## Exercises for Quantum Mechanics 3 Set 11 (module 1)

Exercise 22: Klein–Gordon equation versus probability interpretation

Identifying the reason why the Klein–Gordon theory is not a suitable starting point for setting up relativistic 1-particle quantum mechanics

Consider the plane-wave solutions to the Klein–Gordon equation for a free particle with rest mass m:

$$\psi_p(x) = \exp(-ip \cdot x/\hbar)$$

where the contravariant momentum vector  $p^{\mu}$  is defined to satisfy

$$p \cdot p = p^2 = m^2 c^2 \implies p^0 = E/c = \pm \sqrt{m^2 c^2 + \vec{p}^2}$$

- (i) Prove that  $\psi_p(x)$  is indeed a solution to the Klein–Gordon equation if the condition  $p^2 = m^2 c^2$  is satisfied.
- (ii) Show that the "probability density"

$$\rho(x) = \frac{i\hbar}{2mc^2} \left[ \psi^*(x) \frac{\partial}{\partial t} \psi(x) - \psi(x) \frac{\partial}{\partial t} \psi^*(x) \right] = \operatorname{Re} \left[ \frac{i\hbar}{mc^2} \psi^*(x) \frac{\partial}{\partial t} \psi(x) \right]$$

takes on negative values for the plane-wave solutions with negative energy.

(iii) Interpret these negative-energy solutions as being unphysical and remove them from the quantum theory. Next consider two physically acceptable plane-wave solutions  $\psi_{p_1}(x)$  and  $\psi_{p_2}(x)$  with  $p_1^0 > p_2^0 > 0$ . Demonstrate that for a linear combination of these solutions the "probability density"  $\rho(x)$  is still not automatically positive definite.

Hint: show that it is possible to construct a linear combination with real coefficients such that  $\rho(x)$  can still become negative for certain values of x.

(iv) What goes wrong if we would remove such special linear combinations with  $\rho(x) < 0$  from the quantum mechanical theory?

As a result of these problems with the probabilistic interpretation, it had to be concluded that the Klein-Gordon equation is not a suitable starting point for setting op relativistic 1-particle quantum mechanics.

## Exercise 23: Dirac equation ... getting to know the corresponding matrices

In the Dirac equation the matrices  $\beta$  and  $\alpha^{j}$  (j = 1, 2, 3) feature. These matrices are required to satisfy the matrix identities

$$\beta^2 = I_4$$
,  $\beta = \beta^{\dagger}$ ,  $\{\alpha^j, \beta\} = 0$ ,  $\{\alpha^j, \alpha^k\} = 2\delta^{jk}I_4$  and  $\alpha^j = (\alpha^j)^{\dagger}$ ,

where the symbol  $I_N$  is used to denote the identity matrix of rank N.

(i) Show that the following set of  $4 \times 4$  matrices in spinor space satisfy these matrix identities:

$$\beta = \begin{pmatrix} I_2 & \emptyset \\ \emptyset & -I_2 \end{pmatrix} \quad \text{and} \quad \alpha^j = \begin{pmatrix} \emptyset & \sigma^j \\ \sigma^j & \emptyset \end{pmatrix} \quad (j = 1, 2, 3) ,$$

where each  $4 \times 4$  matrix is subdivided into  $2 \times 2$  blocks.

Hint: wherever necessary you may use the properties of the Pauli spin matrices  $\sigma^{j}$  that are summarized in appendix B of the lecture notes.

(ii) Next we introduce the  $\gamma$ -matrices  $\gamma^0 \equiv \beta$  and  $\vec{\gamma} \equiv \beta \vec{\alpha}$ . Use the matrix identities for  $\beta$  and  $\vec{\alpha}$  to verify that

$$\{\gamma^{\mu}, \gamma^{\nu}\} = 2g^{\mu\nu}I_4$$
 and  $(\gamma^{\mu})^{\dagger} = \begin{cases} \gamma^0 & \text{if } \mu = 0\\ -\gamma^j & \text{if } \mu = j \end{cases}$   $(j = 1, 2, 3)$ .

(iii) Derive from this that

$$(\gamma^0)^2 = I_4$$
 and  $(\gamma^j)^2 = -I_4$   $(j = 1, 2, 3)$ ,

as well as

$$(\gamma^{\mu})^{\dagger} = \gamma^{0} \gamma^{\mu} \gamma^{0}$$
 and  $\operatorname{Tr}(\gamma^{\mu}) = 0$ .

(iv) In order to keep the expressions in spinor space as compact as possible, the so-called Feynman slash notation is used:

$$\phi \equiv \gamma^{\mu}a_{\mu} = \gamma_{\mu}a^{\mu},$$

where  $a^{\mu}$  is an arbitrary 4-vector. Use part (ii) to prove that

$$\phi^2 = a^2 I_4 .$$

(v) Employ the latter identity to find an operator that applied to the Dirac operator  $(i\hbar\partial - mc)$  produces the Klein–Gordon operator  $(\Box + m^2c^2/\hbar^2)$ .