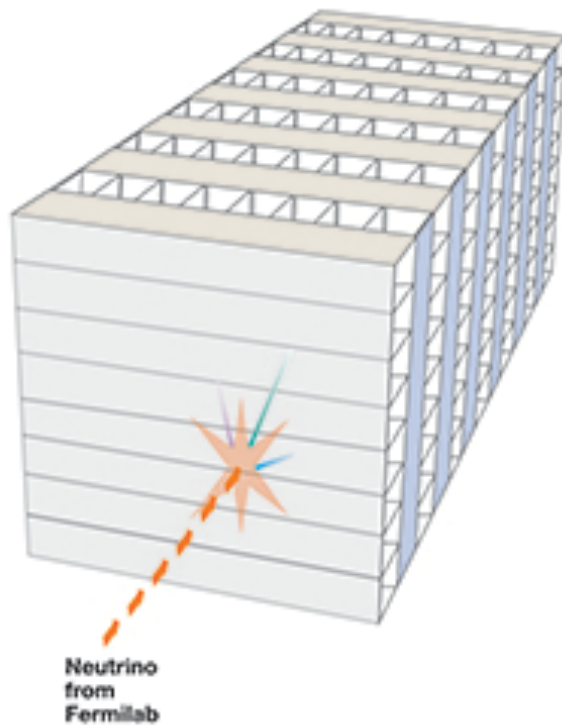


T2K

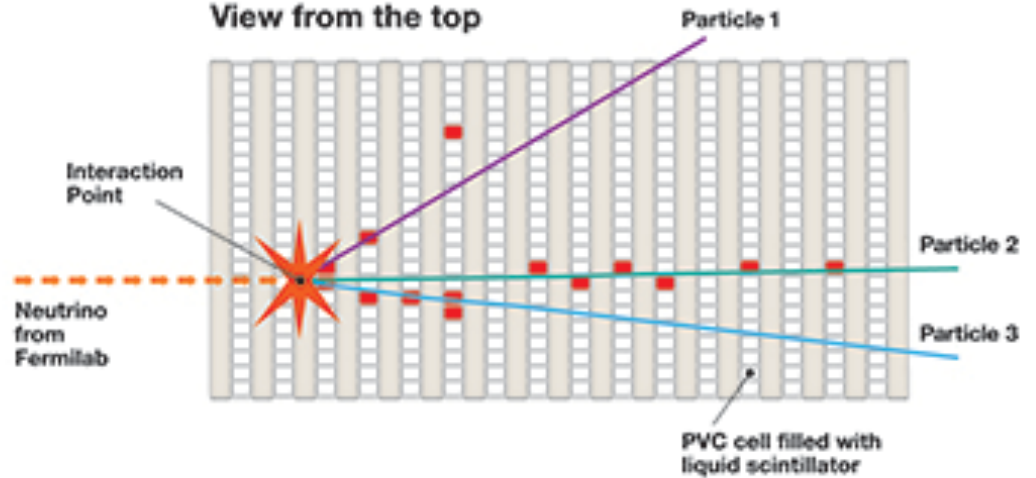




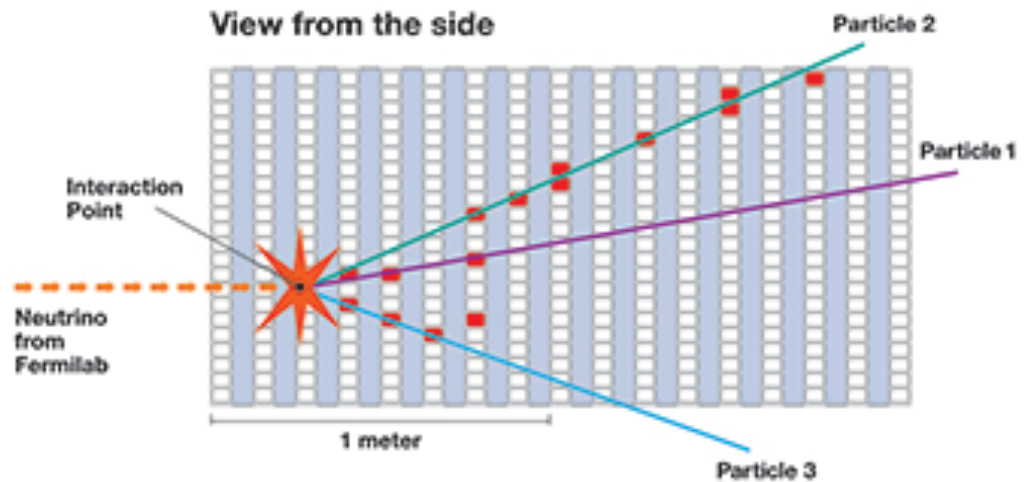
3D schematic of NOvA particle detector



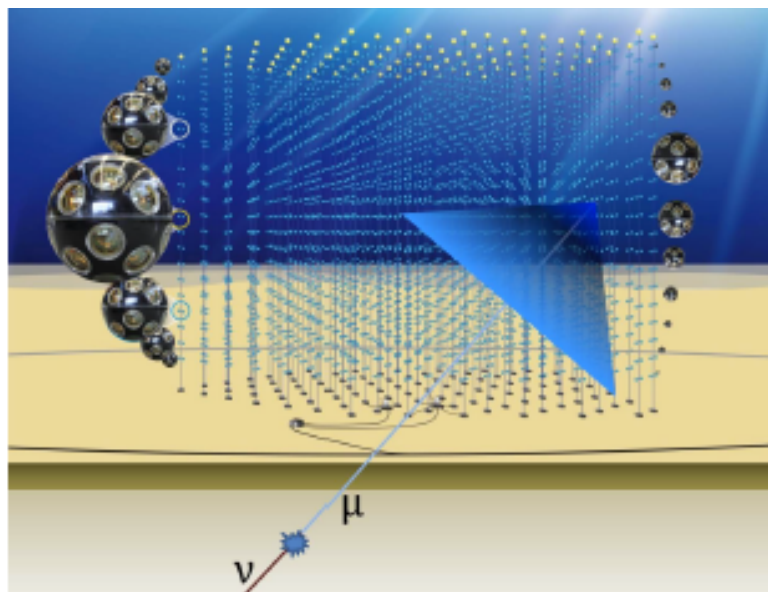
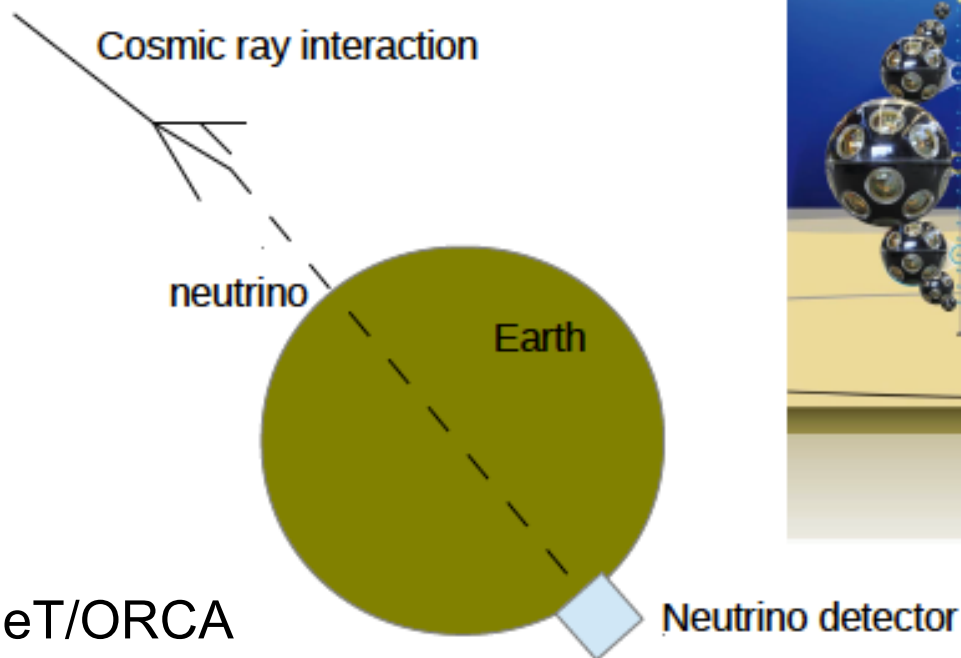
View from the top



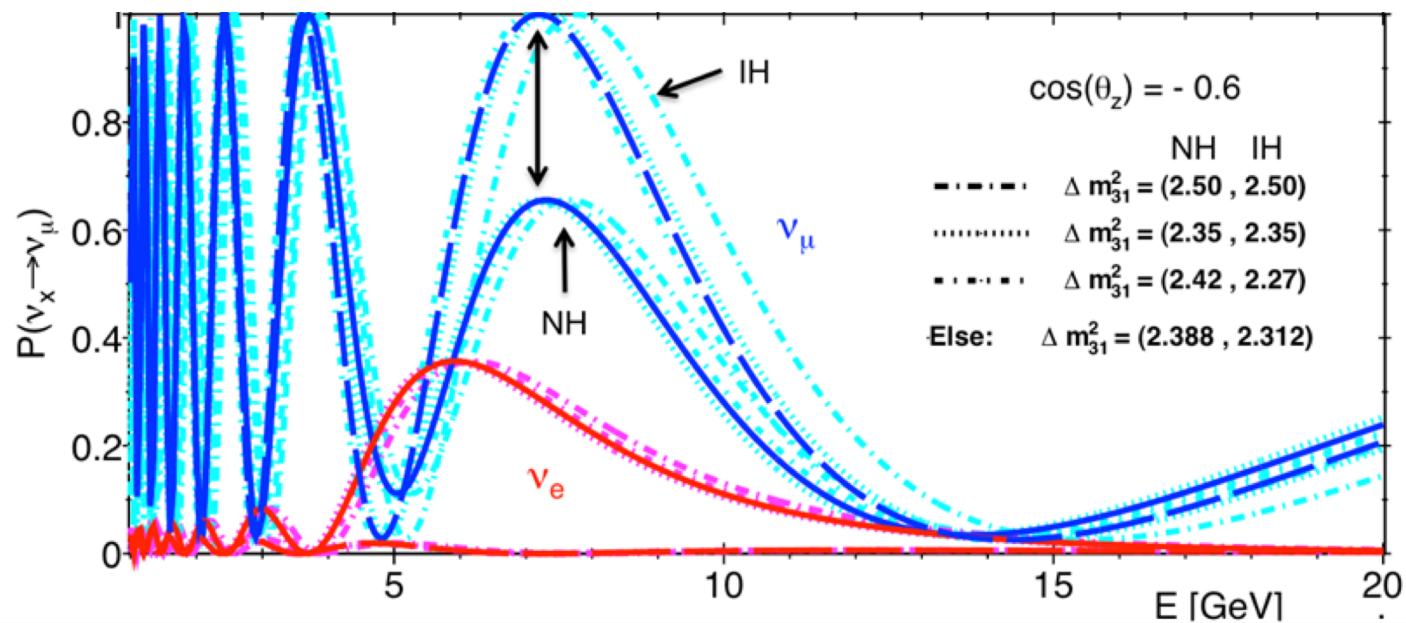
View from the side



NOvA

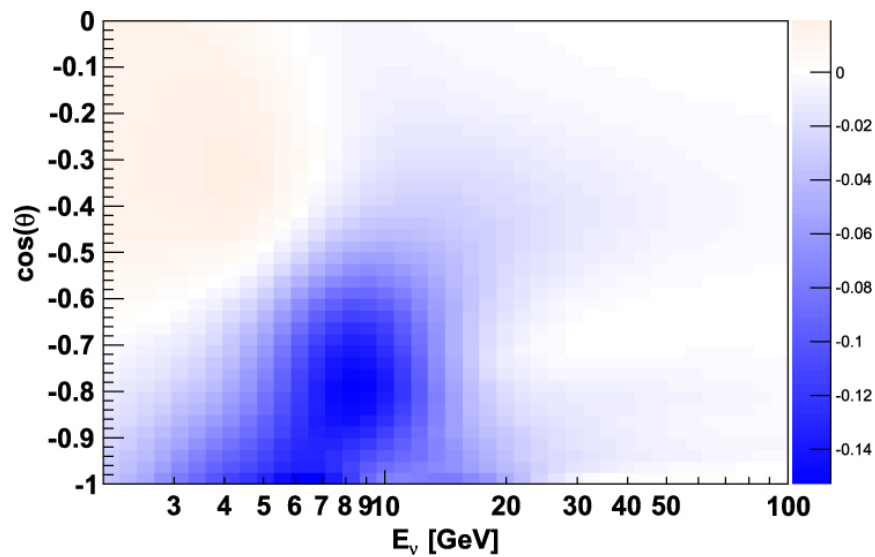


KM3NeT/ORCA

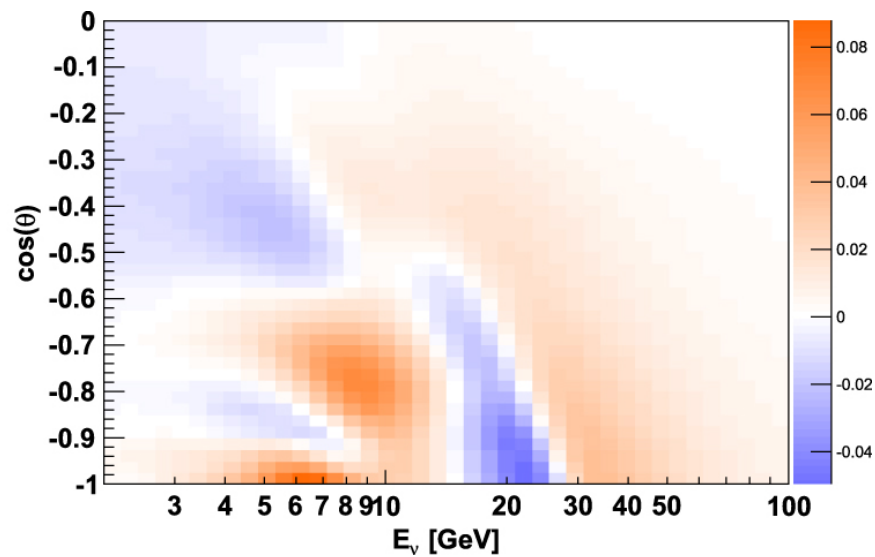


$$\text{Asymmetry} = \text{NH} / \text{IH}$$

Track-events



Shower-events

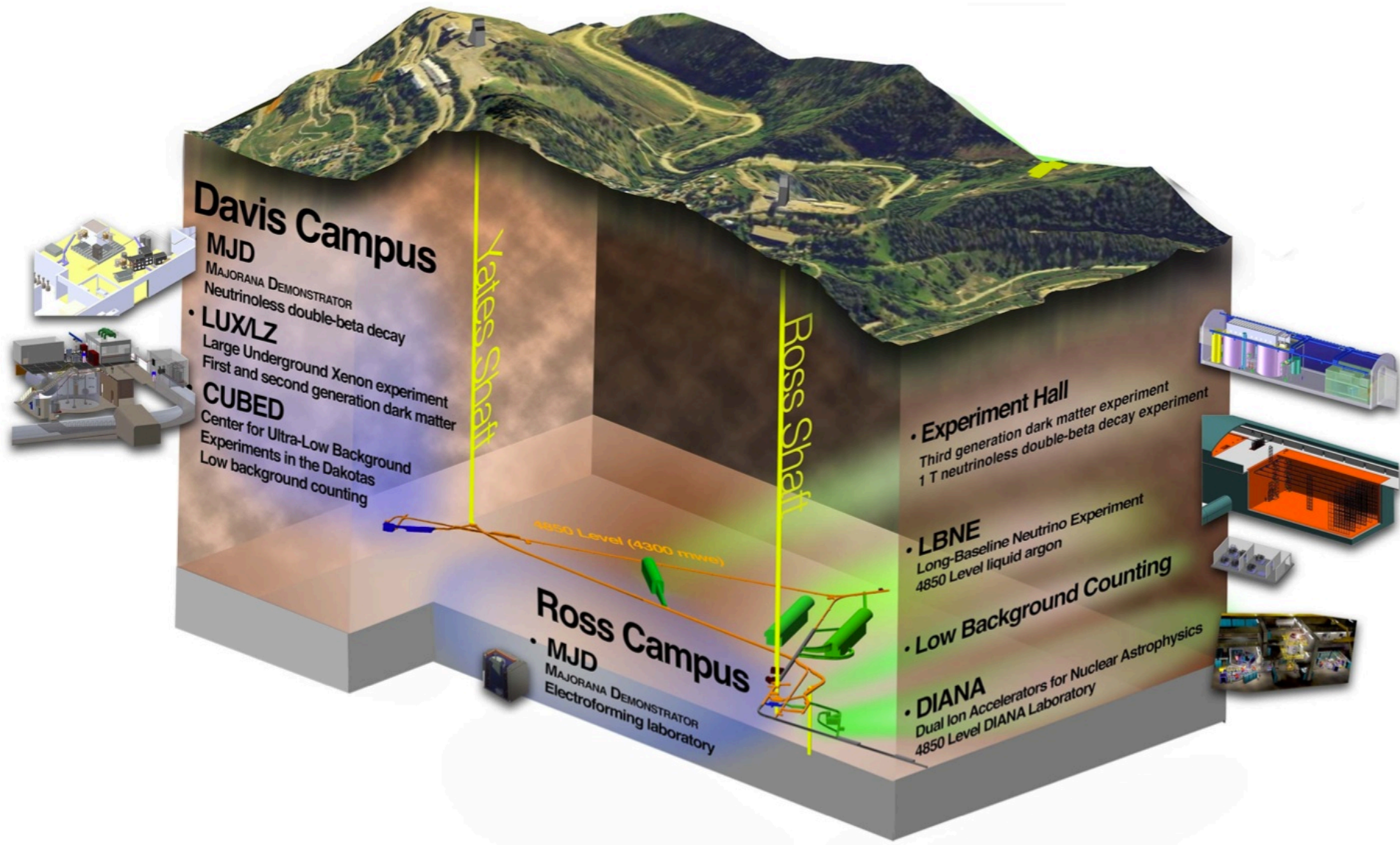


Long Baseline Neutrino Facility (Chicago-South Dakota)

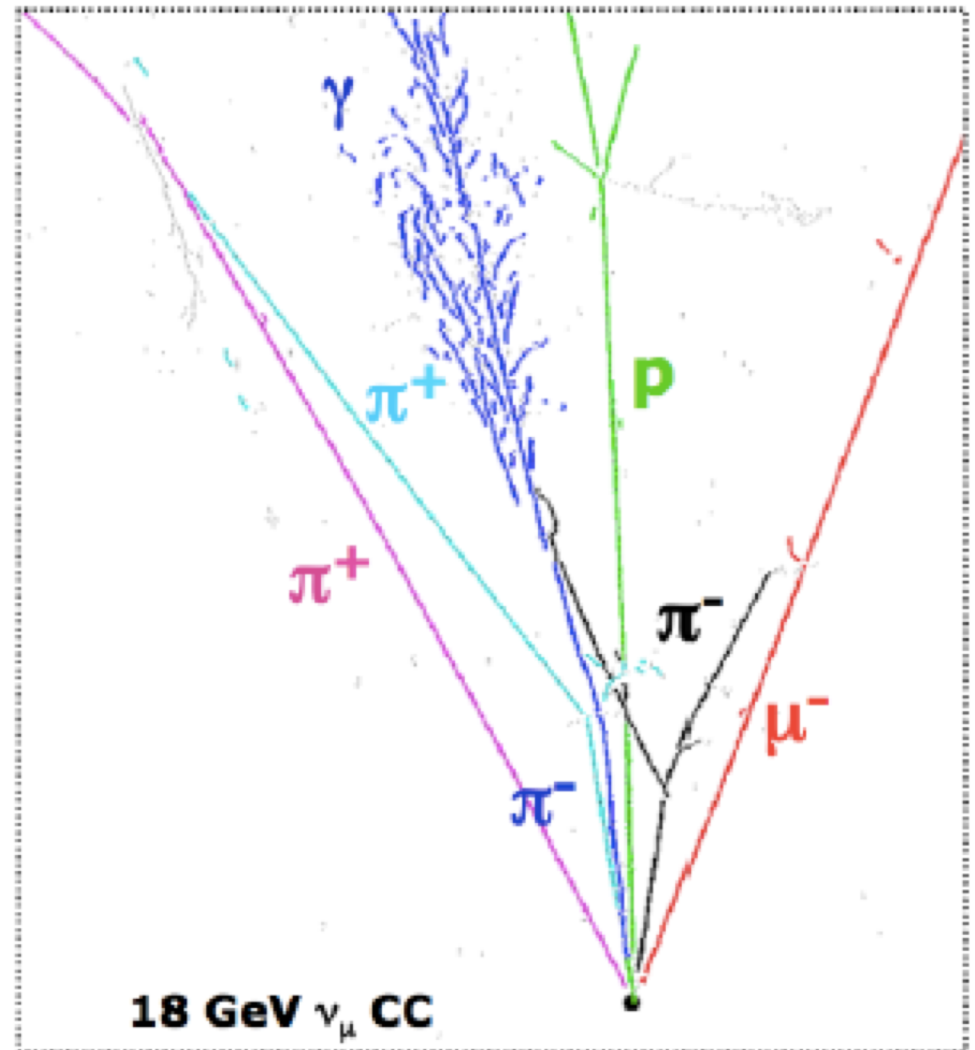
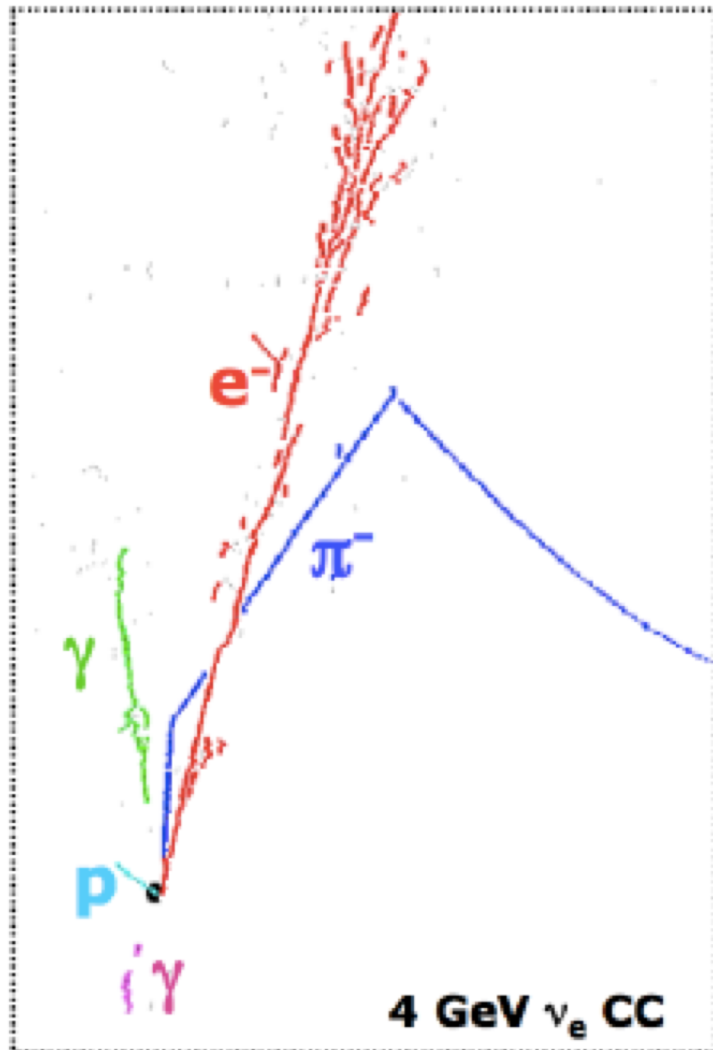
1300 km, muon (anti)neutrino beam, start 2026



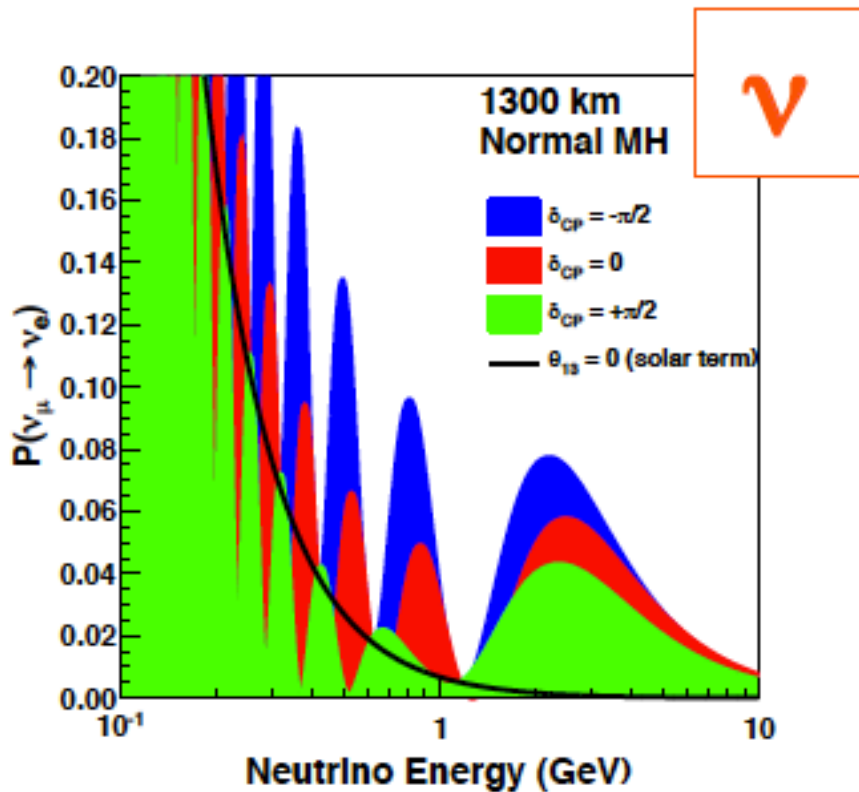
DUNE experiment in the Homestake mine. 40 kton liquid argon TPC



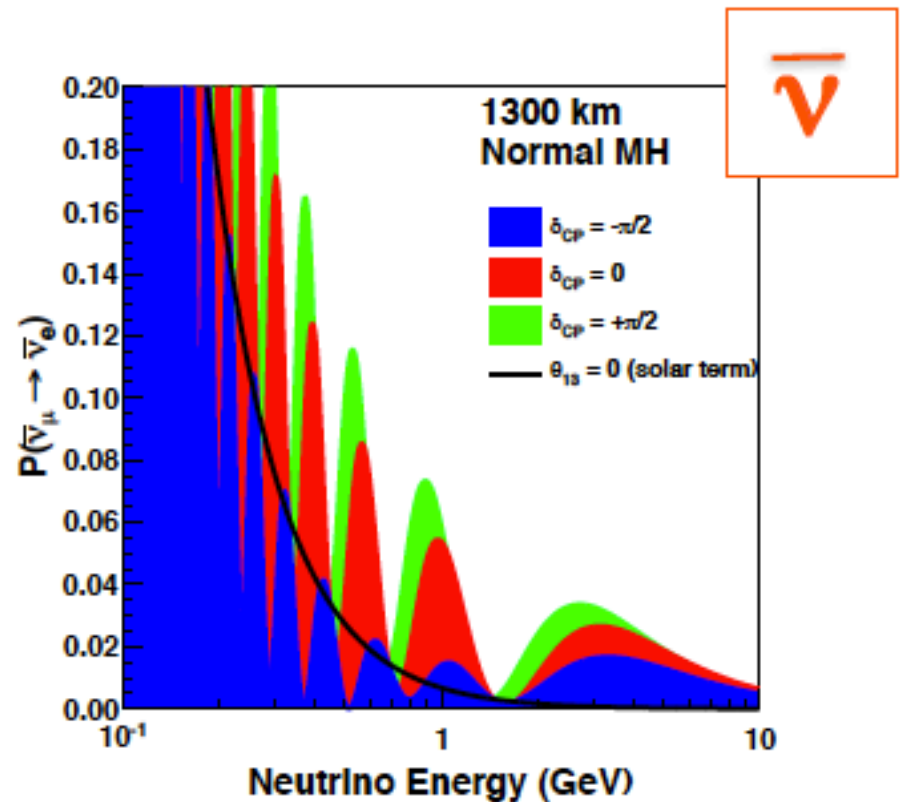
DUNE: Liquid argon TPC (Time Projection Chamber)



Measure asymmetry between muon neutrinos and muon antineutrinos



~ DUNE beam coverage



ν oscillations measure Δm^2 . What is m^2 ?

$$\Delta m^2_{\text{atm}} \sim 2.5 \cdot 10^{-3} \text{ eV}^2; \quad \Delta m^2_{\text{sun}} \sim 8 \cdot 10^{-5} \text{ eV}^2$$

- Direct limits

$$m_{\nu e} < 2.2 \text{ eV}$$

$$m_{\nu \mu} < 170 \text{ KeV}$$

$$m_{\nu \tau} < 18.2 \text{ MeV}$$

End-point tritium
 β decay (Mainz, Troitsk,
future: Katrin)

- $0\nu\beta\beta$

$$m_{ee} < 0.2 - 0.7 - ? \text{ eV (nucl. matrix elements)}$$

$$m_{ee} = |\sum U_{ei}^2 m_i|$$

- Cosmology

$$\Omega_\nu h^2 \sim \sum_i m_i / 94 \text{ eV} \quad (h^2 \sim 1/2)$$

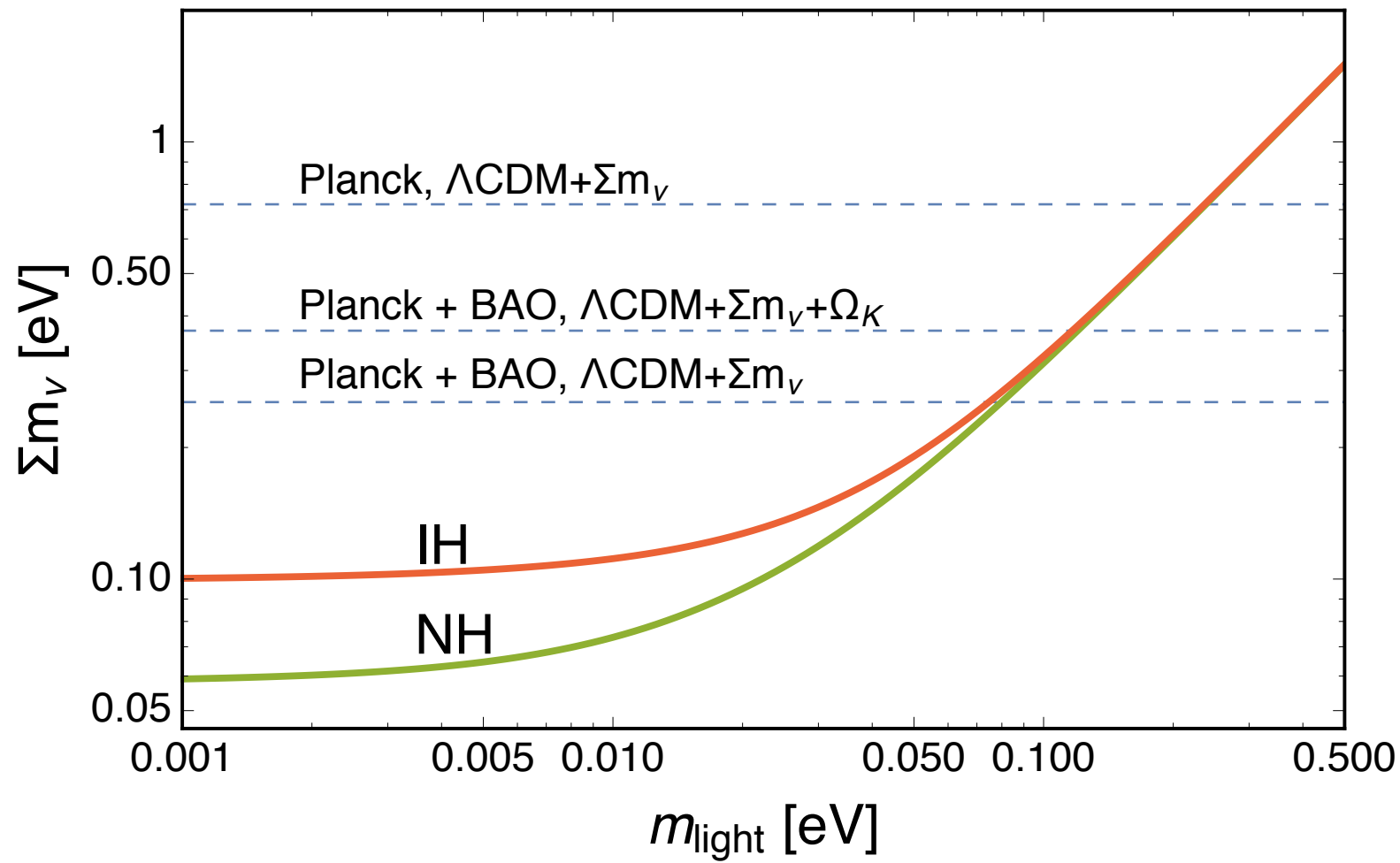
$$\sum_i m_i < 0.23 - 0.8 \text{ eV} \quad 95\% \quad \text{Planck +BAO+WMAPPol+HighL}$$



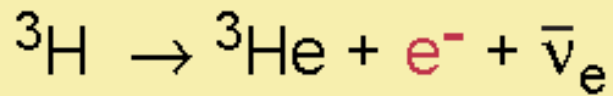
Any ν mass $< 0.08 - 0.27 \text{ eV}$

depends
on cosmology
priors





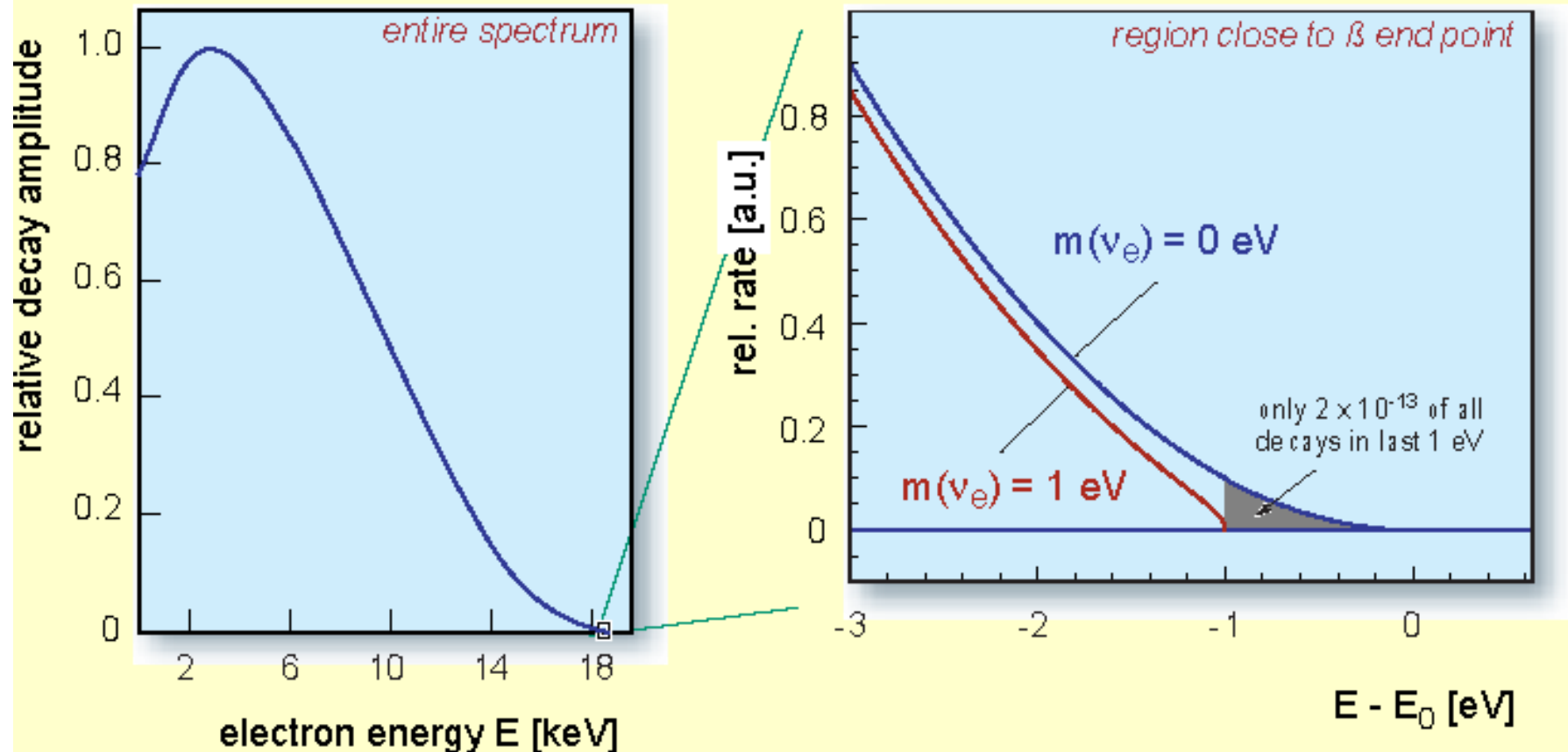
tritium β -decay and the neutrino rest mass



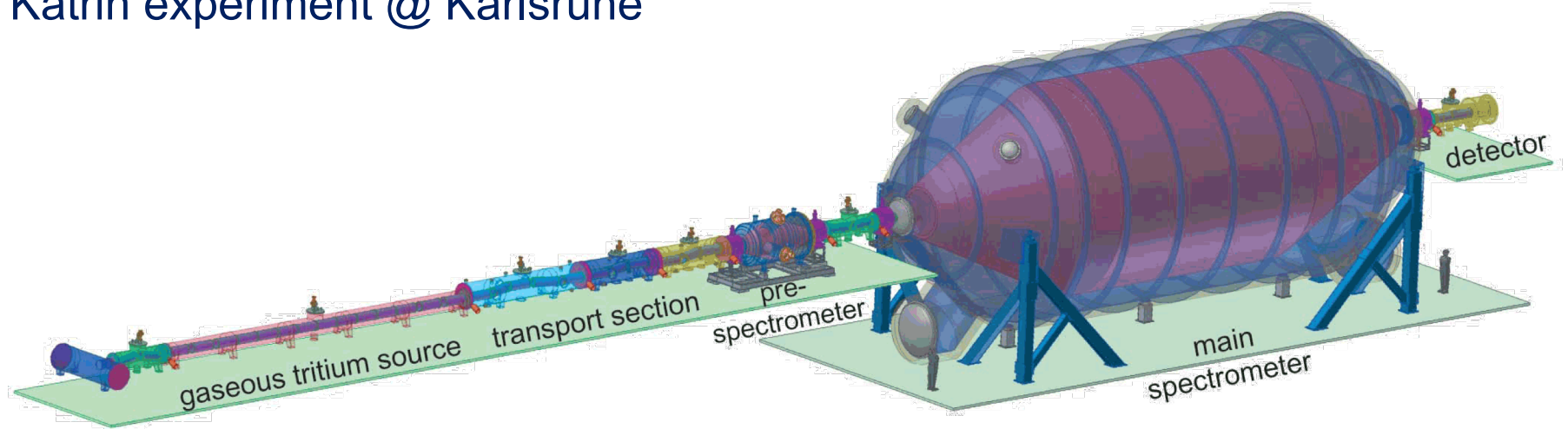
superaligned

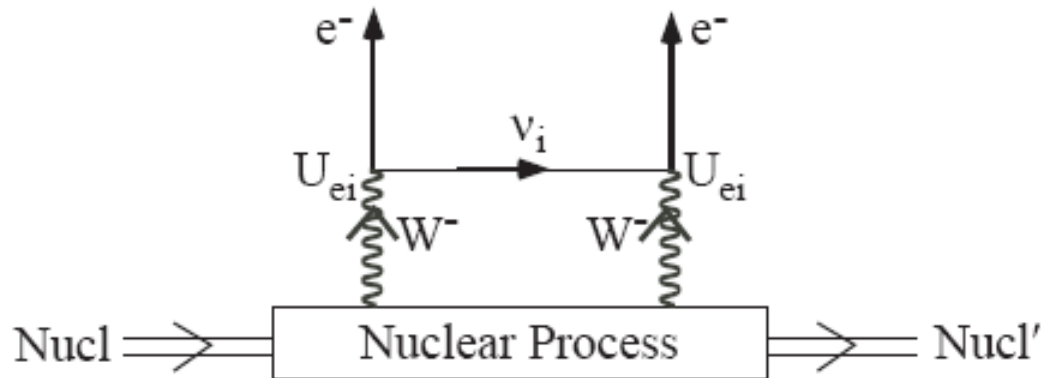
half life : $t_{1/2} = 12.32 \text{ a}$

β end point energy : $E_0 = 18.57 \text{ keV}$



Katrin experiment @ Karlsruhe





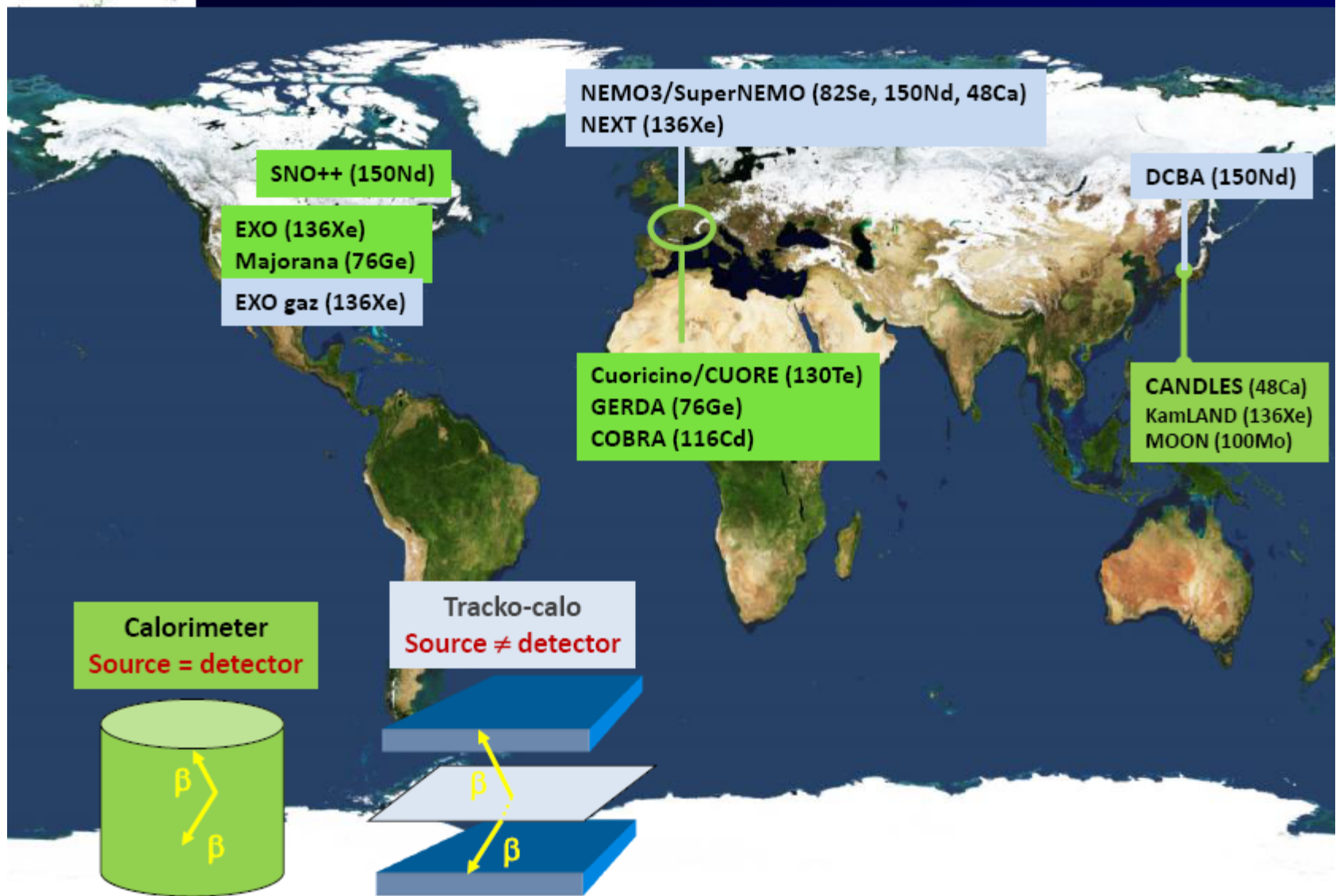
Neutrinoless double beta decay ($0\nu\beta\beta$)

Not possible if neutrino is Dirac particle: $\bar{\nu} \neq \nu$

But possible if neutrino is Majorana particle: $\bar{\nu} = \nu$
(Violation of lepton number!)

Experimental sign: double beta decay, no energy lost by neutrinos
Rare! Need low noise detectors, excellent energy resolution!

$\beta\beta(0\nu)$: experiments and projects



KamLAND-Zen (zero neutrino double beta decay)

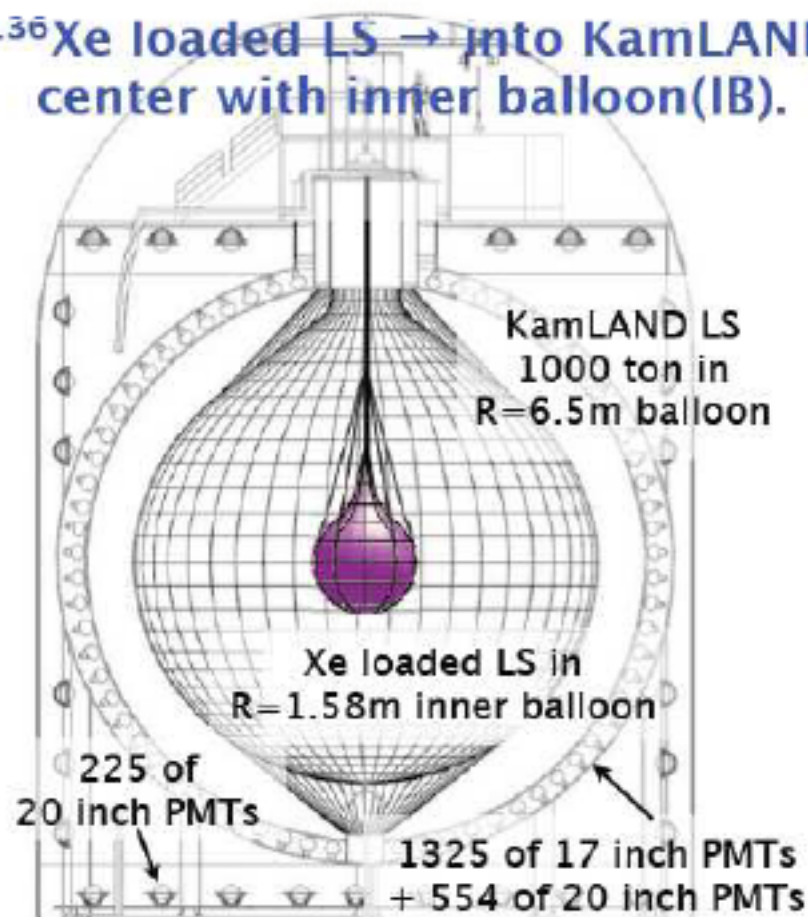
KamLAND-Zen :

Modification of KamLAND

1,000 tons of highly purified liquid scintillator.

BIG & Low BG

^{136}Xe loaded LS $\rightarrow$ into KamLAND center with inner balloon (IB).



Double beta decay isotope
~300 kg of ^{136}Xe (91% enriched)
Largest amount for DBD experiment.
Already have another 200kg for next phase.
Q-value : 2.476MeV

Target for 1st phase
Search for KKDC claim and Degenerated hierarchy.

Why Xe?

- Soluble to LS ~3% by weight.
- easily extracted.
- Isotopic enrichment, purification established.

Schedule
2011 Aug. Modification
Sep. 24th, 2011 data taking start
Oct. 12th, 2011 - Jan 2nd, 2012.
77.6 days data for 1st result.

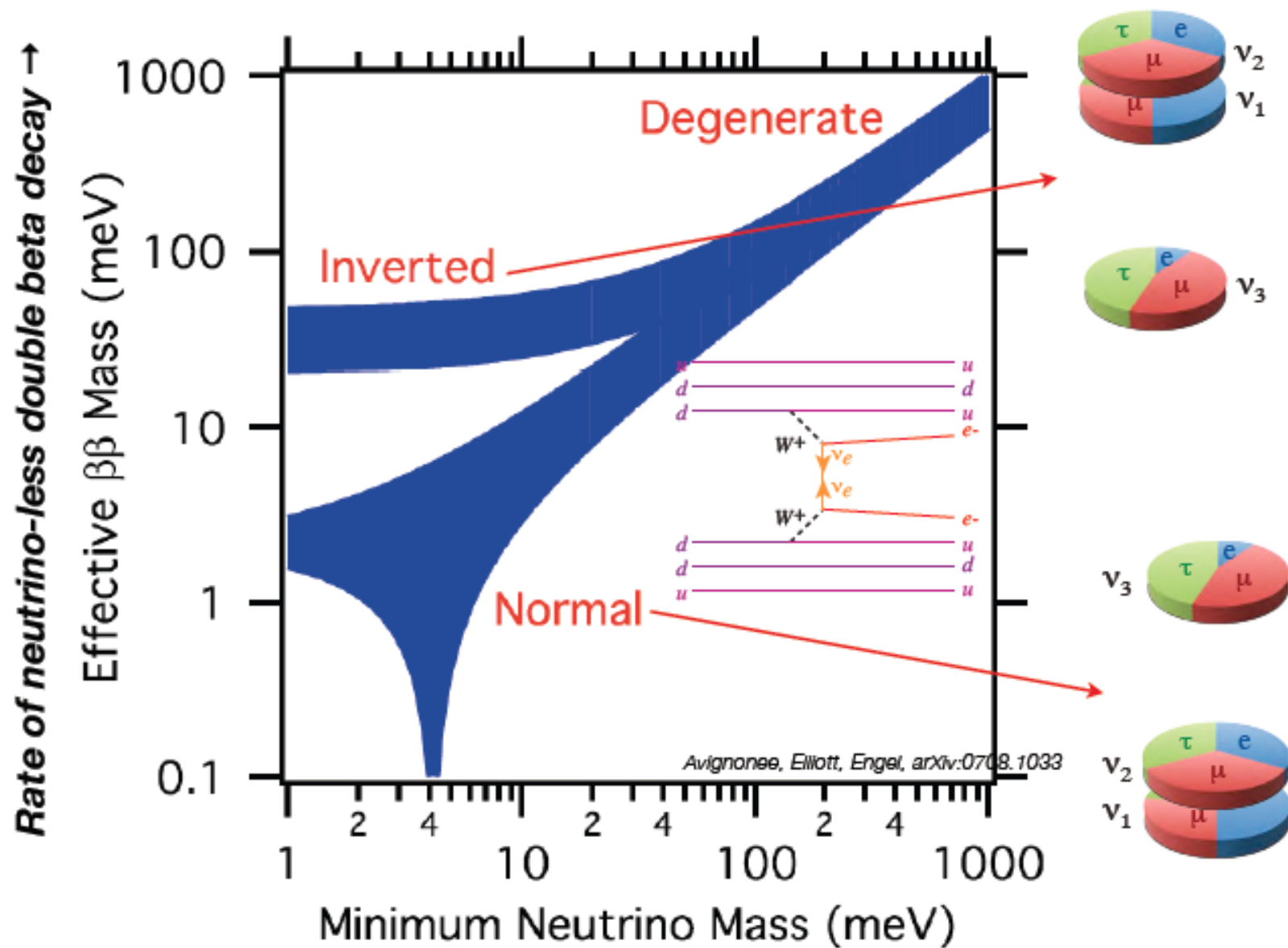
Present results on neutrinoless DBD

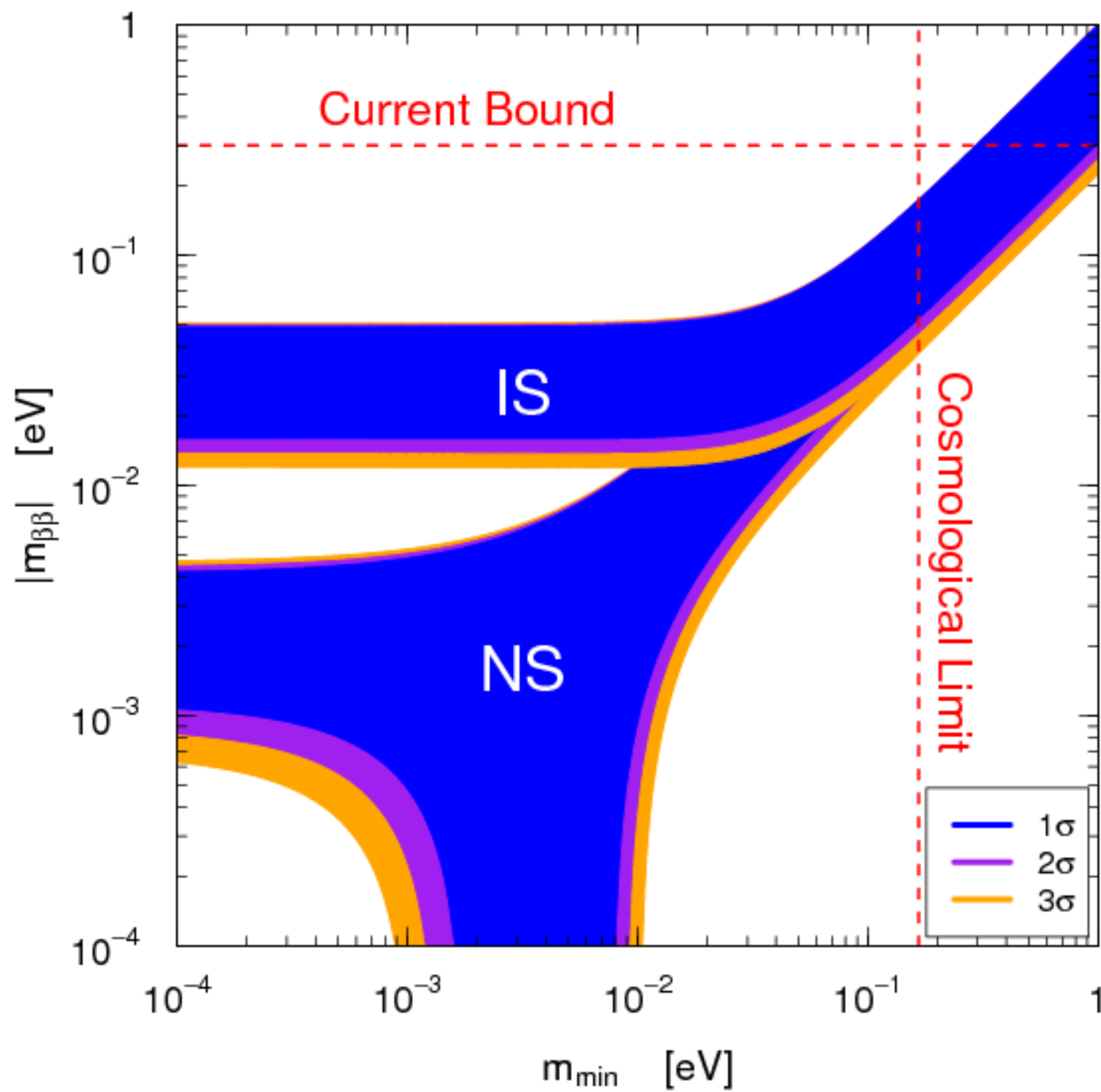
Fiorini

Isotope	Technique	$\tau_{1/2}^{0\nu}$ (y)	$\langle m_{\beta\beta} \rangle$ eV
^{48}Ca	CaF ₂ scint	$>1.4 \times 10^{22}$	$<7-45$
^{76}Ge (HM)	Ge diode	$>1.9 \times 10^{25}$	$<(0.3-1.27)$
^{76}Ge (IGEX)	Ge diode	$>1.6 \times 10^{25}$	$<(0.33-1.35)$
^{76}Ge (Klapdor 2004)	Ge diode	1.2×10^{25}	.38
^{76}Ge (Klapdor 2006)	Ge diode	2.2×10^{25}	.28
^{76}Ge (GERDA I)	Ge diode	$>2.1 \times 10^{25}$	$<(.29-1.1)$
^{76}Ge (GERDA+HM+IGEX)	Ge diode	$>3 \times 10^{25}$	$<(.25-.98)$
^{82}Se	Foil&track	$>.6 \times 10^{23}$	$<(0.89-2.)$
^{96}Zr	Foil&track	$>9.2 \times 10^{21}$	$<(7.2-19.5)$
^{100}Mo	Foil&track	$>1.1 \times 10^{24}$	$<(0.31-.79)$
^{116}Cd	Scintillator	$>1.7 \times 10^{23}$	<1.7
^{128}Te	Geochem	$>7.7 \times 10^{24}$	$<(1.1-1.35)$
^{130}Te	Bolometer	$>2.8 \times 10^{24}$	$<(0.3-.7)$
^{136}Xe	EXO	$>1.6 \times 10^{25}$	$<140-380$
^{136}Xe	Kamland Zen	$>1.9 \times 10^{25}$	$<128-349$
^{136}Xe	EXO+Kamzen		$<120-250$
^{150}Nd	Foil TPC	$>1.8 \times 10^{22}$	

here Ettore forgot the dot: 0.140 etc

Mass hierarchy and the nature of the neutrino





Why CP Violation (~~CP~~) In Neutrino Oscillation Would Be Very Interesting

It would establish that ~~CP~~ is not special to quarks.

A major motivation to look for it:

Its observation would make it more plausible that —
— the baryon-antibaryon asymmetry of the universe —
— arose, at least in part, through **Leptogenesis**.

Leptogenesis

Explains the baryon-antibaryon asymmetry of the universe by CP-violating heavy neutrino decays.

Heavy ($m_N > 10^9$ GeV)
Majorana neutrino

SM lepton

SM BEH scalar boson

$$\Gamma(N \rightarrow \ell^- + H^+) \neq \Gamma(N \rightarrow \ell^+ + H^-)$$

This ~~CP~~ creates a *lepton-antilepton* asymmetry.

The SM Sphaleron process converts part of this asymmetry into the observed *baryon-antibaryon* asymmetry.

Generically, leptogenesis and light-neutrino ~~CP~~ imply each other.

(B.K.
1012.4469)

Baryogenesis I: Electroweak Baryogenesis

Sakharov's Conditions

1. Baryon number violation $\rightarrow$ satisfied by **sphaleron transitions** $\checkmark$ (see next slide)
2. Violation of C and CP $\rightarrow$ satisfied by **weak force** $\checkmark$
3. Conditions in which processes take place out of thermal equilibrium $\rightarrow$ satisfied **if Electroweak Phase Transition was first-order** $\checkmark$ (see below)

Electroweak Phase Transition

- The transition to a phase with massive gauge bosons
- Whether it is first- or second-order depends on the mass of the Higgs boson

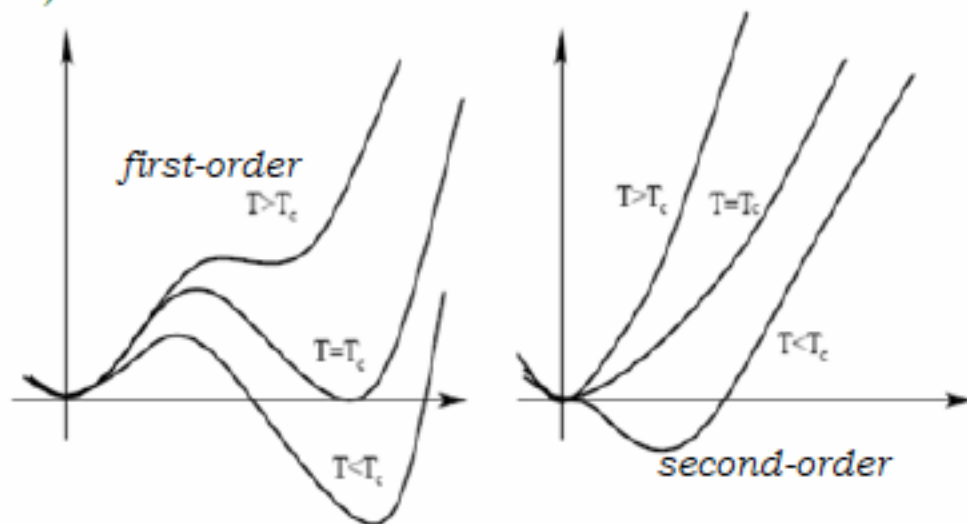
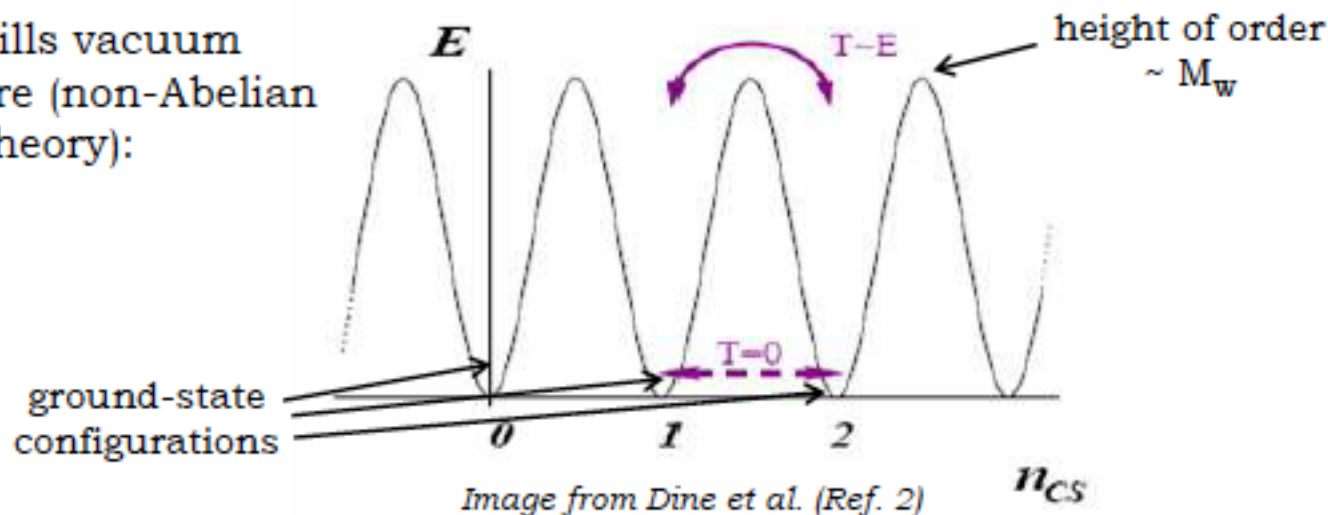


Image from Dine et al. (Ref. 2)

Sphaleron Transitions

Yang-Mills vacuum structure (non-Abelian gauge theory):



- Transitions among the different ground-state configurations conserve (B-L), but not B and L separately
- The probability of tunneling decreases as the universe cools, and within a given minimum (e.g. the universe today) B and L are conserved separately
- These processes also play an important role in the mechanism of leptogenesis (to be covered later in the talk)

Leptogenesis: Starting from Seesaw

A Dirac mass term couples a **right-handed field** to a **left-handed field** or vice versa.

A Majorana mass term couples a **right-handed field** to another **right-handed field** or vice versa.

Type I Seesaw introduces heavy right-handed singlet neutrinos as counterparts to the familiar light neutrinos in order to provide a 'natural' explanation for the small mass of the neutrino:

Mass matrix for (ν_L, ν_R) system

$$\begin{pmatrix} 0 & h_\nu \nu \\ h_\nu^T \nu & M_R \end{pmatrix}$$

Dirac terms: similar scale to quark, charged lepton sectors

Majorana term: much heavier, $M_R \gg h_\nu \nu$

$$\Rightarrow m_\nu \approx -h_\nu^2 \nu^2 / M_R$$

* Majorana neutrinos explicitly violate L

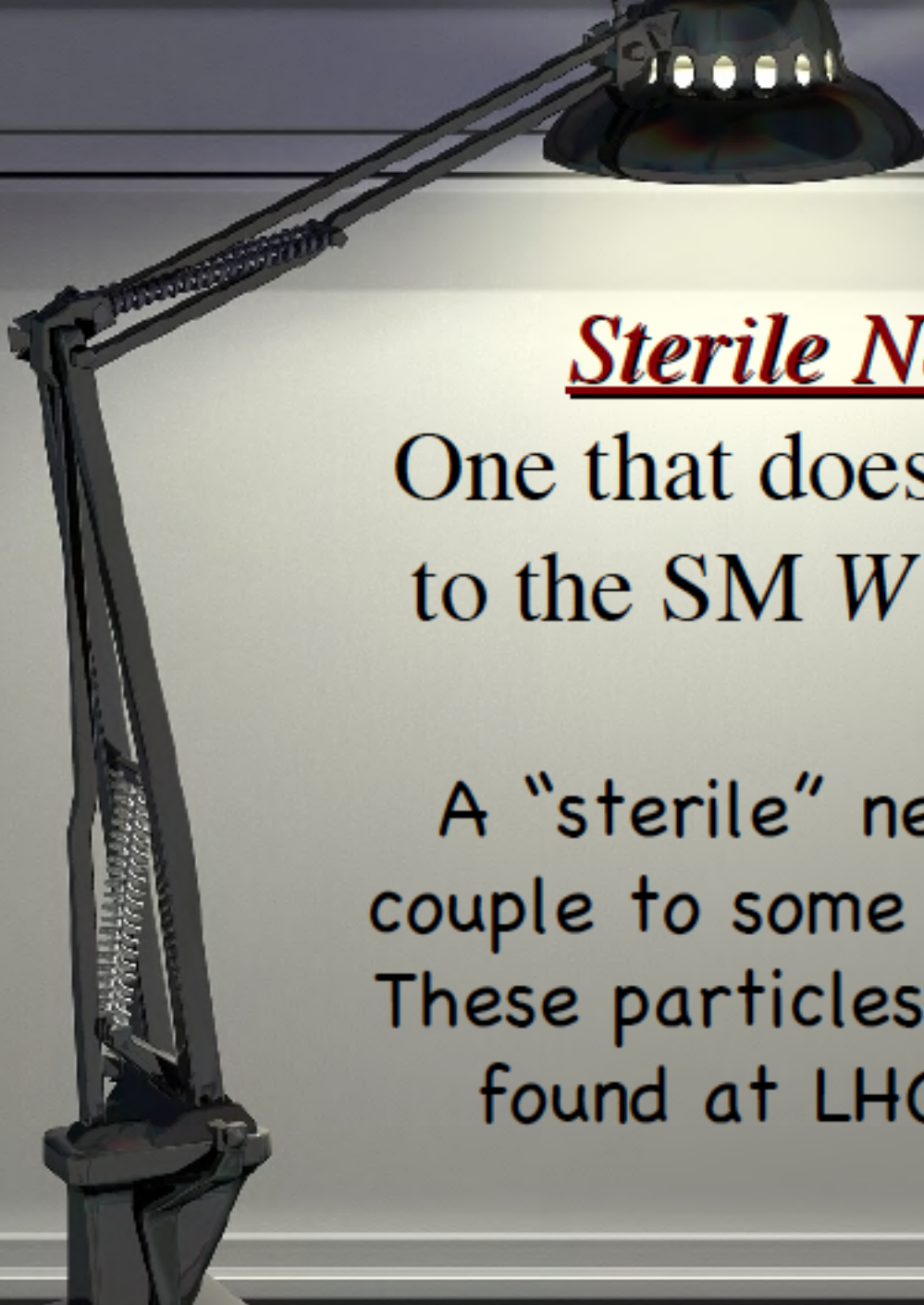
* L can be converted to B by sphaleron processes

*The 3- ν paradigm successfully
describes many experimental results,*

but not all.

Are There
More Than 3
Mass Eigenstates?

Are There
Sterile Neutrinos?



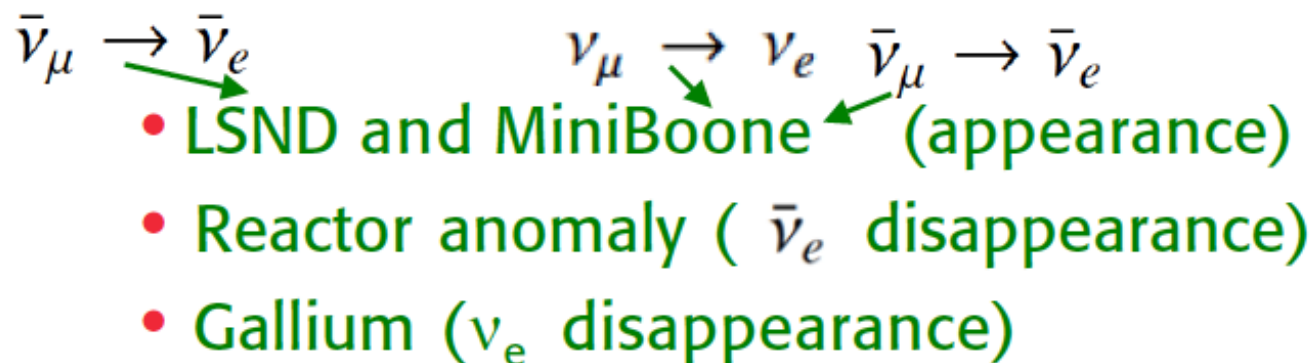
Sterile Neutrino

One that does not couple
to the SM W or Z boson

A “sterile” neutrino may well
couple to some non-SM particles.
These particles could perhaps be
found at LHC or elsewhere.

Sterile ν 's? A number of "hints" with some "tensions"

(they do not make an evidence but pose an important experimental problem that needs clarification)

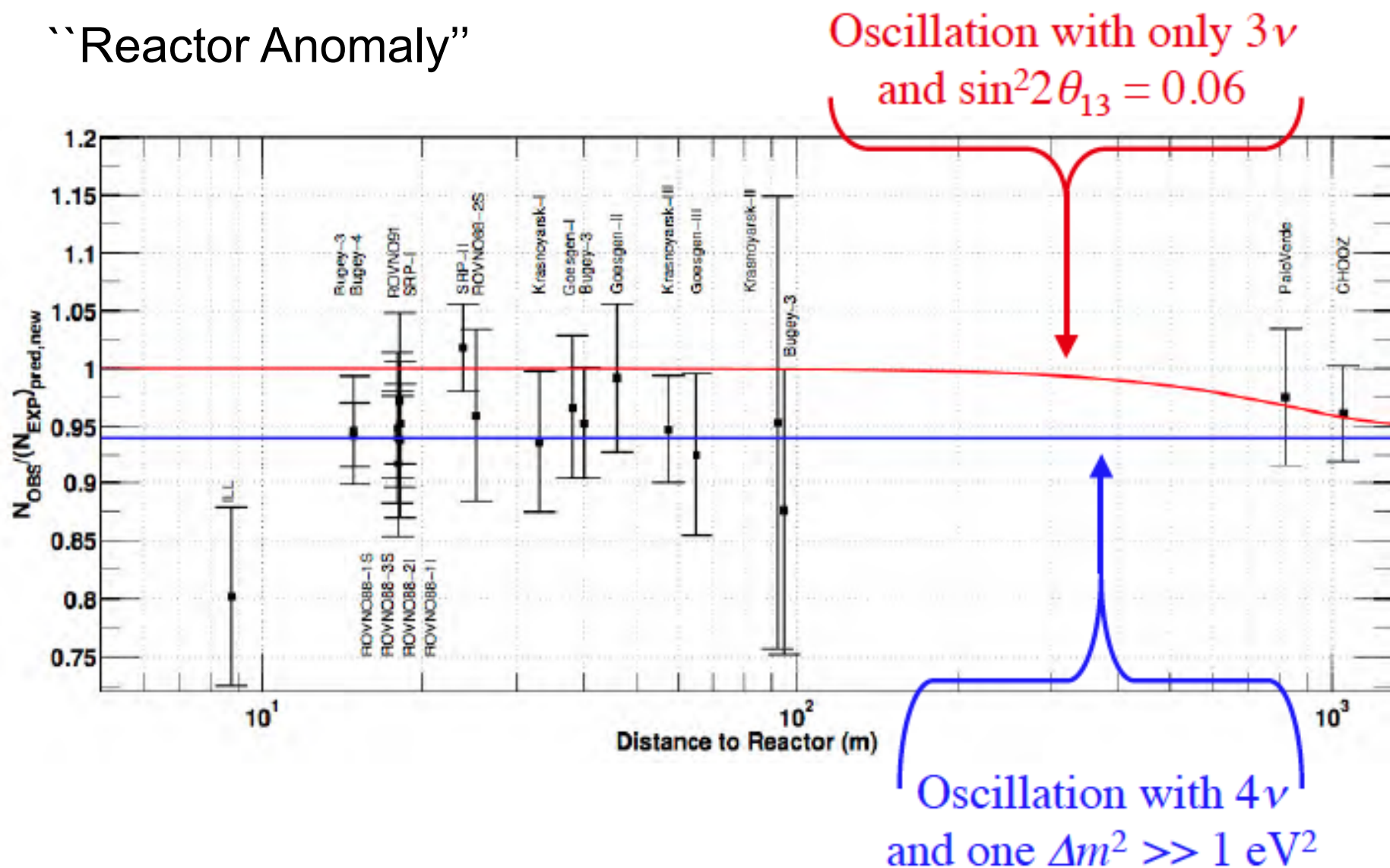


These data hint at sterile neutrinos at ~ 1 eV which would represent a major discovery in particle physics

Important information also from

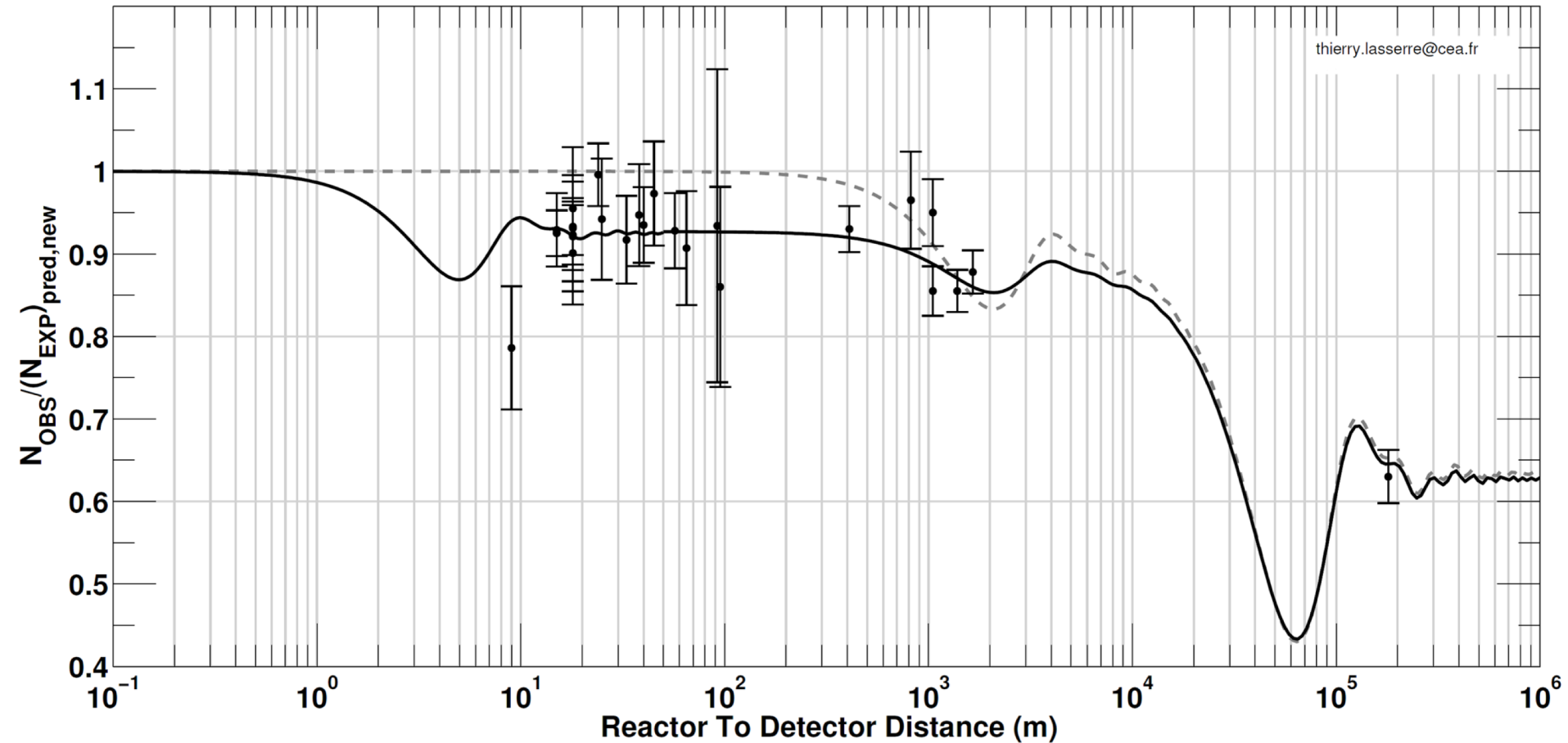
- $\nu_\mu / \bar{\nu}_\mu$ disappearance expts (MINOS, CDHSW, CCFR...)
- $\oplus$ Neutrino counting from cosmology $\longrightarrow$

“Reactor Anomaly”



Disappearance at $L(\text{m})/E(\text{MeV}) \gtrsim 1$ suggests oscillation with $\Delta m^2 \gtrsim 1 \text{ eV}^2$, like LSND and MiniBooNE.

dashed: 3 neutrino flavours + oscillations
full: 3 + 1 flavours



Latest news, april 2017:

<https://phys.org/news/2017-04-antineutrino-anomaly-fueled-error.html>

The Hint From ^{51}Cr and ^{37}Ar Sources

These radioactive sources were used
to test gallium solar ν_e detectors.

$$\frac{\text{Measured event rate}}{\text{Expected event rate}} = 0.86 \pm 0.05$$

(Giunti, Laveder)

Rapid disappearance of ν_e flux
due to oscillation with a large Δm^2 ??

The Hint From Cosmology

Big Bang Nucleosynthesis (BBN) and CMB anisotropies count the effective number of relativistic degrees of freedom, N_{eff} , at early times.

Light sterile neutrinos mixed with the active ones as required by the terrestrial anomalies would very likely have thermalized in the early universe.

Then N_{eff} grows by 1 for each sterile species.

The evidence suggests that perhaps $N_{\text{eff}} > 3$.

N_{eff} From BBN

Model	Data	N_{eff}	Ref.
$\eta + N_{\text{eff}}$	$\eta_{\text{CMB}} + Y_{\text{p}} + \text{D/H}$	$3.8^{(+0.8)}_{(-0.7)}$	[10]
	$\eta_{\text{CMB}} + Y_{\text{p}} + \text{D/H}$	$< (4.05)$	[11]
	$Y_{\text{p}} + \text{D/H}$ {	3.85 ± 0.26	[13]
		3.82 ± 0.35	[13]
		3.13 ± 0.21	[13]
$\eta + N_{\text{eff}}, (\Delta N_{\text{eff}} \equiv N_{\text{eff}} - 3.046 \geq 0)$	$\eta_{\text{CMB}} + \text{D/H}$	3.8 ± 0.6	[12]
	$\eta_{\text{CMB}} + Y_{\text{p}}$	$3.90^{+0.21}_{-0.58}$	[12]
	$Y_{\text{p}} + \text{D/H}$	$3.91^{+0.22}_{-0.55}$	[12]

Cosmology is fully compatible with $N_{\text{eff}} \sim 3$ but could accept **one** sterile neutrino

The bound from nucleosynthesis is the most stringent (assuming thermal properties at decoupling)

- ▶ BBN: $N_s = 0.22 \pm 0.59$ [Cyburt, Fields, Olive, Skillman, AP 23 (2005) 313, astro-ph/0408033]
 $N_s = 0.64^{+0.40}_{-0.35}$ [Izotov, Thuan, ApJL 710 (2010) L67, arXiv:1001.4440]
- ▶ BBN: $N_s < 1.2$ (95% CL) [Mangano, Serpico, 1103.1261]
- ▶ BBN: $N_s < 1.54$ (95% CL) [M. Pettini, et al, arXiv:0805.0594]



A “simple” cosmology emerges from Planck

No evidence for sterile neutrinos

$$N_{\text{eff}} = 3.36 \pm 0.34$$

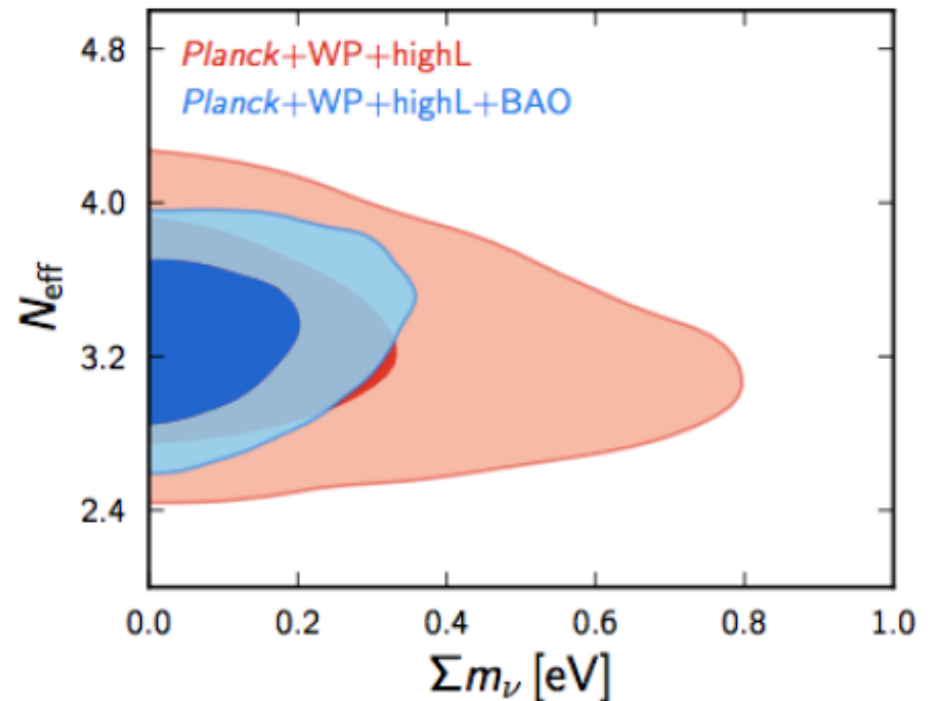
More precise values of
cosmological parameters

$$\Omega_{\Lambda} = 0.686 \pm 0.020$$

$$\Omega_m = 0.314 \pm 0.020$$

$$\Omega_b h^2 = 0.02207 \pm 0.00033$$

$$h = 0.674 \pm 0.014$$



$$\Sigma m_\nu < 0.23 - 0.80 \text{ eV}$$



Neutrinos the next steps

In fact there are several directions to study the neutrino paradigm

1. Neutrino oscillations: determine mass hierarchy and search *CP* violation.
Measure precisely the mixing parameters
2. Search for neutrino less double beta decay
Present level 0.5 eV. Next level 200 meV. Need more than one isotope!
3. Search for sterile neutrinos (a.k.a. right handed neutrinos)
- if there is a Dirac mass term they should exist!
4. Determine the mass of neutrinos by direct measurement.